1	Real-world Performance of Susceptibility Testing for Ceftolozane/Tazobactam Against						
2	Non-Carbapenemase-Producing Carbapenem-Resistant Pseudomonas aeruginosa						
3	Lina Rivas <sup>1,3†</sup> , Manuel Alcalde-Rico <sup>2,3†</sup> , José RW Martínez <sup>1,3</sup> , Victoria Moreno <sup>4</sup> , Pamela Rojas						
4	Aniela Wozniak <sup>6,7</sup> , Patricia García <sup>3,6,7</sup> , Jorge Olivares <sup>2,3</sup> , William R. Miller <sup>8</sup> , Cesar A.						
5	Arias <sup>8,9</sup> , Ayesha Khan <sup>8</sup> #, José M. Munita <sup>1,3</sup> #						
6							
7	<sup>1</sup> Genomics & Resistant Microbes (GeRM), Instituto de Ciencias e Innovación en Medicina,						
8	Facultad de Medicina Clínica Alemana, Universidad del Desarrollo, Chile.						
9	<sup>2</sup> Grupo de Resistencia Antimicrobiana en Bacterias Patógenas y Ambientales, Facultad de						
10	Ciencias, Pontificia Universidad Católica de Valparaíso, Chile. <sup>3</sup> Millennium Initiative for						
11	Collaborative Research On Bacterial Resistance (MICROB-R), Iniciativa Científica Milenio,						
12	Chile. <sup>4</sup> Hospital del Salvador, Chile. <sup>5</sup> Hospital Padre Hurtado, Chile						
13	<sup>6</sup> Laboratory of Microbiology, Escuela de Medicina, Pontificia Universidad Católica de Chile.						
14	<sup>7</sup> Clinical Laboratories Network, Red de Salud UC-CHRISTUS. <sup>8</sup> Center for Antimicrobial						
15	Resistance and Microbial Genomics, Division of Infectious Diseases, McGovern Medical						
16	School, UTHealth, Houston, TX. <sup>9</sup> Center for Infectious Diseases, UTHealth School of Public						
17	Health, Houston, TX.						
18							
19	<b>†</b> Equal contribution						
20	# Co-corresponding authors						
21							
22	Keywords: Ceftolozane/tazobactam; susceptibility testing; Pseudomonas aeruginosa; non-						
23	carbapenemase-producing; carbapenem-resistant P. aeruginosa						

Antimicrobial Agents and Chemotherapy

24 25

Downloaded from https://journals.asm.org/journal/aac on 27 December 2021 by 181.43.248.225.

#### 26 Abstract.

27 Ceftolozane/ tazbactam (C/T) is a potent anti-pseudomonal agent that has clinical utility 28 against infections caused by non-carbapenemase producing carbapenem-resistant P. aeruginosa (non-CP-CR-PA). Accurate, precise and reliable antimicrobial susceptibility 29 30 testing (AST) is crucial to guide clinical decisions. However, studies assessing the 31 performance of different AST methods against non-CP-CR-PA- (the main clinical niche for 32 C/T), are lacking. Here, we evaluated performance of gradient strips (Etest and MIC test 33 strip (MTS), and disk diffusion (DD) using CLSI breakpoints. Additionally, we 34 assessed the performance of DD using EUCAST breakpoints. For all susceptibility tests, we 35 used a collection of 97 non-CP-CR-PA clinical isolates recovered from 11 Chilean hospitals. Both gradient strips and DD had acceptable performance when using CLSI 36 37 breakpoints, yielding a categorical agreement (CA) of >90% and 92%, respectively. In 38 contrast, DD using EUCAST breakpoints performed sub-optimally (CA 81%). MTS 39 yielded a higher essential agreement (EA, >90%) than Etest (84%). Importantly, the 40 performance of all methods varied significantly when the isolates were stratified by their degree of susceptibility to other anti-pseudomonal β-lactams. All methods had 100% CA 41 42 when testing isolates that were pan-susceptible to all  $\beta$ -lactams (Pan- $\beta$ -S). However, the CA 43 markedly decreased when testing isolates resistant to all  $\beta$ -lactams (Pan- $\beta$ -R). Indeed, 44 the CA was 81% for Etest (6 errors), 78% for MTS (7 errors) and 78% and 56% for DD when 45 using CLSI (7 errors) or EUCAST breakpoints (14 errors), respectively. Our results suggest 46 that all manual AST methods have strikingly decreased performance in the context of Pan-β-47 R P. aeruginosa with potentially major clinical implications.

48

- 49 50
- 51
- 52 53

Downloaded from https://journals.asm.org/journal/aac on 27 December 2021 by 181.43.248.225.

#### 54 Introduction

55 Ceftolozane-tazobactam (C/T) consists of the combination of ceftolozane, a novel 56 oxyimino-cephalosporin, with tazobactam, an established beta-lactamase inhibitor. C/T is approved for the treatment of complicated intra-abdominal and urinary tract infections, and 57 58 hospital-associated pneumonia (1-3). Ceftolozane exhibits high affinity for multiple essential P. 59 aeruginosa penicillin-binding proteins and can remain active in the presence of mutations 60 encoding upregulated efflux pumps, porin loss and constitutive production of the AmpC beta-61 lactamase, all of which are frequently observed in multidrug resistant P. aeruginosa (3, 4). In 62 contrast, C/T is not active against carbapenemase-producing organisms (5). Several large-scale 63 studies have shown C/T is highly active in vitro against the majority of clinical isolates of P. 64 aeruginosa, and is particularly valuable against non-carbapenemase-producing, carbapenem-65 resistant P. aeruginosa (non-CP-CR-PA) (3, 6-8). However, development of resistance to C/T 66 during therapy and *de novo* has been reported. Rates of resistance to C/T have been increasing 67 over time (8–10). More importantly, minimum inhibitory concentrations (MICs) close to the 68 clinical breakpoint (4-8  $\mu$ g/mL) have been associated with a higher risk of therapeutic failure 69 (11-14). Thus, the availability of accurate, precise and reproducible susceptibility testing 70 methods for evaluation of C/T activity for clinical laboratories to effectively guide clinical 71 decision making is of paramount importance for patient care.

Previous studies assessing manual antimicrobial susceptibility testing (AST) methods (i.e. Etest, MIC Test Strips [MTS] and disk diffusion [DD]) for C/T have shown variable performance relative to the gold standard, broth microdilution (BMD) (15–18). Of note, only one of these studies compared all three methods in parallel (16). In addition, performance of DD has

76 not been evaluated to date using EUCAST breakpoints. Most importantly, all existing studies 77 utilized P. aeruginosa isolates with diverse phenotypic and genotypic profiles, with few of them 78 focusing on non-CP-CR-PA, which are the unique niche of organisms that C/T has clinical 79 therapeutic value against.

80 Here, we evaluated the performance of widely used manual C/T susceptibility testing 81 methods that included gradient strips (Etest [bioMerieux]; MTS [Liofilchem]) and DD using 82 CLSI and EUCAST breakpoints, against a collection of 97 non-CP-CR-PA clinical isolates 83 from 11 Chilean hospitals. In addition, we assessed the performance of these methods by stratifying the non-CP-CR-PA isolates according to their degree of susceptibility to other anti-84 85 pseudomonal β-lactams.

#### 86 Methods

87 Isolate collection and carbapenemase screening. Clinical isolates of carbapenem-resistant P. 88 aeruginosa (CR-PAE) were prospectively collected at 11 tertiary-care Chilean hospitals in two 89 time-periods: August to October, 2017 and December 2018 to November 2019. Only the first 90 isolate was obtained per patient; surveillance cultures were not included. All isolates were sent to 91 a central laboratory for further testing. Species identification was confirmed using MALDI-TOF (VITEK® MS bioMérieux, Durham, NC). Isolates were catalogued as carbapenem-resistant if 92 93 they exhibited resistance to either imipenem (IMI), meropenem (MEM), or both. Since the focus 94 of our work was on non-CP-CR-PA, we performed a two-step approach to rule out 95 carbapenemase-producing organisms. First, isolates were phenotypically screened for 96 carbapenemase activity with BlueCarba as previously reported (19), and all positive strains were 97 excluded. Second, BlueCarba-negative isolates were further screened for the presence of  $bla_{\rm KPC}$ ,

Accepted Manuscript Posted Online

Antimicrobial Agents and Chemotherapy

AAC

bla<sub>VIM</sub>, bla<sub>NDM-1</sub>, bla<sub>IMP</sub> and bla<sub>OXA-48</sub> using a multiplex qPCR test. A list of primers and details of 98 99 the qPCR assay are provided in Table S1.

100

101	Antimicrobial susceptibility testing. Susceptibility testing for anti-pseudomonal antibiotics other
102	than C/T was performed using the DD method following CLSI recommendations (20) and
103	included: IMI, MEM, aztreonam (ATM), ceftazidime (CAZ), cefepime (FEP), piperacillin-
104	tazobactam (TZP), ciprofloxacin (CIP), amikacin (AMK) and gentamicin (GEN). C/T
105	susceptibility was assessed by BMD as per CLSI guidance (20). In-house panels were prepared
106	using cation-adjusted Mueller-Hinton broth (Becton Dickinson [BD]) spanning a ceftolozane
107	doubling dilution range of 0.125 to 64 $\mu$ g/mL. A fixed tazobactam concentration of 4 $\mu$ g/mL was
108	used throughout the dilution range. MIC values were read after incubation for 16-20h at 35°C.
109	C/T susceptibility with Etest and MTS was performed on MH agar (BD), as per manufacturers'
110	instructions with incubation at 35°C for 16-18h. Finally, C/T DD (Hardy Diagnostics) was
111	carried out with $30/10 \ \mu g$ discs following CLSI recommendations. All susceptibility techniques
112	were assessed in parallel using the same inoculum. Experiments were performed in duplicate; in
113	case of discordant results a third repetition was done and the highest repeated value was chosen
114	for the analysis. Quality control testing was performed for each experiment with P. aeruginosa
115	ATCC 27853 (C/T MIC range $0.25/4 - 1/4 \mu g/mL$ ). Susceptibilities by BMD, Etest and MTS
116	were analyzed using the 2020 CLSI breakpoints ( $\leq 4/4$ , $8/4$ and $\geq 16/4 \mu g/mL$ for C/T susceptible,
117	intermediate, and resistant, respectively). Performance of DD against BMD was assessed using
118	both EUCAST ( $\geq$ 24 mm susceptible and <24 mm resistant) and CLSI breakpoints ( $\geq$ 21 mm
119	susceptible, 17-20 mm intermediate and $\leq 16$ mm resistant) (21).

139 Characteristics of the strain collection of clinical P. aeruginosa isolates.

- 140 Out of 159 clinical isolates of CR-PA collected in the study period, we excluded 62 isolates
- 141 based on phenotypic or molecular detection of a carbapenemase; and included a total of
- 142 confirmed 97 non-CP-CR-PA in the study. Clinical sources included tissue/bone (n=30; 31%),

120	Data analysis. C/T susceptibility results with each technique were compared and categorized
121	using BMD as the reference method. Categorical agreement (CA), essential agreement (EA),
122	major errors (ME), very major errors (VME) and minor errors (mE) were calculated. EA was
123	defined as agreement within $\pm 1$ dilution of the method under evaluation with BMD. E-test and
124	MTS MICs were rounded up to the next concentration of the standard doubling dilution scale
125	when necessary (e.g. 1.5 $\mu$ g/mL was considered as 2 $\mu$ g/mL). CA was defined as agreement of
126	interpretative results between the method under evaluation and BMD using CLSI or EUCAST
127	interpretive criteria, as appropriate. Discrepancies between the method under evaluation and
128	BMD were categorized as follows: VME, the method under evaluation indicated susceptible
129	while BMD indicated resistant; ME, the method under evaluation indicated resistant and BMD
130	indicated susceptible and mE, a discrepancy between the test and reference methods involved an
131	intermediate result (22). Finally, to further dissect the performance of susceptibility techniques in
132	the context of susceptibility to anti-pseudomonal $\beta$ -lactams other than C/T ( <i>i.e.</i> CAZ, FEP, TZP
133	and ATM), we classified our collection of non-CP-CR-PA into the following mutually exclusive
134	groups: <i>i</i> ) isolates that remained susceptible to all other tested $\beta$ -lactams in spite of exhibiting a
135	CR phenotype (Pan- $\beta$ -S), <i>ii</i> ) CR strains exhibiting resistance to some, but not all $\beta$ -lactams ( $\beta$ -
136	R/S), and <i>iii</i> ) CR strains exhibiting resistance to all tested β-lactams ( <i>i.e.</i> , pan-β-lactam-resistant
137	$[Pan-\beta-R]).$

143	blood (n=18; 19%), skin (n=16; 16%), other sterile fluids (n=13; 13%), respiratory tract (n=11;
144	11%) and urine (n=9; 9%). The overall susceptibility profile of the non-CP-CR-PA isolates is
145	shown in Fig. 1. Of the 97 isolates, 78 (80%) were non-susceptible to both IMI and MEM,
146	whereas the remaining 19 isolates (20%) exhibited susceptibility to one of the carbapenems (15
147	susceptible to MEM only and 4 susceptible to IMI only) (Fig. 2). To evaluate C/T activity
148	against non-CP-CR-PA isolates and better assess the performance of susceptibility testing
149	methodologies based on susceptibility patterns, isolates were categorized into the following 3
150	groups based on their susceptibility to $\beta$ -lactams: <i>i</i> ) Pan- $\beta$ -R (n=26; 27%), isolates resistant to all
151	$\beta$ -lactams, <i>ii</i> ) $\beta$ -R/S (n=35, 36%), isolates susceptible to at least one anti-pseudomonal $\beta$ -lactam
152	and, $iii$ ) Pan- $\beta$ -S (n=36, 37%), isolates susceptible to all tested $\beta$ -lactams except for at least one
153	of the carbapenems (Table 1 and Fig. 2B).
154	

### 155 <u>*C/T* activity against non-CP-CR-PA decreases in pan $\beta$ -lactam-resistant strains.</u>

156 Overall, out of the 97 non-CP-CR-PA isolates, BMD categorized 87 (90%) as C/T susceptible, 6 157 (6%) as resistant and 4 (4%) as intermediate (Table 1). Isolates resistant to both carbapenems 158 (n=78) displayed decreased susceptibility to C/T as well relative to the remaining 19 strains that 159 were susceptible to one of the carbapenems (C/T MIC<sub>50/90</sub> 2/8 and 1/4 µg/mL, respectively) (Fig. 160 2A). As resistance to other anti-pseudomonal  $\beta$ -lactams increased, C/T MIC<sub>50/90</sub> values also 161 exhibited an increasing trend, where Pan- $\beta$ -S isolates had the lowest MIC<sub>50/90</sub> (1/2 µg/mL) 162 followed by  $\beta$ -R/S (2/4 µg/mL) and Pan- $\beta$ -R isolates (4/64 µg/mL) (**Table 1, Fig. 2B**). Similarly, 163 isolates exhibiting a Pan- $\beta$ -R phenotype had a higher frequency of C/T non-susceptibility (31%, 164 n=8) as compared to their β-R/S or Pan-β-S counterparts, of which 97% remained C/T 165 susceptible (Table 1).

166	)
-----	---

167	Performance of Etest and MTS varied widely across different phenotypes of non-CP-CR-PA.
168	The performance evaluation of C/T gradient strips using CLSI breakpoints yielded an
169	overall CA and EA of 93% and 84% for Etest, and 91% and 92% for MTS (Table 2). All isolates
170	out of EA (n=25 with Etest and n=7 with MTS) had MICs $\geq$ 2 dilutions lower than BMD,
171	suggesting both gradient strips overcall C/T susceptibility in non-CP-CR-PA (Fig. 3 and Fig. 4).
172	Notably, the performance of gradient strips varied widely when stratifying the non-CP-
173	CR-PA collection according to their susceptibility to other anti-pseudomonal $\beta$ -lactams. While
174	both Etest and MTS used for Pan- $\beta$ -S isolates yielded a CA of 100% and an EA of 86% and
175	92%, respectively, their performance decreased sharply in the Pan- $\beta$ -R population, with CA of
176	77% for Etest and 73% for MTS, and an EA of 69% and 85% for Etest and MTS, respectively
177	(Table 2). Worrisomely, this decreased performance was primarily due to a failure of gradient
178	strips to detect isolates that were C/T non-susceptible by BMD in the Pan- $\beta$ -R population (Fig.
179	4). Indeed, while 31% of the Pan- $\beta$ -R isolates were non-susceptible by BMD, only 15% were
180	categorized as such by MTS and 8% by Etest (Fig. 4). Disagreement in C/T susceptibility results
181	between gradient strips and BMD was more pronounced at higher BMD MIC values. Etest and
182	MTS yielded a 100% EA and CA for strains with C/T MIC values $\leq 1 \mu g/mL$ , but their
183	performance progressively decreased, with both tests demonstrating CA in only 30% of isolates
184	with C/T BMD MIC $\geq 8 \ \mu g/mL$ (Table 3, Fig. 3).
185	Overall, we observed 3 VME with Etest and 2 with MTS; no ME were found. A total of 4
186	and 7 mE were observed with Etest and MTS, respectively (Table 4). Importantly, most errors

187 with both strips were obtained within the Pan- $\beta$ -R group, and none of them were observed in 188 isolates with a Pan- $\beta$ -S phenotype (**Table 4**). 189

#### 190 Disk diffusion performance across CLSI and EUCAST breakpoints.

The overall performance of DD yielded a CA of 92% with the CLSI breakpoints and 81% when applying the EUCAST recommendations (**Table 2**). DD under-called non-susceptibility with CLSI criteria, categorizing 94% of isolates as C/T susceptible, as compared to 90% susceptibility with BMD (**Fig. 5**). In contrast, a total of 75% of isolates were deemed C/T susceptible by DD using the EUCAST breakpoints (**Fig. 5**).

As observed with gradient strip tests, performance of DD varied widely when stratifying the non-CP-CR-PA collection as per susceptibility to other anti-pseudomonal β-lactams. Indeed, while the CA of DD using the interpretations of both agencies was 100% for Pan-β-S isolates, it sharply decreased in the Pan-β-R population to only 73% and 50% with CLSI and EUCAST, respectively (**Table 2**). Among Pan-β-R isolates, the CLSI criteria for DD categorized 84% as C/T susceptible, as compared to only 42% obtained with the EUCAST breakpoints (**Fig. 5**).

Overall, DD yielded 1 VME, no ME and 7 mE with CLSI, and 3 VME and 17 ME when using EUCAST (**Table 4**). Similar to gradient strips, most errors concentrated in the Pan- $\beta$ -R population and none of them were observed in Pan- $\beta$ -S isolates (**Table 4**). All ME observed with EUCAST corresponded to isolates with BMD MICs between 1 - 4 µg/mL (**Fig. 6**).

206

#### 207 Discussion

- 208 C/T has become an important addition to the therapeutic arsenal available to manage non-
- 209 CP-CR-PA infections (2). However, with increasing use of C/T in clinical settings, several
- 210 studies reported clinical failures associated with C/T non-susceptible strains (13, 14, 23).
- 211 Therefore, the availability of accurate, easily-accessible and reliable susceptibility techniques is

212 paramount to inform therapeutic decisions. Our simultaneous evaluation of available manual 213 AST techniques for the assessment of C/T activity against non-CP-CR-PA suggests the 214 performance of all methods varies according to the degree of  $\beta$ -lactam resistance, with Pan- $\beta$ -R 215 isolates consistently exhibiting the most variability between testing methods. In contrast, all three 216 methods exhibited an excellent agreement when used to evaluate Pan- $\beta$ -S strains. 217 218 As previously reported, the activity of C/T decreased in the subgroup of Pan- $\beta$ -R isolates, 219 compared to those remaining susceptible to at least one anti-pseudomonal  $\beta$ -lactam (6, 15, 18). 220 Indeed, 8 out of the 10 isolates that resulted as C/T non-susceptible by BMD belonged to the 221 Pan- $\beta$ -R population (**Table 1**). Similarly, BMD C/T MICs shifted to yield higher MIC<sub>50</sub>/MIC<sub>90</sub> 222 values for strains exhibiting resistance to both carbapenems as opposed to those remaining 223 susceptible to one of them. 224 225 Our findings showed that the overall CA for Etest and MTS was >90%, which largely 226 aligns with previous reports that evidenced a CA  $\geq 87\%$  for both techniques (6, 15, 18). 227 Similarly, our assessment of DD using CLSI breakpoints revealed a CA of 92%. However, DD

228 performance with EUCAST recommendations yielded a CA of only 81%, with most discordant

229 isolates corresponding to strains catalogued as C/T susceptible by BMD and resistant by DD

230 (Fig. 5). While our observed overall EA of 84% for Etest was similar to the 79% reported by

231 Shields et al., these values are considerably lower than those observed in two other previous

reports (96% and 98%) (15, 16). In contrast, our results yielded an overall EA for MTS >90%,

233 which is higher than previously reported (16, 17). Importantly, all isolates falling out of EA with

both gradient strip tests exhibited MICs  $\geq 2$  dilutions lower than BMD.

235 A key difference between our current study and previous reports is that our strain set 236 consisted only of non-CP-CR-PA. Humphries' study included strains resistant to  $1 \ge anti-$ 237 pseudomonal  $\beta$ -lactam and Schaumburg et al. analyzed organisms exhibiting a multidrug-238 resistant phenotype, regardless of  $\beta$ -lactam resistance or carbapenemase production (16, 17). 239 Thus, performance variation among studies could be partially explained by differences in the 240 strain collections.

241

242 Our most important finding was the striking performance variation observed for all AST 243 methods when stratifying our non-CP-CR-PA collection by resistance to other anti-pseudomonal 244  $\beta$ -lactams. In particular, as shown in Table 3 and Table 5, our results showed a drastic decrease 245 in performance in the subgroup of Pan- $\beta$ -R strains as compared to those exhibiting a Pan- $\beta$ -S 246 phenotype across all three methodologies. Similarly, performance of both gradient strips also 247 decreased in the subgroup of isolates exhibiting higher C/T MIC values by BMD, with a CA for 248 both Etest and MTS of 100% for strains with MICs  $\leq 1 \,\mu$ g/mL, and a drastic decrease to 30% 249 CA for strains with MICs  $\geq 8 \,\mu g/mL$ . Our findings are particularly troublesome since they 250 demonstrate that none of the manual methods currently available for clinical use show acceptable 251 and reliable performance to evaluate C/T susceptibility in the subgroup of Pan- $\beta$ -R isolates, 252 where this drug is particularly useful and needed for the treatment of severe infections. Studies 253 have also shown that the most common mechanism of C/T nonsusceptibility is an accumulation 254 of multiple single nucleotide polymorphisms (SNPs) in PDC, the AmpC cephalosporinase, 255 leading to overproduction and structural modification of the enzyme in addition to the 256 acquisition of extended spectrum  $\beta$ -lactams (24, 25). This mechanism also yields cross resistance 257 to ceftazidime/ avibactam, all cephalosporins and penicillins which is expected given that C/T is

258	used to treat MDR infections (14, 25). This supports the notion that <i>P. aeruginosa</i> is a
259	particularly challenging Gram-negative pathogen because it can repurpose pre-existing resistance
260	mechanisms to adapt and survive in the presence of novel agents. Our data suggest that it is
261	important to evaluate performance of AST methods by using sets of isolates that reflect the
262	clinical situations in which the antimicrobial under investigation is likely to be used. Here,
263	assessment of AST methods stratifying isolates based on relevant susceptibility profiles, in this
264	case to anti-pseudomonal $\beta$ -lactams, identified potential pitfalls in current manual testing
265	techniques and suggests simplified C/T BMD testing may be preferable to inform clinical
266	decision making.
267	

268 There are several limitations to this study. First, we did not perform molecular 269 epidemiology techniques to assess for genetic relatedness, therefore, we do not have information 270 regarding the clonality of our strain set. In addition, although this is a multicenter study, all 271 isolates belonged to Chilean hospitals and larger studies with geographically diverse strain sets 272 are still essential. Finally, we only studied the presence of the most frequently encountered 273 carbapenemases (*i.e.*  $bla_{KPC}$ ,  $bla_{VIM}$ ,  $bla_{NDM-1}$ ,  $bla_{IMP}$  and  $bla_{OXA-48}$ ). Although we cannot rule out 274 the possibility that some isolates could harbor other carbapenemase genes, our two-step approach 275 combining phenotypic and molecular techniques largely decreases this possibility. 276

277 In summary, while we observed a good overall agreement between AST methods and BMD for 278 susceptible isolates, we found significant discrepancies between all methods evaluated when 279 isolates were resistant to all other anti-pseudomonal β-lactams. Unfortunately, the latter are 280 likely to be those for which clinicians are seeking accurate C/T susceptibility results to guide

Downloaded from https://journals.asm.org/journal/aac on 27 December 2021 by 181.43.248.225.

281 treatment decisions. Thus, there is still a significant need for rapid, cost-effective testing

strategies for non-CP-CR-PA that can deliver reliable results to the bedside.

#### 283 References

284	1.	Wagenlehner FM, Umeh O, Steenbergen J, Yuan G, Darouiche RO. 2015. Ceftolozane-
285		tazobactam compared with levofloxacin in the treatment of complicated urinary-tract
286		infections, including pyelonephritis: a randomised, double-blind, phase 3 trial (ASPECT-
287		cUTI). Lancet (London, England) 385:1949–1956.
288	2.	Maraolo AE, Mazzitelli M, Trecarichi EM, Buonomo AR, Torti C, Gentile I. 2020.
289		Ceftolozane/tazobactam for difficult-to-treat Pseudomonas aeruginosa infections: A
290		systematic review of its efficacy and safety for off-label indications. Int J Antimicrob
291		Agents 55:105891.
292	3.	Wi YM, Greenwood-Quaintance KE, Schuetz AN, Ko KS, Peck KR, Song J-H, Patel R.
293		2018. Activity of Ceftolozane-Tazobactam against Carbapenem-Resistant, Non-
294		Carbapenemase-Producing Pseudomonas aeruginosa and Associated Resistance
295		Mechanisms. Antimicrob Agents Chemother 62.
296	4.	Moya B, Zamorano L, Juan C, Pérez JL, Ge Y, Oliver A. 2010. Activity of a new
297		cephalosporin, CXA-101 (FR264205), against beta-lactam-resistant Pseudomonas
298		aeruginosa mutants selected in vitro and after antipseudomonal treatment of intensive care
299		unit patients. Antimicrob Agents Chemother 54:1213–1217.
300	5.	van Duin D, Bonomo RA. 2016. Ceftazidime/Avibactam and Ceftolozane/Tazobactam:
301		Second-generation beta-Lactam/beta-Lactamase Inhibitor Combinations. Clin Infect Dis
302		63:234–241.
303	6.	Livermore DM, Mushtaq S, Meunier D, Hopkins KL, Hill R, Adkin R, Chaudhry A, Pike
304		R, Staves P, Woodford N, Allen M, Brown DFG, Livermore DM, Longshaw C,
305		MacGowan APG. 2017. Activity of ceftolozane/tazobactam against surveillance and
306		"problem" Enterobacteriaceae, Pseudomonas Aeruginosa and non-fermenters from the
307		British Isles. J Antimicrob Chemother 72:2278–2289.
308	7.	López-Calleja AI, Morilla Morales E, Nuñez Medina R, Fernández Esgueva M, Sahagún
309		Pareja J, García-Lechuz Moya JM, Ferrer Cerón I, Viñuelas Bayon J, Rezusta López A.
210		2010 Antimicrophial activity of coffee and to cohortem against multidrug registent and

- 2019. Antimicrobial activity of ceftolozane-tazobactam against multidrug-resistant and
  extensively drug-resistant Pseudomonas aeruginosa clinical isolates from a Spanish
  hospital. Rev Esp Quimioter Publ Of la Soc Esp Quimioter 32:68–72.
- Shortridge D, Pfaller MA, Arends SJR, Raddatz J, DePestel DD, Flamm RK. 2019.
   Comparison of the In Vitro Susceptibility of Ceftolozane-Tazobactam With the
   Cumulative Susceptibility Rates of Standard Antibiotic Combinations When Tested
   Against Pseudomonas aeruginosa From ICU Patients With Bloodstream Infections or
- Pneumonia. Open forum Infect Dis 6:ofz240.
  Shortridge D, Carvalhaes CG, Streit JM, Flamm RK. 2021. Susceptibility trends of ceftolozane/tazobactam and comparators when tested against U.S. gram-negative bacterial surveillance isolates (2012-2018). Diagn Microbiol Infect Dis 100:115302.
- Moise PA, Gonzalez M, Alekseeva I, Lopez D, Akrich B, DeRyke CA, Chen W-T, Pavia
  J, Palermo B, Hackel M, Motyl M. 2021. Collective assessment of antimicrobial
  susceptibility among the most common Gram-negative respiratory pathogens driving
  therapy in the ICU. JAC-Antimicrobial Resist 3.

325 326	11.	Rodríguez-Núñez O, Periañez-Parraga L, Oliver A, Munita JM, Boté A, Gasch O, Nuvials X Dinh A, Shaw R, Lomas IM, Torres V, Castón L, Araos R, Abbo J, M, Bakita R, Pérez
320		F Aitken SI Arias CA Martín-Pena MI Colomar A Núñez MB Mensa I Martínez IA
327		Soriano A 2010 Higher MICs (>2 mg/L) Predict 30 Day Mortality in Patients With
220		Lower Despiratory Treat Infections Coursed by Multidrug, and Extensively Drug
220		Desistant Decudements converses Treated With Coffelerene/Terzeheetern Onen forum
221		Lufe et Die (1) fe 41(
222	12	IIIIeel DIS 0:012410. Munite IM Aithen SL Miller WD Denez E Dece D Shimese LA Lightenheireer DN
222 222	12.	Munita JM, Anken SL, Miner WK, Perez F, Kosa K, Shimose LA, Lichenberger PN,
222		Abbo LW, Jahr K, Nigo W, Wanger A, Araos K, Iran TT, Adachi J, Kakita K, Shelburne
225		S, Bonomo KA, Arias CA. 2017. Multicenter Evaluation of Celtolozane/Tazobaciam for
335		Serious infections Caused by Carbapenem-Resistant Pseudomonas aeruginosa. Clin infect
330	12	DIS 05:158–101. Usi 11 DK $G$ to D $G$ by $G$ to D $h$ $h$ $h$ $D$ $h$
33/	13.	Haidar G, Philips NJ, Shields KK, Snyder D, Cheng S, Potoski BA, Doi Y, Hao B, Press
338		EG, Cooper VS, Clancy CJ, Nguyen MH. 2017. Cettolozane-Tazobactam for the
339		Treatment of Multidrug-Resistant Pseudomonas aeruginosa Infections: Clinical
340	1.4	Effectiveness and Evolution of Resistance. Clin Infect Dis 65:110–120.
341	14.	Fraile-Ribot PA, Cabot G, Mulet X, Perianez L, Martin-Pena ML, Juan C, Perez JL,
342		Oliver A. 2018. Mechanisms leading to in vivo cettolozane/tazobactam resistance
343		development during the treatment of infections caused by MDR Pseudomonas
344		aeruginosa. J Antimicrob Chemother 73:658–663.
345	15.	Bailey AL, Armstrong T, Dwivedi H-P, Denys GA, Hindler J, Campeau S, Traczewski M,
346		Humphries R, Burnham CA. 2018. Multicenter Evaluation of the Etest Gradient Diffusion
347		Method for Cettolozane-Tazobactam Susceptibility Testing of Enterobacteriaceae and
348		Pseudomonas aeruginosa. J Clin Microbiol 56.
349	16.	Humphries RM, Hindler JA, Magnano P, Wong-Beringer A, Tibbetts R, Miller SA. 2018.
350		Performance of Ceftolozane-Tazobactam Etest, MIC Test Strips, and Disk Diffusion
351		Compared to Reference Broth Microdilution for $\beta$ -Lactam-Resistant Pseudomonas
352		aeruginosa Isolates. J Clin Microbiol 56.
353	17.	Schaumburg F, Bletz S, Mellmann A, Becker K, Idelevich EA. 2017. Susceptibility of
354		MDR Pseudomonas aeruginosa to ceftolozane/tazobactam and comparison of different
355		susceptibility testing methods. J Antimicrob Chemother 72:3079–3084.
356	18.	Shields RK, Clancy CJ, Pasculle AW, Press EG, Haidar G, Hao B, Chen L, Kreiswirth
357		BN, Nguyen MH. 2018. Verification of Ceftazidime-Avibactam and Ceftolozane-
358		Tazobactam Susceptibility Testing Methods against Carbapenem-Resistant
359		Enterobacteriaceae and Pseudomonas aeruginosa. J Clin Microbiol 56.
360	19.	Pires J, Novais A, Peixe L. 2013. Blue-carba, an easy biochemical test for detection of
361		diverse carbapenemase producers directly from bacterial cultures. J Clin Microbiol.
362	20.	The Clinical and Laboratory Standards Institute. 2020. Performance Standards for
363		Antimicrobial Susceptibility Testing CLSI M100 Edition 30Clinical and Laboratory
364		Standards Institute, Wayne, PA.
365	21.	2019. EUCAST Breakpoint tables for interpretation of MICs and zone diameters v.9.0.
366		Basel, Switzerland.
367	22.	Humphries RM, Ambler J, Mitchell SL, Castanheira M, Dingle T, Hindler JA, Koeth L,
368		Sei K. 2018. CLSI Methods Development and Standardization Working Group Best
369		Practices for Evaluation of Antimicrobial Susceptibility Tests. J Clin Microbiol 56.
370	23.	Boulant T. Jousset AB, Bonnin RA, Barrail-Tran A, Borgel A, Oueslati S, Naas T, Dortet

L. 2019. A 2.5-years within-patient evolution of a Pseudomonas aeruginosa with in vivo
acquisition of ceftolozane-tazobactam and ceftazidime-avibactam resistance upon
treatment. Antimicrob Agents Chemother 63.

- Qi-Min TJ, Chong LJ, Yee TC, Jing-Yi LS, Hui TS, Heng-Chiak SJ, Twee-Hee OR, LayHoon KA, A. BP. 2021. Ceftolozane/Tazobactam Resistance and Mechanisms in
  Carbapenem-Nonsusceptible Pseudomonas aeruginosa. mSphere 6:e01026-20.
- Damien F, Romain C, Maxime B, Emilie G, Pauline T, Cédric M, Jérôme L, Katy J,
  Patrick P, null null. 2021. Mechanisms of Resistance to Ceftolozane/Tazobactam in
  Pseudomonas aeruginosa: Results of the GERPA Multicenter Study. Antimicrob Agents
  Chemother 65:e01117-20.

#### 417 Table 1. Distribution of 97 non-CP-CR-PA isolates based on ceftolozane/ tazobactam (C/T)

#### 418 susceptibility determined by broth microdilution with CLSI M100 breakpoints.

419 Isolates parsed into the following categories: i) susceptible to all β-lactams (Pan-β-S) except

420 imipenem or meropenem, ii) susceptible to at-least one β-lactam and nonsusceptible to others (β-

421 R/S), iii) nonsusceptible to all  $\beta$ -lactams (Pan- $\beta$ -R). n = number of isolates

422

Resistance	Total	C/T MIC by BMD (µg/mL)		Distribution <i>(n)</i> of isolates by C/T susceptibility			
Phenotype	isolates (%)	MIC <sub>50</sub>	MIC <sub>90</sub>	Range	Susceptible	Intermediate	Resistant
Non-CP-CR-PA	97 (100)	1	4	0.5 - ≥ 64	88% (85)	6% (6)	6% (6)
Pan-β-S	36 (37)	1	2	0.5 - ≥ 64	97% (35)	-	3% (1)
β-R/S	35 (36)	2	4	0.5 - ≥ 64	97% (34)	-	3% (1)
Pan-β-R	26 (27)	4	64	1 - ≥64	69% (18)	15% (4)	15% (4)

423

#### 424 Table 2. Categorical (CA) and Essential Agreement (EA) of gradient strips-*Etest, MTS*,

425 and disk diffusion (DD) compared to reference broth microdilution with CLSI M100

426 breakpoints and EUCAST breakpoints for DD. Isolates parsed into the following categories:

427 i) susceptible to all  $\beta$ -lactams (Pan- $\beta$ -S) except imipenem or meropenem, ii) susceptible to at-

428 least one  $\beta$ -lactam and non-susceptible to others ( $\beta$ -R/S), iii) non-susceptible to all  $\beta$ -lactams

429 (Pan- $\beta$ -R). N= number of isolates

430

Resistance	N		CA %	( <i>n</i> )
Phenotype	isolates	Etest	MTS	DD CLSI
Non-CP-CR-PA	97	93 <i>(90)</i>	91 <i>(88)</i>	92 <i>(89)</i>
Pan-β-S	36	100	100	100
β-R/S	35	97 <i>(34)</i>	94 (33)	97 <i>(34)</i>
Panβ-R	26	77 (20)	73 (19)	73 (19)

431

Table 3. Categorical (CA) and Essential Agreement (EA) for Etest and MTS relative to
reference broth microdilution (BMD) with CLSI M100 breakpoints. Non-CP-CR-PA

EA % (n)

Etest

84 (81)

86 (31)

91 (32)

69 (18)

MTS

92 (89)

92 (33)

97 (34)

85 (22)

DD

EUCAST

81 (79)

100

83 (29)

50 (13)

434 isolates parsed by range of C/T MICs determined by BMD. N= number of isolates

435

C/T MIC range by BMD	N isolates	CA % ( <i>n</i>	)	EA % <i>(n)</i>		
(ug/ml)		Etest	MTS	Etest	MTS	
<u>&lt;</u> 1	41	100	100	100	100	
2 - 4	46	100	96 <i>(39)</i>	80 (37)	94 <i>(38)</i>	
<u>&gt;</u> 8	10	30 (3)	30 (3)	30 <i>(3)</i>	60 (6)	

436

## 437 Table 4. Breakdown of error rates and discrepancies from *Etest, MTS*, and *disk diffusion*

438 (DD). Isolates parsed into the following categories: i) susceptible to all  $\beta$ -lactams (Pan- $\beta$ -S)

439 except imipenem or meropenem, ii) susceptible to at-least one  $\beta$ -lactam and nonsusceptible to

440 others ( $\beta$ -R/S), iii) nonsusceptible to all  $\beta$ -lactams (Pan- $\beta$ -R). N= number of isolates

Antimicrobial Agents and

Chemotherapy

ostec	
ript F	
anusc	
d Mo	
epteo	
Acc	

	Resistance	N	Vei	ry Majo	or Erro	ors (%)		Majo	<sup>r</sup> Errors	Minor Errors (%)			
	Phenotype	isolates	Etest	MTS	DD CLSI	DD EUCAST	Etest	MTS	DD CLSI	DD EUCAST	Etest	MTS	DD CLSI
	All Non-CP-	97	3/5	2/5	1/5	3/9 (33)	0	0	0	17/69 <i>(25)</i>	4 (4)	7 (7)	7 (7)
•	Pan-β-S	36	0	0	0	0	0	0	0	0	0	0	0
	β-R/S	35	1 <i>(100)</i>	1 <i>(100)</i>	0	0	0	0	0	6/34 (18)	0	1 (3)	1 (3)
	Panβ-R	26	2/3 (67)	1/3 (33)	1/3 (33)	3/7 (43)	0	0	0	9/16 <i>(56)</i>	4 (15)	6 <i>(</i> 23)	6 <i>(</i> 23)

441 442

#### 443 **Figure legends**

444 Figure 1. Overall distribution 97 non-CP-CR-PA isolates categorized based on susceptibility 445 with CLSI M100 breakpoints. Amikacin (AK), gentamicin (CN), aztreonam (ATM), ceftazidime 446 (CAZ), cefepime (CEF), ciprofloxacin (CIP), imipenem (IMI), meropenem (MEM) and 447 piperacillin/tazobactam (TZP); susceptible (S), intermediate (I), resistant (R).

448

449 Figure 2. Distribution of ceftolozane/ tazobactam MIC values of 97 non-CP-CR-PA isolates

450 determined by broth microdilution uing CLSI M100 breakpoints.

451 A) Isolates parsed into 2 categories- i) susceptible to imipenem (IMI) or meropenem (MEM) and

452 ii) non-susceptible to both carbapenems (intermediate or resistant). B) Isolates parsed into three

453 categories: i) susceptible to all  $\beta$ -lactams (Pan- $\beta$ -S) except imipenem or meropenem, ii)

454 susceptible to at-least one  $\beta$ -lactam and non-susceptible to others ( $\beta$ -R/S), iii) non-susceptible to

455 all  $\beta$ -lactams (Pan- $\beta$ -R)

Figure 3. Correlation of MIC values ( $\mu$ g/mL) generated by reference broth microdilution (BMD) with those determined by A) Etest or B) MTS. Isolates in essential agreement indicated with shading. Isolates in categorical agreement are in black, very major errors in red, major errors in green and minor errors in blue. Figure 4. Comparison of the frequency of C/T susceptibility in non-CP-CR-PA isolates by

BMD, Etest and MTS based on CLSI M100 breakpoints. Isolates are divided into sub-categories

based on their  $\beta$ -lactam susceptibility profile.

Figure 5. Correlation between MIC (µg/mL) values obtained with broth microdilution (BMD)

and disk diffusion zone diameters as per CLSI (A) and EUCAST (B) breakpoints. A zone

diameter of > 21mm is considered susceptible (light blue), between 20 and 17mm intermediate

(yellow) and  $\leq$  16mm resistant (pink).

Figure 6. Comparison of the the frequency of C/T susceptibility in non-CP-CR-PA isolates by

BMD and DD based on CLSI M100 or EUCAST breakpoints. Isolates are divided into sub-

categories based on their  $\beta$ -lactam susceptibility profile.

## 485 Figure 1.



488 Figure 2.







AAC

Downloaded from https://journals.asm.org/journal/aac on 27 December 2021 by 181.43.248.225.



# 502 Figure 3.





504 **B.** 



Downloaded from https://journals.asm.org/journal/aac on 27 December 2021 by 181.43.248.225.

505

AAC

Antimicrobial Agents and Chemotherapy







510 A.



512

Antimicrobial Agents and Chemotherapy

AAC

Antimicrobial Agents and Chemotherapy

# 513

B.

	<u>&gt;</u> 30	29	28	27	26	25	24	23	22	21	20	19	18	17	<u>&lt;</u> 16
<u>&lt;</u> 0.25	-			-	-	-	-	-				-	-	-	
0.5	1	2	3	6		1									
1		2	1	5	8	9	2	1							
2			1		4	9	6	6	2						
4			1		3	4	2	3	2	2	1				
8					1	1		1	1						
16				-			-		•	•	1	1	-		
32															
≥64				1											3

### 515 Figure 6.

514



AAC









Α.				Ete	st MIC	C (ug/	ml)			
		<u>&lt;</u> 0.25	0.5	1	2	4	8	16	32	<u>&gt;</u> 64
-	<b>≤ 0.25</b>									
Ē	0.5	2	10	1						
/ɓr	1		11	14	3					
ר ני	2	2	2	10	14					
ЫМ	4			5	9	4				
	8			1	3					
M	16					2				
	32									
	≥ 64				1					3



Antimicrobial Agents and Chemotherapy



Α.

		≥ 30	29	28	27	26	25	24	23	22	21	20	19	18	17	<u>&lt;</u> 16
	<u>&lt;</u> 0.25															
ml)	0.5	1	2	3	6		1									
/gn	1		2	1	5	8	9	2	1							
c (	2			1		4	9	6	6	2						
M	4			1		3	4	2	3	2	2	1				
MD	8					1	1		1	1						
В	16											1	1			
	32															
	≥ 64				1											3
в.			D	D zo	one c	liam	eter	(mm	), CI	_SI b	reak	cpoir	nts			
В.		≥ 30	D 29	D zo 28	one c 27	liam 26	eter 25	(mm 24	i), CI 23	_SI b 22	oreak 21	cpoir 20	nts 19	18	17	<u>&lt;</u> 16
В.	<u>&lt;</u> 0.25	≥ 30	D 29	D zo 28	one c 27	liam 26	eter 25	(mm 24	i), CI 23	_SI b 22	oreak 21	cpoir 20	nts 19	18	17	<u>&lt;</u> 16
B. (lu	≤ 0.25 0.5	<mark>≥ 30</mark> 1	D 29 2	28 3	one c 27 6	diam 26	eter 25 1	(mm 24	i), CI 23	_SI b 22	oreak 21	cpoir 20	nts 19	18	17	<u>&lt;</u> 16
вi (lш/br	≤ 0.25 0.5 1	<mark>≥ 30</mark> 1	D 29 2 2	28 28 3 1	one c 27 6 5	<b>1iam</b> 26 8	<b>eter</b> <b>25</b> 1 9	(mm 24 2	1), CI 23 1	_SI b 22	21	(poir 20	nts 19	18	17	<u>&lt;</u> 16
C (ug/ml) m	≤ 0.25 0.5 1 2	<mark>≥ 30</mark> 1	D 29 2 2	28 3 1	27 6 5	<b>1iam</b> <b>26</b> 8 4	eter 25 1 9	(mm 24 2 6	1), CI 23	_SI b 22 2	21	(poir 20	nts 19	18	17	<u>&lt;</u> 16
) MIC (ng/ml) д	≤ 0.25 0.5 1 2 4	≥ <b>30</b> 1	<b>29</b> 2 2	28 3 1 1 1	one c 27 6 5	<b>1iam</b> <b>26</b> 8 4 3	eter 25 1 9 9 4	(mm 24 2 6 2	1), CI 23 1 6 3	_SI b 22 2 2	21 21	cpoir 20 1	nts 19	18	17	<u>&lt;</u> 16
3MD MIC (ug/ml)	≤ 0.25 0.5 1 2 4 8	≥ <b>30</b> 1	D 29 2 2	28 3 1 1 1	one c 27 6 5	liam 26 8 4 3 1	eter 25 1 9 9 4 1	(mm 24 2 6 2	1 1 6 3 1	_SI b 22 2 2 1	21 2	cpoir 20 1	nts 19	18	17	<u>&lt;</u> 16
BMD MIC (ng/ml)	≤ 0.25 0.5 1 2 4 8 16	≥ <b>30</b> 1	D 29 2	28 3 1 1	27 6 5	<b>1</b> iam 26 8 4 3 1	eter 25 1 9 4 1	(mm 24 2 6 2	1 6 3 1	2 2 1	2 2	(poir 20 1	nts 19 1	18	17	<u>&lt;</u> 16
BMD MIC (ng/ml) m	≤ 0.25 0.5 1 2 4 8 16 32	<mark>≥ 30</mark> 1	D 29 2	28 3 1 1	27 6 5	liam 26 8 4 3 1	eter 25 1 9 9 4 1	(mm 24 2 6 2	1 6 3 1	2 2 1	2 2	20 1	nts 19 1	18	17	<u>&lt;</u> 16

DD zone diameter (mm), EUCAST breakpoints



Susceptible

Intermediate

Resistant

DD EUCAST

BMD CLSI

DD CLSI

BMD EUCAST

Pan-β-R

DD EUCAST

AAC