

1 **Title: An integrated multi-criteria decision analysis and optimization**
2 **modeling approach to spatially operational road decisions**

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27 **Abstract**

28

29 This study developed a generic cost-effective approach for spatially explicit decision support
30 involved in the allocation of road repair treatments under mountainous conditions. The approach
31 begins with an assessment of the existing road conditions in order to identify the extent of
32 environmental impacts and to set rehabilitation priorities in a subjective manner of group
33 decision making. An integer programming model is, therefore, formulated by integrating expert
34 knowledge with operational costs to guide repair schedules and repair regimes required to each
35 segment at the operational planning level. To demonstrate the model performance, we applied it
36 to a case study comprising 289 km of paved roads in the central highlands of the Hyrcanian
37 forests, in the northern part of Iran. Sensitivity of inputs such as weights verification, budgetary
38 limitations, and rehabilitation weights were tested. Results of the subjective analysis showed that
39 76% of the road analyzed in these forests must be prioritized to receive treatments as intended
40 for forest logistic purposes. Incorporating the extent of environmental impacts into operational
41 costs provided an optimal tradeoff curve caused by selecting an appropriate treatment for each
42 segment across the road network. The approach demonstrate here can be used to design detailed
43 alternative solutions for addressing spatially road decisions under various terrain conditions.

44

45 *Key words:* spatial road decision, upgrading, environmental impacts, uncertainty, tradeoffs curve,
46 optimization

47

48 **1. Introduction**

49 Forest roads are an integral part of the comprehensive management of natural resources.
50 Traditionally, their services have been severely limited to singular functions such as access to
51 forest resources, allowing forest operations, and the transportation of wood, among others.
52 Management objectives in today's forest planning models are diverse and complex. Increasing
53 the importance of multi-functionality role of forests has radically shifted the conventional
54 management of forest roads from a single-criterion objective (e.g., treating roads to save capital
55 investment) to a multiple-criteria objective (e.g., inclusion of environmental impacts or non-
56 timber products into the formulation of management activities). Therefore, management of active
57 forest road transportation is not an exception (Stückelberger et al. 2006; Ananda and Herath,
58 2008).

59 Forest roads have been found to affect adjacent environments with forest management activities
60 (Richards and Gunn, 2003). They are responsible for the majority of potential and actual
61 impacts, such as hillslope failures, soil erosion and other negative ecological effects. These
62 impacts can vary widely over the useful life of roads, depending on the design standard and the
63 terrain on which they are crossed. Perhaps the most significant impact of forest roads is on water
64 quality, due to demolished watercourses and blocked streams during spring melts or after heavy
65 rainfall, resulting in acute deposition of downstream sediment to aquatic resources (Bettinger et
66 al. 1998; Madej et al. 2006). These impacts can be intensified through improperly decisions
67 made in the design, construction, and restoration stages of forest road networks.

68 With this in mind, following road construction or during its useful life, various geometric design
69 standards (e.g., roadway width, steepness of cutbanks or fillslopes, drain systems, *etc.*) will
70 deteriorate over time and no longer function as intended. Active forest roads are subsequently

71 maintained or upgraded to a higher standard, and some of them may be left untreated or routinely
72 deactivated (Anderson et al. 2006; Weaver and Hagans 2007). Demand for these treatments is
73 influenced by a variety of factors, such as current status of the road, quality or intensity of
74 previous restoration treatments, intended use, available resources, and other strategic objectives.
75 One of the key challenges face road managers is how to maintain road systems cost-effective for
76 meeting all forest services, remarkably in resource-constrained environments, increase
77 environmental regulations and other social desires (Luce and Black, 2001), while ensuring all
78 security and mobility conditions during the life of roads across the road network.

79 Various road segments (the smallest road unit bounded to receive a particular treatment) exist in
80 different rehabilitation states until they are restored to their intended design standards with
81 associated costs. The difficulty of finding individual roads or a set of road segments that need to
82 be rehabilitated with the best class of treatments can overwhelm the decision-making process.

83 In accordance with these decisions, many factors and constraints must be considered from a
84 variety of perspectives, even for a very small illustrative problem (Stückelberger et al. 2006).
85 This is particularly important in steep slope areas where the terrain is notoriously unstable and
86 prone to mass road failures and surface erosion (Madej et al. 2006; Thompson and Sessions
87 2010).

88 The other major problem is not only finding an appropriate rehabilitation treatment (selection of
89 activities), but also where (and when) to implement it, combining a growing array of the decision
90 variables amenable to analysis.

91 Forest practices in mountain regions generally involve competitive objectives (Palma and
92 Nelson, 2014), numerous alternatives and several stakeholders with miscellaneous preferences.
93 This requires that any planning tools and implementations must be able to provide a set of

94 compromising solutions for addressing multiple-forest functions by analyzing massive
95 information of different directions at multiple scales and intensities upfront. This information
96 includes environmental factors (i.e., water quality), physical attributes (i.e., layout of terrain),
97 road design standards (i.e., road gradient), financial incentives (i.e., available resources), and
98 social interests (i.e., stakeholders with conflicting interests) among others. In this context,
99 considering these domains of information into the conventional forest management decision-
100 making process (i.e., single-objective decision tools) can be rather simple when analyzed
101 individually. However, when considered together on a large spatial and temporal scale, make the
102 task more complex and challenging to achieve. This is far from being a trivial task. The
103 complexity arises from the fact that there is no scientifically accepted approach to quantify most
104 of these subjective and/or qualitative objectives. A variety of techniques, however, have been
105 deployed as the solution to address decisions involving forest roads subject to multiple
106 environmental objectives. For instance, Madej et al. (2006), Rackley and Chung, (2007), and
107 Thompson and Sessions (2008) incorporated an estimated erosion rate and sediment delivery, as
108 a proxy of adverse environmental effects on each segment, in the formulation of road repair
109 strategies.

110 The challenge is that some of these environmental objectives or considerations are more difficult
111 to monetize (Costanza et al., 1997; Rackley and Chung, 2007), while others may even have a
112 numerical value which makes monetary expressions irrelevant. For example, the cost of
113 biotechnical practices to stabilize fillslope is generally estimable, the benefit and drawback of
114 these treatments on entire road networks is difficult to quantify.

115 To extend the traditional and/or single-criteria decision-making process, some authors have
116 suggested an integration of multiple criteria decision analysis (MCDA) through using one of its

117 common methods, e.g., DEA (data envelopment analysis), AHP (analytical hierarchy process),
118 *etc.* Given a practical design, such an advanced method can analyze a large array of information
119 either with different sources (environmental or ecological), scales (quantitative or qualitative), or
120 intensities (numeric or categorical data) simultaneously (Ananda and Herath, 2008). Another
121 possible option is the use of multi-objective optimization approaches. Stükelberger et al. (2006),
122 demonstrated a bi-objective linear programming model to frame interactions between road repair
123 treatments and deleterious ecological effects at the operational planning level. Much focus has
124 been given to the use of MCDA techniques in natural resource management and it continues to
125 progress (Mendoza and Martins, 2006; Tampekis et al. 2015), mainly due to the increases in a
126 huge number of variables related to the multi-functionality role of forests and the enlargement of
127 conventional decision making problems. Basically, these methods look for a decision problem in
128 a hierarchically form, to determine decision preferences that are influenced by personal values
129 and various priorities, and eventually to compensate competitive objectives for a number of
130 decision alternatives.

131 There is a considerable modeling approach developed to evaluate forest road transportation for
132 potential environmental impacts (See Bettinger et al. 1998; Tomberlin et al. 2002; Girvetz and
133 Shilling, 2003; Madej et al. 2006; Anderson et al. 2006; Rackley and Chung, 2007; Thompson
134 and Sessions 2010), but few are the application that have considered these impacts in a
135 comprehensive way. Because there is no generic decision method for explicitly quantifying a
136 large part of these impacts and incorporating them into numerical analyses of road rehabilitation
137 treatments. The environmental impacts considered in those studies were limited to a short list,
138 including the risk of erosion, chronic sediment input delivery, and obstacles to aquatic habitat
139 quality. In addition, the sourcing data for these impacts came mainly from historical databases or

140 simulation efforts (e.g., estimating the expected attribute on the basis of previous measurements),
141 largely without or less efforts to validate these databases. For instance, Rackley and Chung
142 (2007) used a computer model, WEPP, to predict expected erosion and sediment delivery, as a
143 proxy of adverse environmental impacts combined with planning decisions for the transportation
144 network. This list can, however, be further expanded to take a few other classes of potential
145 factors to describe environmental harm, such as physical attributes of terrains, road design
146 standards and biological attributes across the road network. Indeed, there is a wealth of
147 knowledge on scheduling of road decommissioning, upgrading, and maintenance using
148 quantitative modeling approaches. It is striking that far too little evidence has been found on
149 implicit selection and tradeoffs between the two major repair activities for active transportation
150 roads (e.g., maintenance is less expensive but has to be done several times, and upgrading is
151 more expensive but can be done less frequently). In addition, there is significant interest in
152 combining quantitative information (cost) with a wide range of qualitative information
153 (subjective or aspatial expert judgements) across the road network to arrive at a compromise
154 solution that analyzes conflicting objectives.

155 The rest of this paper is organized as follows: Section 2 reviews the literature of operation
156 research contributions in management of forest roads rehabilitation. Section 3 begins with a brief
157 description of the spatial road repair problem. The methodology used in this paper, which
158 contains description of the developed approach, the model validation, and the implementation of
159 the system is described in Section 4. Results are detailed in Section 5. Thereafter, Section 6 is
160 devoted to discuss findings, summarize key points, and propose possible extensions of this work.

161 *1.1. Literature review*

162 In the literature, several optimization models have been reported to address road repair decisions,
163 few of which apparently precluded the extent of harmful environmental impacts in their
164 modeling efforts. Anderson et al. (2006) utilized a dynamic programming model to determine the
165 optimal road class and deactivation strategies based on monetary values, without broadening
166 their formulation to consider environmental impacts. Palma and Nelson (2014) developed a
167 robust model formulation to integrate a multiperiod road-building and harvest scheduling
168 problems in which the tradeoffs across the protection of road construction and the minimum
169 feasibility of timber demand are studied. Flisberg et al. (2014) presented a tactical optimization
170 model in which the objective function measures two costs: the cost of harvesting stands at the
171 roadside and the cost of logistic network for which decisions to road rehabilitation were
172 uncertain.

173 A combination of the MCDA and spatial analysis has been conceived to manage road repair
174 strategies in the realm of forestry. Tampekis et al. (2015) used the AHP combined with expert
175 opinions to account for adverse environmental impacts of a road network without anticipating
176 any mechanism designed to handle monetary values. The difficulty of analyzing environmental
177 impacts with monetary values is reported in Coulter et al. (2006a). Heuristics search algorithms
178 (simulated annealing and threshold accepting) were adapted to allocate road repair treatments.
179 Coulter et al. (2006b) used crisp linguistic terms within the framework of AHP. They used a set
180 of crisp or discrete numerical values to handle pairwise comparisons in order to quantify
181 subjective attributes involved in prioritizing road rehabilitation treatments. Richards and Gunn
182 (2000) defined a penalty function to weight losses of biological productivity, as a function of
183 environmental damage, incurred when inappropriate harvest timings were selected for a tactical

184 road scheduling problem. Girvetz and Shilling, (2003) used the ecosystem management decision
185 support (EMDS) to analyze road systems for potential environmental impacts. The management
186 decisions analyzed were road decommissioned and road remain open without including
187 economic costs for future planning process.

188 The environmental database in the existing literature described above, most of which was based
189 on expert opinions, which is clearly unable to address uncertainties in the upstream sourcing data
190 and the result obtained by this type of analysis. Boyland et al (2006) contend that using fuzzy
191 definitions instead of crisp judgements can yield stable outcomes that are less sensitive to
192 change. Tomberlin et al. (2002) developed a stochastic dynamic programming model to generate
193 tradeoff curves between maintaining the current status of roads (status quo management) and the
194 cost of road decommissioning. Madej et al (2006) designed a decoupled strategy, based on
195 dynamic programming and genetic algorithms, to consider the effectiveness of rehabilitation
196 policies, however, the study did not analyze the entire road network for future resource
197 transportation. Rackley and Chung (2007) incorporated the environmental impacts (i.e., sediment
198 delivery) of forest roads into an economic analysis for resource transportation planning. Their
199 study not only precluded the costs of road rehabilitation treatments, but also failed to provide a
200 decision mechanism to quantify other environmental factors for economic analysis.

201
202 The overall objective of this paper is to develop a cost-effective decision approach by integrating
203 individual models (i.e., a subjective model, and a numerical optimization model) within a
204 hierarchical structure to guide essential road repair treatments of the existing road network at an
205 operational planning level. Specifically, this modeling approach is intended, firstly, to analyze
206 the existing road conditions in order to identify the extent of environmental impacts and set
207 rehabilitation priorities in a subjective manner of group decision-making. Secondly, it uses the

208 information resulting from the previous step, as a proxy of environmental impacts, combined
209 with management costs to analyze the tradeoff curves between the total repair cost and the
210 impact of unfavorable environmental factors; due to selecting different repair treatments. In
211 should be noted that these two models were linked as one unique model thanks to a shared
212 database aimed at simultaneously analyzing existing road conditions and thus projecting possible
213 rehabilitation treatments, either repair schedules or repair regimes, during their service life.

214 The scientific contributions of this paper are threefold: 1) to develop a group-multicriteria
215 decision framework combined with theory of fuzzy sets (to address uncertainties regarding
216 upstream input data), analyzing existing road conditions from a variety of attributes, 2) to
217 develop entropy-based metrics to validate weighting procedures and, hence, reduce the
218 uncertainty associated with the quality of results provided by the group-multicriteria decision in
219 the absence of expert opinions, and 3) to propose an efficient optimization model, analyzing
220 tradeoffs between total repair costs and the extent of adverse environmental impacts, due to
221 selecting different repair treatments for an operational planning level. The proposed
222 methodology has a generic framework, and applied to a real-case study in the mountainous
223 forests of Iran to which there is no optimization decision support tool to explicitly choose various
224 repair treatments, both spatially and temporally.

225 **2. Planning problem**

226 It is a common practice to leave forest roads transportation open and active after the cessation of
227 timber harvest operations. Once the operation is completed, these gravel-surfaced roads are
228 maintained for use by forest services for firebreaks, silviculture and other multiple-use activities
229 to which access to the forest is required. The problem facing forest road systems in northern Iran
230 typically begins in mid-autumn (e.g., after heavy rains) and continues through the spring months

231 (e.g., after snow thawing). First, the abscission of leaves in mid-fall casts a large quantity of
232 debris into drainage systems, resulting in the risk of clogging ditches or obstructing culvert inlets
233 during the rainy seasons. Second, during the spring breakup, snowmelt occurs quickly, especially
234 in upland and middle mountains. This can cause significant overland flows into nearby drainage
235 systems. More often than not, much of the road surface in these forests is soaking wet due to the
236 high amount of precipitation per year. These conditions are the common causes of deteriorating
237 roads in the north forests of Iran, which can potentially mobilize a massive amount of sediment
238 into nearby streams and yield a lot of turbidity. Moreover, the low quality of paved surfaces,
239 poorly aligned drainage systems, and improper design standards exacerbates the challenges
240 discussed above. Therefore, a considerable budget due would be required each year to maintain
241 the road system efficient to perform its intended services in case of unexpected damage. Indeed,
242 there is a specified budget constrain to maintain the road system serviceable, while ensuring that
243 its design standards function properly throughout the planning horizon.

244 In Iran, government authorities recently imposed a new forest management policy to reduce
245 annual harvestable volumes on public forest lands over a period of ten years. This is changing;
246 hence, a new analytical decision support tool must be developed in the successful uptake of this
247 policy, and ensures the potential for cost savings, while minimizing the risk of environmental
248 harm on various components of the forest ecosystem.

249 Given the pervasiveness of this decision, local road managers are looking for a new analytical
250 tool to support spatial decisions involved in allocating road repair treatments at the operational
251 planning level. This tool must be able to efficiently determine the repair schedule (i.e., the time
252 when roads or set of road segments should be treated), and the repair regime (i.e., the repair
253 treatment required for each road segment) for the entire road network under their authority. It is

254 for these reasons that we had to develop a resource allocation model independent of traditional
255 harvest decisions, allows realizing the potential for cost savings in an effective manner.

256 In a hierarchical forest management plan, it is at the operational planning level that tactical
257 decisions including road decisions are made spatially explicit. An important function of the
258 tactical planning process is to choose explicit schedules for harvesting practices and logistic
259 activities, including road interventions, both spatially and temporally. A typical forest
260 management plan includes several decisions at different planning levels, although the definitions
261 and decisions made at each level are different depending on the problem and the country
262 (Rönnqvist, 2003). The plan often includes two major sub-plans; one for harvesting operations
263 and associated decisions, such as the location of stands to be harvested, the choice of
264 mechanization, *etc.*, and the other for logistic activities, such as the locations of wood terminals,
265 construction of new roads, road repair treatments, *etc.* The strategic plan often spans a planning
266 horizon of one or more rotations over a period of one hundred years, whereas tactical planning
267 includes a period of ten years, and typical operational planning normally plans for a period of
268 one year.

269 Surprisingly, in Iran, there is no specific optimization model developed to optimize decisions and
270 activities involved in mechanical forest management practices, including operational road
271 decisions, and the majority of these decisions were mainly taken upon experiences from the past
272 or the use of historical data.

273 The current management of road rehabilitation treatments, henceforth, for consistency, status quo
274 management, is undertaken on the need of road segments, virtual inspections (non-destructive)
275 documented during field surveys, and the availability of budget. Road rehabilitation activities are
276 rarely made to comply with harvesting decisions. The main intention of this management plan is

277 to treat the greatest length of roads in order to sustain the continuity of logistic operations
278 without including the effect of environmental impacts in these decisions. A plan like this, in
279 many cases, is not cost-effective, both spatially and temporally. This plan is often scheduled for a
280 period of 10 years in advance, although it can be reassessed when the available budget is
281 projecting for the subsequent year. As has been seen, it is extremely difficult to anticipate the
282 cost of road repair treatments upfront and to determine the timing and location of treatments in
283 this planning approach.

284 In practice, rehabilitation practices for an active road transportation network can be broadly
285 divided into routine maintenance and road upgrading. Road maintenance treatment keeps the
286 road system at a minimum level of service for travel. It is generally carried out following or in
287 conjunction with harvesting operations within a range of at least one or two years in between.
288 This includes a wide range of practices, such as limiting detrimental impacts on the road surface
289 and its shoulders to prevent erosion due to failure of the drainage system, brush cutting,
290 removing unstable fill and sometimes compaction road surfaces. Road upgrade treatment, in
291 contrast, aimed at improving road design standards to a higher level, such as resurfacing, grading
292 cutbanks, replacing or installing poorly aligned cross drain culverts, sight distance and other
293 engineered structures over a longer period of three to five years. Identify and set repair
294 treatments based on intuitive or subjective information from road inventories is time-consuming
295 and challenging, thus this makes the decision-making process difficult in practice even with
296 intensive inventories. The inventory of existing road transport has been identified as a proactive
297 mechanism to prevent future degradation, suggest possible repair treatments and therefore reduce
298 the total rehabilitation cost. It is often carried out by subjective judgements of a qualified
299 inspector, weekly in the spring or monthly during the autumn. A typical road inventory has to

300 provide several types of site-specific information regarding characteristics of the network, which
301 may impact decisions about repair schedules or repair regimes.

302 It should be noted that insofar there is no a decent decision tool for selecting routine maintenance
303 and periodic upgrades, considering management costs and a wide range of negative
304 environmental impacts of rehabilitation policies across the road network. Increasing the potential
305 to cause environmental damage requires a higher standard of repair treatment to be implemented
306 on the road network. This consequently increases the cost of road rehabilitation. Therefore, road
307 managers must select a combination of appropriate treatment for various road segments, by
308 weighting the relative benefits of light treatments with lower operating costs compared to more
309 intensive ones with higher operating costs.

310 We suppose that if entire roads are to be managed in these forests, there are indeed tradeoffs
311 between the timing and location of different repair treatments and the extent of negative
312 environmental impacts. This means that meeting these goals implies compromising the
313 environmental impacts (by allocating an appropriate treatment to the segments under a particular
314 environmental risk), or incurring additional costs (due to the allocation of expensive treatments,
315 which do not need to be rehabilitated with such an expensive treatment).

316 In this article, we attempt to improve the quality of road repair decisions and provide road
317 managers with an integrated decision support tool to analyze subjective attributes of the road
318 network and make tradeoffs between different road repair treatments.

319 It should be noted that the intention of this article is not to accurately monetize the environmental
320 costs of variable attributes, rather to adapt a practical mechanism to estimate the magnitude of
321 these impacts and incorporate them into the numerical analysis for optimal road rehabilitation
322 treatments.

323 3. Methodology

324 Figure 1 illustrates the general framework of the integrated modeling approach. The core
325 element of this approach comprises two parts: (i) the group-multicriteria decision making
326 (group-MCDA) used to identify the scope of environmental impacts and to establish
327 rehabilitation priorities in a subjective manner, and (ii) the optimization model used to allocate
328 essential repair schedules and required repair regimes to each segment with simultaneous
329 consideration of monetary and non-monetary attributes for operational planning purposes.

330 The first part was initiated with: (i.1) the analysis of the decision-making committee, (i.2) the
331 structure of the decision problem and specify its relevant attributes (criteria/subcriteria and
332 factors), (i.3) pairwise comparisons and the development of judgment matrices, (i.4) determine
333 the relative priority weights of decision attributes, (i.5) compute environmental coefficient to
334 road branches, and (i.6) validation of weights and specify risk-assessment attributes.

335 The second part includes (ii.1) the development of mathematical model, (ii.2) the adjustment of
336 environmental coefficients to road segments as inputs for the optimization model, (ii.3) model
337 solving and the sensitivity analysis of inputs.

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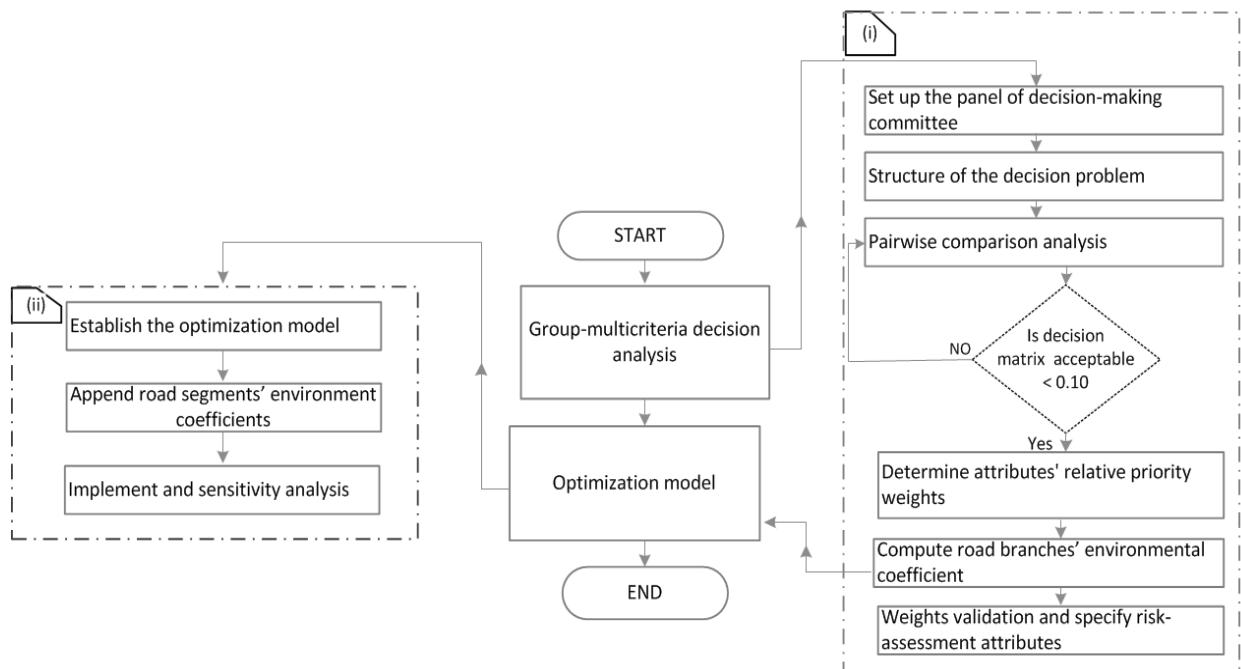
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346 [Figure 1]. Workflow of the study: (i) group multicriteria decision analysis and (ii) optimization model

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350 3.1. Group multicriteria decision analysis

351 3.1.1. Decision-maker analysis

352

353 The aim of the decision-maker analysis was to involve relevant experts and to determine the
354 extent of their contribution in the way of analyzing the underlying problem in a group decision
355 making context (Ezzati et al. 2016). In this regard, different professional interests were invited to
356 collaborate in structuring of the decision problem and to identify the significant decision
357 elements coherent with the overall goal. They were also responsible for performing pairwise
358 comparison matrices correspond to all elements of the decision hierarchy (see subsection 3.1.3).
359 The decision committee includes a panel of five experts with knowledge of local conditions for
360 the case in practice (i.e., two research professionals with three field technicians) who have been
361 involved in forest engineering issues for several years.

362 In collaboration with the decision-making committee, we decomposed the underlying problem
363 into a multi-level hierarchical structure, so that they can focus on a very specific part in the
364 course of analyzing the problem. The panel has consented to respect some principles for
365 selecting the decision elements: 1) thought for technical/logistical factors, including drainage
366 types, road gradient, *etc.* 2) care consideration for road-related environmental concerns, includes
367 distance to a stream, aquatic ecosystems, ground cover, and 3) concern for physical factors,
368 includes hillslope, geologic conditions, slope stability, *etc.* We also reviewed relevant literature,
369 both scientific and technical papers, to identify the range of site types and conditions that were
370 acknowledged by the previous studies for which the overall objective can be fully achieved
371 (Coulter et al. 2006a&b; Thompson and Sessions 2010; Palma and Nelson, 2014; Tampekis et al.
372 2015; Ezzati et al. 2016).

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374 *3.1.2. Structuring of the decision problem*

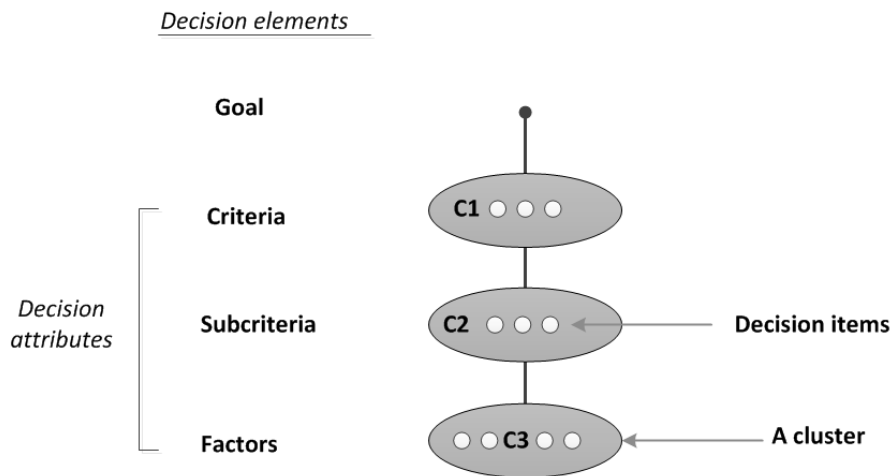
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376 In a generic MCDA, hierarchical decision elements include the overall goal, positioned at the top
377 level, the decision alternatives located at the lowest level, and the decision attributes (i.e.,
378 criteria, subcriteria, and factors) are located between these two extremes. In case of several items
379 descend from a particular decision attribute (i.e., criteria, subcriteria, and factors), a cluster could
380 be formed with the aim of condensing the hierarchical decision-model. In this case study, the
381 decision alternatives are equivalent to the road branches (i.e., a set of aggregated road segments).
382 It should be noted that we aggregated a series of road segments belonging to a particular road
383 branch to create coarse decision units and thus avoid a large number of pairwise comparisons
384 with the MCDA process. After completing the MCDA part, the actual definition of road

385 segments was used to compute management costs for the numerical analysis (see the next
386 Section).

387 In this formulation, the decision elements spread out in all directions and are hierarchically
388 related to each other. A decision hierarchy is a linear top down structure. Figure 2 illustrates a
389 conceptual decision structure composes of goal and decision attributes (i.e., criteria, subcriteria
390 and factors): multitude attributes are positioned inside a cluster.

391 **[Figure 2].** A conceptual decision structure with clusters and associated attributes



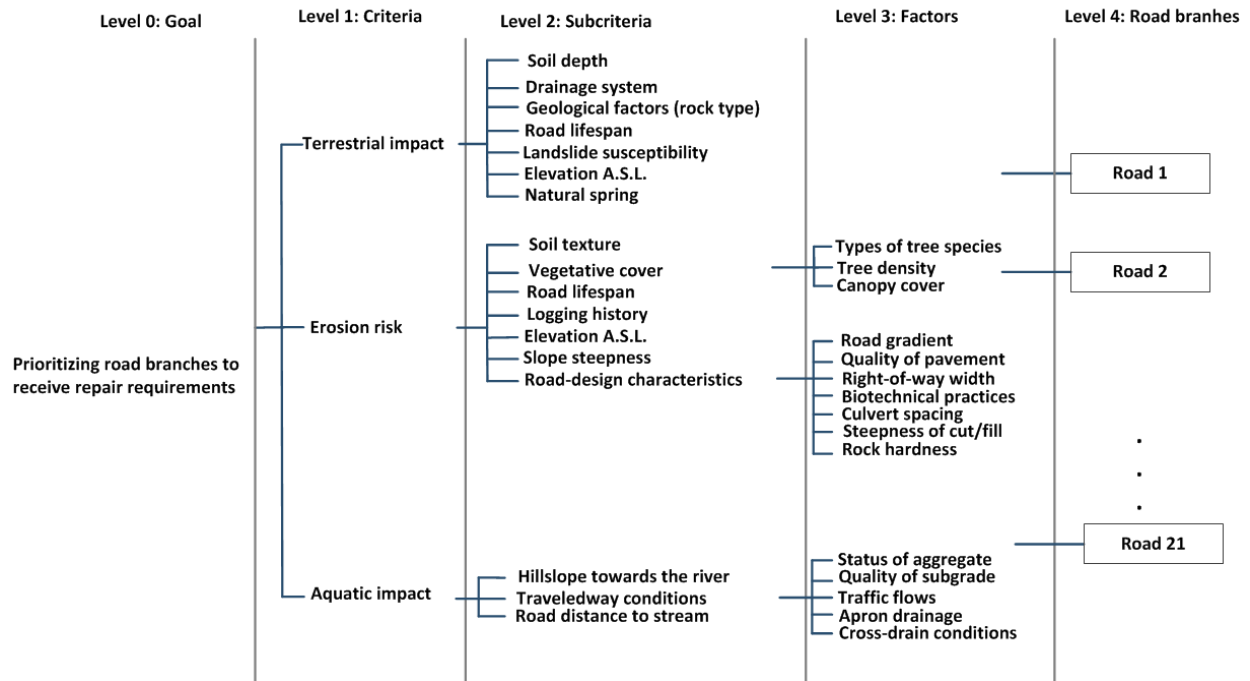
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393 Figure 3 shows a tree-like decision hierarchy, assuming all dependence relationships between
394 elements of the model. The decision problem was hierarchically structured with four levels under
395 the overall goal. Each level was subdivided into multitude clusters with several elements inside.
396 The goal of decision hierarchy defined as ‘evaluating multiple road branches across the road
397 network to receive repair treatments’ subject to a set of environmental attributes.

398 For the first level, we developed three set of criteria by decomposing the goal into its relevant
399 criteria affecting physical structures of the road network, i.e., ‘terrestrial impact’, ‘erosion and
400 sediment risk’, and ‘aquatic impact’. These three criteria were placed in a cluster. The second
401 level of the hierarchy further subdivided the criteria into specific subcriteria. At this level,

402 seventeen subcriteria were delineated, which grouped into three specific clusters. Cluster no.1
403 descends from the criterion ‘terrestrial impact’ with seven subcriteria; cluster no.2 descends from
404 the criterion ‘erosion and sediment risk’ with three subcriteria; and finally cluster no.3 descends
405 from the criterion ‘aquatic impact’ with seven subcriteria. For the third level, we determined
406 fifteen factors, by further breaking the subcriteria down into more detailed factors. In order to
407 keep the decision problem amenable, these factors were grouped into three additional clusters
408 (Figure 3). Cluster no.4 descends from the subcriterion ‘vegetative cover’ with three factors,
409 cluster no.5 descends from the subcriterion ‘road design characteristics’ with seven factors, and
410 eventually cluster no.6 descends from the subcriterion ‘traveledway conditions’ with five factors.
411 Associated with this hierarchy, twenty-one road branches (i.e., a set of aggregated segments)
412 were listed on the fourth level. In summary, the decision hierarchy structured with 35 decision
413 attributes along with 21 road branches as spatial decision alternatives.

414

415 **[Figure 3].** Hierarchical structure of the decision graph proposed for the subjective analysis. A four level
416 of hierarchy is structured under the main goal. Each level is subdivided to a number of clusters. For
417 example, the first level has one cluster with three criteria. The second level has three clusters with
418 multiple items inside; and the third level has three clusters that descended from the subcriteria level at
419 the second level. Associated with these elements at upper levels, road branches are positioned at the
420 bottom of the hierarchy.



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423 *3.1.3. Development of pairwise comparison*

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425 After developing the hierarchical model, a set of square judgement matrices was generated in the
 426 form of a structured questionnaire survey. Table 1 shows a sample of the questionnaire used for
 427 collecting pairwise priority choices.

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436 **Table 1.** A sample of pairwise comparison questionnaire, filled out with verbal scales

Question	Fuzzy expression	
Q.1	With respect to the overall goal ‘prioritizing road branches to receive repair requirements’ what degree of importance do you assign to the criterion ‘terrestrial impact’?	Just equal
		Equally important
		Weakly important
		Strongly more important
		Very strongly more important
	Absolutely more important	
Q.2	With respect to the overall goal ‘prioritizing road branches to receive repair requirements’ what degree of importance do you assign to the criterion ‘erosion & sediment risk’?	Just equal
		Equally important
		Weakly important
		Strongly more important
		Very strongly more important
	Absolutely more important	
Q.3	With respect to the overall goal ‘prioritizing road branches to receive repair requirements’ what degree of importance do you assign to the criterion ‘aquatic impact’?	Just equal
		Equally important
		Weakly important
		Strongly more important
		Very strongly more important
	Absolutely more important	

437

438 The questionnaire surveys were distributed among members of the decision-making committee.

439 They were mandated to evaluate the decision elements, based on their expert knowledge, and

440 thus collect pairwise comparison matrices, as part of data acquiring. Development of the

441 pairwise comparisons or judgement matrices is performed from the top to bottom. In doing so,

442 the decision-making committee was independently asked to determine the strength of preference

443 or importance of each item versus another, on the importance of a decision attribute or a road

444 branch. The aim of this step was to standardize the model elements and obtain the associated

445 weights.

446 The number of judgements for each set of comparisons with n attribute is calculated as $n(n -$

447 $1)/2$. To do so, a criterion is chosen as a base; pairwise comparisons among its relevant

448 subcriteria, situated at a lower level, are carried-out until all criteria are completed. In the same

449 way, a road branch is chosen as base; and pairwise comparisons among its relevant criteria are

450 conducted. This process is repeated for other elements until all pairwise comparisons are
451 completed.

452 As an example, the criterion 'risk of erosion and sediment' is determined by the potential
453 subcriteria among the assertions of: 'soil texture', 'vegetation cover', 'geological factors', 'slope
454 steepness', *etc.* The relationship or how these attributes are related to each other is shown on two
455 levels. The criterion is positioned on the first level, while the associated subcriteria are situated
456 on the second level. These subcriteria are formalized in a square matrix and compared with
457 respect to criterion at the first level. To determine the importance of these subcriteria with
458 respect to the criterion 'erosion and sediment risk', following question forms the questioner. I)
459 What degree of importance do you assign to subcriterion 'soil texture'? ii) What degree of
460 importance do you assign to the subcriterion 'slope steepness'? Other elements of the developed
461 decision hierarchy followed similar trends.

462 In this study, for a given questionnaire, it was necessary to perform a number of 805 pairwise
463 comparisons, i.e., 210 pairs at the alternative level with 595 pairs at the attribute level, including
464 criteria/subcriteria and factor.

465 As cited in the introduction, a major benefit of the MCDA is related to its flexibility dealing with
466 inputs of multiple units, intensities or scales. For this instance, slope is measured in per cent,
467 distance is in meter, while vegetative cover is estimated on number of tree per ha. In order to use
468 these data, they must be converted to relative values. Typically, this can be accomplished using
469 either linear discrete scales (Saaty 1980) or fuzzy linguistic scales (Kulak and Kahraman 2005).

470 The discrete scale consists of a unique, single and crisp numerical valuation on a scale of 1 (least
471 important) to 9 (most important) to transform subjective information through pairwise priority

472 choices. A fuzzy number, by contrast, is a class of object with a continuous gradation, between
473 zero and one (gray scales), denotes partial membership in a set.

474

475 *3.1.4. Determining weights*

476

477 The AHP was used, as a base method, to quantify subjective information of the group-MCDA
478 (including multiple experts in the evaluation process), and to define priority weight associated
479 with different parameters involved in the evaluation of forest roads for the environmental
480 impacts. Although the AHP procedure is not free of criticism (Mendoza and Martins, 2006), it
481 seems to fit well with the type of problem considered in this study. The reliability of the AHP in
482 the realm of spatially forest planning problems has been well documented (See Kangas and
483 Kangas 2005; Coulter et al. 2006a&b).

484 The motive for the deployment of fuzzy linguistic scales is based on the fact that the classical
485 AHP, using the discrete scales to cover pairwise priority choices, cannot address uncertainties.
486 First, the traditional AHP method assumes that a decision-maker has to provide a crisp valuation
487 to transform subjective information through pairwise comparisons. In these situations, decision
488 makers might be unable or reluctant to assign crisp values, and hence their preferences are often
489 involved with uncertainties. Second, decision makers have been cognitively limited to express
490 their opinion within threshold boundaries (Saaty's scales) to pairwise priority choices. In fact
491 the choice of human preference has a significant effect on outcomes, and can be a major source
492 of uncertainty in each decision-making process. Since human beings are involved in the
493 decision-making analysis, and their preferences should determine the importance weights for a
494 set of elements, therefore, this makes the fuzzy decision-making necessary.

495 In this study, therefore, the fuzzy linguistic scales were used to calibrate subjective information
 496 to numerical values through pairwise priority choices, as illustrated in Table 2. More specifically,
 497 we used symmetric triangular fuzzy numbers (TFNs), as the most common fuzzy expressions to
 498 determine weight of elements in the hierarchical matrix. A TFN can, typically, be denoted as a
 499 triple $\tilde{A} = (l, m, u)$, in which parameter, l , m and u , corresponds to lower bound, modal-upper,
 500 and upper bound, respectively.

501 **Table 2.** Triangular fuzzy number (TFN) conversion scales for converting element values to relative scales

Linguistic scale	Triangular fuzzy scale	Reciprocal scale
Just equal	(1, 1, 1)	(1, 1, 1)
Equally important	(1/2, 1, 3/2)	(2/3, 1, 2)
Weakly important	(1, 3/2, 2)	(1/2, 2/3, 1)
Strongly more important	(3/2, 2, 5/2)	(2/5, 1/2, 2/3)
Very strongly more important	(2, 5/2, 3)	(1/3, 2/5, 1/2)
Absolutely more important	(5/2, 3, 7/2)	(2/7, 1/3, 2/5)

502
 503 As an example, slope of road is a linguistic variable if its value supposed to be stated using
 504 typical triangular fuzzy numbers (i.e., low, medium, high), instead of discrete terms (i.e., 0%,
 505 5%, 10%). The associated fuzzy labels use to convert this expression can be expressed as a
 506 vector of [2.50, 3.00, 3.50]. Reciprocal relationships and/or values for inverse comparisons of
 507 this statement would denote [0.20, 0.34, 0.40], respectively.

508 The pairwise comparisons of each branch at each level of the decision hierarchy were formalized
 509 into a matrix and used to determine a vector of relative priority weights. In the present study, the
 510 algorithm of Chang (1996) is preferred as the base method for the analysis of pairwise
 511 comparison matrices using the TFNs, and also for scaling off the fuzzy numerical valuations.
 512 This method is often used in fuzzy-MCDA studies and its extent synthesis method is popular in
 513 the field of decision support system. For a comprehensive description of fuzzy sets theory and

514 mathematical transformations from the fuzzy results to crisp definitions, we direct the reader to
515 the excellent tutorial by Kulak and Kahraman (2005).

516 For each matrix, the approach requires consistency to be checked to detect possible errors in
517 judgements. In case of inconsistency in the decision matrix (generally no more than a threshold
518 of 0.10) questionnaires were returned to the decision-committee to reappraise their preferences
519 until the value of consistency ratio was acceptable. In a group-MCDA context, individual
520 preferences must be combined in some way to obtain group preferences. To do so, once the
521 preceding questionnaires completed the individual judgements in the form of weights for
522 decision attributes and road branches were combined. We used a geometric mean to unite
523 judgements of several experts (Saaty 1980). We, therefore, compute relative priority weights by
524 some kind of decision rule for the decision attributes (i.e., criteria/subcriteria and factors) and the
525 road branches under each of attribute separately.

526

527 *3.1.5. Determining environmental coefficients*

528

529 The relative priority weights for the decision attributes and the road branches were multiplied
530 and then aggregated using an additive function that resulted in final or global weights for all road
531 branches as described in Eq. 1. Those road branches with a higher global weight will, therefore,
532 carry a greater magnitude to cause environmental damage.

$$w_l = \sum_{j=1}^m \tilde{t}_j \tilde{r}_{lj} \quad \forall i \in n \quad (1)$$

533

534 where w_l is the final priority weight of the l^{th} road branch, \tilde{t}_j is relative fuzzy weight of
535 the j^{th} criterion ($j = 1, 2 \dots m$) against the l^{th} road branch ($l = 1, 2 \dots n$) in the normalized fuzzy

536 decision matrix, and \tilde{r}_{lj} represents relative fuzzy weight of the l^{th} road branch against
537 the j^{th} criterion.

538 Intuitively, a solution to the MCDA can be represented as a vector of priority weights for all road
539 branches $W = (w_1, w_2, \dots, w_l)$, where w_l is the final weight or so-called *environmental*
540 *coefficient* to each branch of the road network as a proxy of environmental impacts. The entire
541 MCDA approach above allows for weighting road branches to cause potential environmental
542 harm. This means that a final priority weight for a particular branch represents the need for that
543 branch to receive a certain type of treatment, depending on the intensity or magnitude of (w_l).
544 More detailed discussion on the fuzzy multicriteria decision analysis and the theoretical
545 background of this decision theory to compute weights has been presented in the excellent work
546 by Shukla et al. (2014).

547

548 *3.1.6. Weights validation*

549

550 The entire MCDA is based upon intuitively subjective assessments provided by decision-makers
551 and thus it may provide a consistent indication or not. Therefore, we have verified the quality of
552 solutions provided by the MCDA model from a numerical perspective. Shannon's entropy
553 method was adapted to objectively derive attribute weights against misjudgments (Shannon and
554 Weaver, 1963). In doing so, we want to ensure that that attributes (i.e., criteria, subcriteria and
555 factors) with the highest priority weight, within each cluster, are not selected by chance. Using
556 entropy, the weight assigned to a decision attribute is directly related to the average level of
557 information or uncertainty inherent in the possible results. A low value of the entropy index
558 corresponds to an element with a higher weight within its cluster, and therefore, a greater
559 discriminatory power in the decision-making process.

560 The pairwise comparison matrices, obtained in the first step of the MCDA process, were the
 561 main source of data used for this validation analysis. The analysis is started with the
 562 standardizing decision attributes in order to eliminate anomalies as (Eq. 11). Variabilities or
 563 disorders in the relative weight of decision attributes to a certain extent within items of a cluster
 564 or the whole cluster are interpreted as anomalies. The next step was to determine the levels of
 565 anomaly and/or uncertainty for each item within a cluster through the entire decision hierarchy
 566 as Eqs.12 and 13.

Indices

j index for decision criteria; $j = 1, 2 \dots m$
 i, h index for road branches; $i, h = 1, 2 \dots n$

Parameters

x_{ij} the individual preference or relative importance value assigned to the j^{th} criterion against the i^{th} road branch. This set of parameter obtained from pairwise comparison matrices, as the first step of the group-MCDA process
 k a constant value between 0 and 1
 log the default log algorithm is log_2

Decision variables

E_j the entropy value for decision criterion j
 w_j the uncertainty rate for decision criterion j
 p_{ij} the probability value for each entry in the decision matrix

567

$$p_{ij} = \frac{x_{ij}}{\sum_{h \in m} x_{hj}} \quad \forall i \in n, j \in m \quad (11)$$

$$E_j = -k \left(\sum_{i \in n} p_{ij} \log(p_{ij}) \right) \quad \forall j \in m \quad (12)$$

$$w_j = \frac{1 - E_j}{\sum_{j \in m} (1 - E_j)} \quad \forall i \in n \quad (13)$$

568 The outcome of the entropy method is a subset of attributes (i.e., criteria, subcriteria and factors)
569 that can potentially guide decisions about the frequency of rehabilitation treatments without
570 including subjective expert opinions. This subset is called risk-assessment attributes.

571 As briefly mentioned, solutions of the group-MCDA model are operationally viable. This can be
572 interpreted to mean that MCDA techniques are likely able to generate *feasible* or (*near*) optimal
573 solutions by analyzing massive information from a variety of aspects. In addition, they are
574 insufficient themselves to guarantee *optimal solutions* or generate plans periodically on a rolling
575 horizontal basis as linear programming models can do. A similar criticism had already been
576 made on the EMDS decision-support developed by Reynolds et al (2003) for analyzing road
577 networks subject to environmental impacts using expert knowledge. To fill this gap, the present
578 study used the concept of sharing database between two models. To do so, we used the vector of
579 environmental coefficients, as the final outcomes of the MCDA process, to weight environmental
580 risks to each road branch. These weights are therefore combined with the cost of repair
581 treatments to allocate an appropriate treatment for various segments of the road network (see
582 sub-section 3.2). This method is similar to that of Thompson and Sessions (2008), who assigned
583 a hazard rating to weight environmental damage to each segment of decommissioned roads.

584

585 ***3.2. Optimization model***

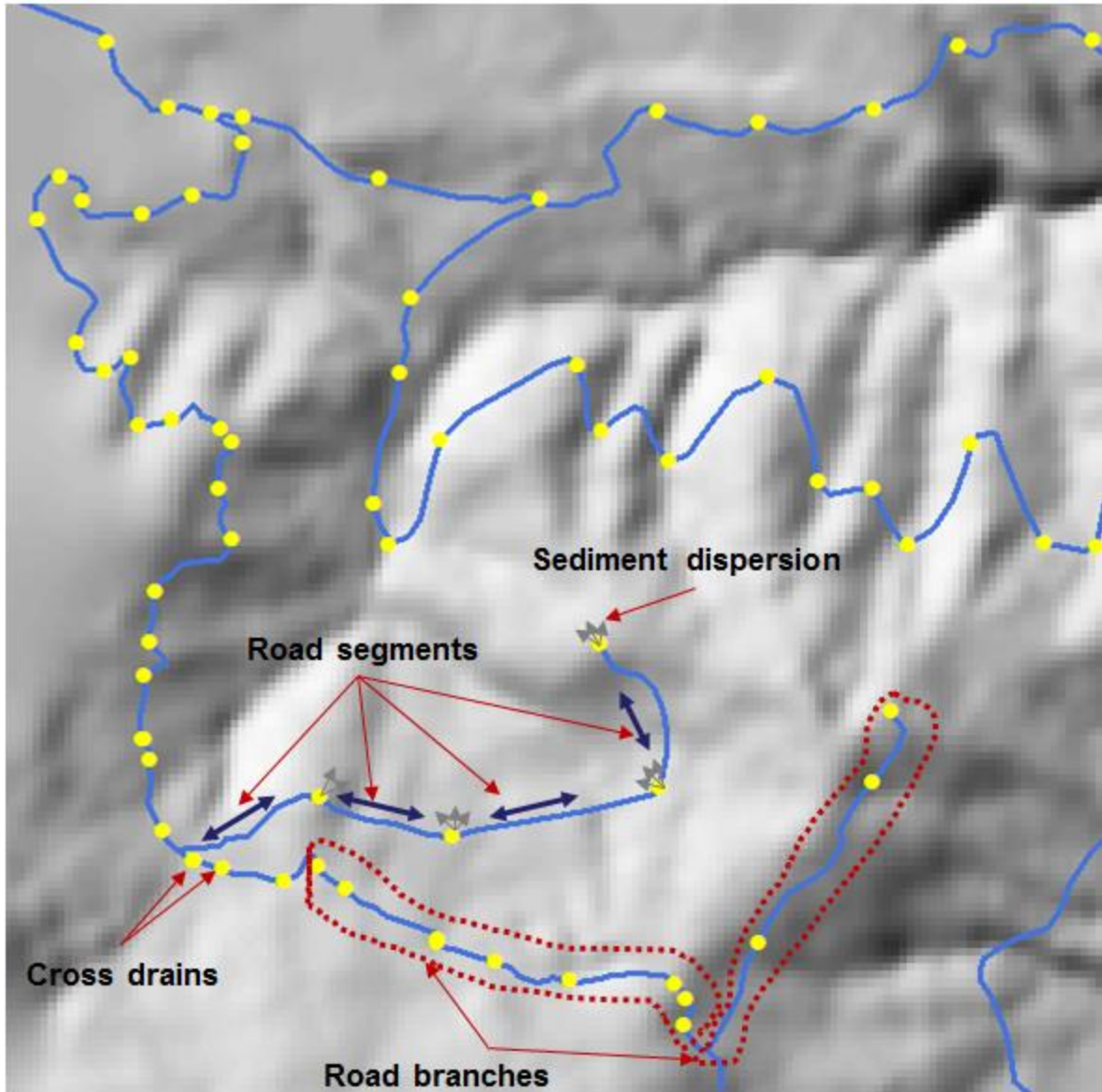
586 *3.2.1. Mathematical model development*

587

588 In this section, we present a generic integer programming (IP) model aimed at minimizing the
589 total road repair cost, subject to resource limitations and the operations connectivity specified by
590 the constraints. The model below falls into the category of spatially resource allocation problems
591 for making decisions on road rehabilitation treatments at the operational planning level. To

592 create a more challenging problem with opportunities for optimization, we assume the actual
593 decision units (road segments instead of road branches) to properly allocate repair treatments.
594 From this point on, we used the notion of road segment as the smallest spatial unit in which a
595 particular road branch was supposed to be made up of a set of aggregated segments. Figure 4
596 illustrates part of the studied transportation road network on a shaded relief model to account for
597 differences between road segments and road branches. It exemplifies a network with several road
598 branches to which each branch consists of a sequence of road segments.

599 **[Figure 4].** A part of the studied transportation road network on a shaded relief model. Blue lines
600 represent road segments; irregular shapes indicate road branch, which made from a sequence of road
601 segments; gray arrows indicate directions of sediment flow along roadside ditch; yellow dots specify
602 cross drains through the entire road network



603
604
605
606
607
608
609
610

Indices and Sets

T the set of planning periods

L the set of road segments

Decision variables

z_{lt} 1 if road segment l received routine maintenance treatment in period t , 0 otherwise

y_{lt} 1 if road segment l received periodic upgrading treatment in period t , 0 otherwise

Parameters

h_l the length of road segment l

c_l^m the unit cost of routine maintenance (\$ km⁻¹) for road segment l

c_l^u the unit cost of periodic upgrading (\$ km⁻¹) for road segment l

B_t the budget target available in period t for rehabilitation works (i.e., maintenance and upgrading)

α discount rate

611

612 The mathematical formulation of the model is as follows:

$$\text{Minimize } k_1 = \sum_{t \in T} e^{-\alpha(t-1)} \left[\sum_{l \in L} c_l^m h_l z_{lt} + \sum_{l \in L} c_l^u h_l y_{lt} \right] \quad (2)$$

613 Subject to the following constraints:

$$\sum_{t \in T} z_{lt} = 1 \quad \forall l \in L \quad (3)$$

$$\sum_{t \in T} y_{lt} \leq 1 \quad \forall l \in L \quad (4)$$

$$\sum_{l \in L} c_l^m z_{lt} + \sum_{l \in L} c_l^u y_{lt} \leq B_t \quad \forall t \in T \quad (5)$$

$$y_{lt} \leq \sum_{t' \leq t} y_{lt'} \quad \forall l \in L, l' \subset l, \forall t \in T \quad (6)$$

$$z_{lt} \leq y_{lt+3} \quad \forall l \in L, \forall t \in T \mid t \geq 3 \quad (7)$$

$$z_{lt}, y_{lt} \in \{0, 1\} \quad \forall t \in T, \forall l \in L \quad (8)$$

614

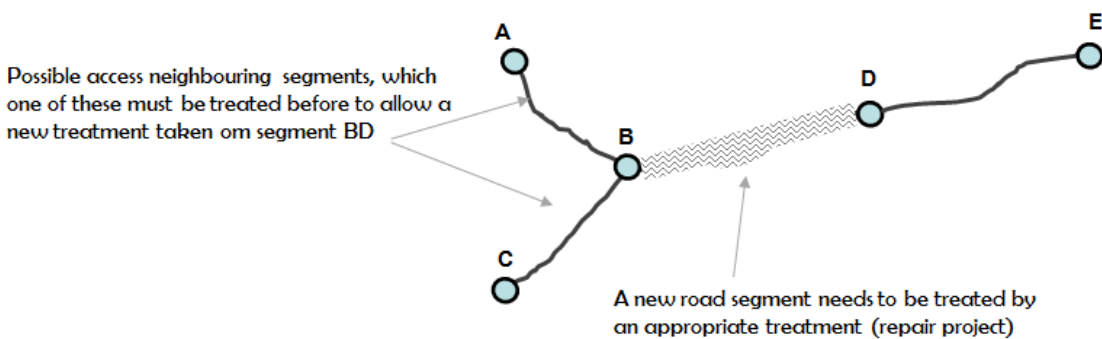
615 The objective function (Eq. 2) minimizes the discounted costs of repair actions over the planning
616 horizon, assuming that the first period ($t = 1$) is the current period. In the case study, the
617 planning horizon was set at 10-year, divided into ten 1-yr periods. The reason for choosing this
618 horizon was to provide detailed information on the timing and location of various rehabilitation
619 treatments on a yearly basis.

620 Constraint set 3 ensures that each road segment shall have received exactly one maintenance
621 treatment. Constraint set 4 forces the model to choose road upgrading treatment at most once.
622 The total repair cost must reflect budget targets for each period as specified in constraint set 5.
623 Constraint sets 6 and 7 are project-to-road trigger constraints, similar to those first introduced by
624 Kirby et al. (1986) and Guignard et al. (1998). These constraints are used because they provide a
625 tighter formulation to integer or mixed-integer problems in such a way as to avoid the generation
626 of isolated links (i.e., uneconomical integer-feasible solutions) in the resulting network
627 (Weintraub et al. 2000; Andalaft et al. 2003). The main intention of defining this set of
628 constraints, in the current formulation, ensures connectivity of treatment projects (i.e.,
629 upgrading) across the road network. This can be interpreted to mean that a segment l can be
630 upgraded in t only if another segment that gives access to l (\hat{l} in access l) was upgraded in any
631 period before t ($\hat{t} \leq t$). Set 6 describes connectivity of upgrade treatments among adjacent
632 segments. Constraint set 7 spatially links two decision variables and guarantees the continuity of
633 various repair treatments (maintenance and upgrading) in a sequence of three years. This means
634 that if a segment has maintained in the first-year of the maintenance cycle, upgrading of that
635 segment will start with a delay of three-year maintenance cycle. Finally, sets 7 and 8 declare the
636 decision variables restrictions.

637 A schematic representation of project-to-road triggers is given in Figure 5. This figure simply
 638 implies that the target road segment BD should not receive a treatment project in any periods
 639 unless one of its access neighboring segments, i.e., AB or CB has received a treatment in
 640 advance. In this way, the network optimization problem is self-adjusting based on the selection
 641 of continuous repair projects and avoids the forming of isolated repair projects across the
 642 network. This will affect the size of the search algorithm and ensure that optimal solutions are
 643 identified quickly (Guignard et al. 1998).

644

645 **[Figure 5].** Illustration of project-to-road triggers constraints



646

647 3.2.2. Append environmental coefficients

648

649 Apparently, the best possible solution would seem to be assigning light treatments of cheap
 650 operating costs (maintenance treatments) to a large part of the road network accompanied by a
 651 small proportion of heavy treatments of expensive costs (upgrading treatments), which minimize
 652 costs, but may not include environmental impacts and/or priority weights in this analysis. In
 653 order to account for this, we add the priority weight of environmental impacts to equation 2.
 654 Thus, the objective function problem (Eq.2) is reformulated as (Eq.9) by multiplying the
 655 environmental coefficient for a particular road branch (w_l), as the final outcomes of MCDA
 656 process. It is thus given by:

$$\text{Minimize } k_2 = \sum_{t \in T} e^{-\alpha(t-1)} \left[\left(\sum_{l \in L} c_l^m h_l z_{lt} + \sum_{l \in L} c_l^u h_l y_{lt} \right) w_l \right] \quad (9)$$

657

658 With the increase in the magnitude of w_l , the road branch carries a greater weight to cause
 659 environmental damage, and hence a higher priority weight to be rehabilitated in the earliest
 660 possible time with an appropriate treatment. The resulting weighted-objective function (Eq. 9)
 661 minimizes total costs of repair actions, considering two costs: the present cost of routine
 662 maintenance (the first term) and the present cost of periodic upgrading (the second term), which
 663 multiplied by the extent of negative environmental impacts.

664 We should further recall that, through the MCDA phase, the vector of w_l defines environmental
 665 coefficients corresponding to each road branch (i.e., the coarse decision unit, which includes a
 666 set of aggregated segments). We, therefore, needed to compute this weight for all segments (i.e.,
 667 the fine decision unit, which emanates from a particular road branch) in a suitable way consistent
 668 with the preceding assumptions of the optimization model. To do so, we extended the normalised
 669 vector of environmental coefficients as the number of road segments (i.e., 194 weights instead of
 670 21 weights). This means that, a global priority weight belonging to a particular road branch can
 671 be distributed equally among segments emanating from that branch. This is the necessary step
 672 accomplished to assign priority weights to road segments instead of using aggregated forms of
 673 road length in the way of numerical analyses.

674 This information would seem sufficient to generate an optimal solution to the actual problem at
 675 the segment level, yet it is loose, in the sense that we do not know which types of repair
 676 treatments (maintenance or upgrading) should receive this priority weight? To address this, we

677 partitioned the environmental coefficient ‘ w_l ’ into $w_{l1} > 0$ for road maintenance treatment and
 678 $w_{l2} > 0$ for road upgrading treatment such that $w_{l1} + w_{l2} = 1$.

679 The magnitude of environmental coefficients depends on physical status of the road length for
 680 various repair treatments, and therefore equal combinations of that may or may not be realistic.
 681 To do so, we implemented combinations of weight to road repair costs to generate an efficient
 682 tradeoff curves between total cost and the negative environmental risk; due to the selection of
 683 various repair treatments. For example, a small change in the magnitude of w_i enforces the
 684 model to precede light treatments of inexpensive operating costs or maintenance, given that the
 685 road segment already carried a lower weighting value for environmental impact. A higher
 686 weighting value, conversely, compels the model to forego heavy treatments of expensive costs or
 687 upgrading, if the segment has not received any actions before.

688 The tradeoff curve is therefore generated by selecting an optimal treatment for each segment of
 689 the road network (maintenance which is inexpensive, but need to be done intensively, or
 690 upgrading which is expensive, but can be done infrequently), while simultaneously considering
 691 the magnitude of negative environmental impacts and the cost of repair operations. This allows
 692 for more accurate operational road repair plan to be made, through combining both objective and
 693 subjective modeling approaches. It is thus revised the objective function (Eq. 9) as follows:

$$\text{Minimize } k_3 = \sum_{t \in T} e^{-\alpha(t-1)} \left[\sum_{l \in L} c_l^m h_l z_{lt} w_{l1} + \sum_{l \in L} c_l^u h_l y_{lt} w_{l2} \right] \quad (10)$$

694
 695 The resulting objective function (Eq. 10) can be solved several times under different weighting
 696 combinations to generate a range of non-inferior solutions, in which one repair treatment can be
 697 generated only by sacrificing the other treatment.

698 *3.2.3. Model solving and sensitivity analysis*
 699

700 All computations pertain to the optimization model were conducted on a machine with 2.90
 701 GHz, an Intel core i7, and 8 GB of memory. The mathematical model was implemented using
 702 ILOG AIMMS with CPLEX 12.8 solver. The actual model has 3,240 constraints, 6,440 integer
 703 variables, and 23,517 nonzero variables (i.e., how many coefficients of the matrix are
 704 nonnegative values). The model is not computationally intensive solving. A typical runtime for
 705 one solution using this formulation is approximately 3.5 s. Given the number of decision
 706 variables, this seems like reasonable performance for a problem of this magnitude. We designed
 707 a number of scenarios by modifying the available budget to gain a sense of how well the model
 708 performs in achieving optimality. For each scenario, eight non-inferior solutions for
 709 environmental impacts were generated (one base value, and seven changed values) by varying
 710 weighs w_{l1} and w_{l2} , respectively, for maintenance and upgrading (Table 6). To gain good
 711 approximation of the tradeoffs curve, combinations of static weightings extreme between $1 - \varepsilon$
 712 and ε , were put into simulations.

713 **Table 6.** Weightings extreme for the repair treatments

Weightings extreme (E)	Combination of weighting value	
	w_{l1}	w_{l2}
0 and/or base level	N/A	N/A
1	0.00001	0.999999
2	0.05	0.95
3	0.20	0.80
4	0.50	0.50
5	0.80	0.20
6	0.95	0.05
7	0.999999	0.00001

714

715 3.3. Case study

716 To validate the model developed and illustrate its effectiveness in providing meaningful
 717 solutions, we applied it to a realistic setting covered by 289 km of gravel-surfaced roads (e.g.,
 718 used for moderate traffic load and lifespan). These off-road transportation networks are
 719 connected to a 19 km of public road (e.g., intended for a high traffic load a long service life) in
 720 the central highland of the Hyrcanian forests in northern Iran. The public road has excluded
 721 from the current analysis. Roadbeds were built on a 50-cm-thick of gravel ballast with a constant
 722 width of 4-m and an average gradient of 8%. The current network contains 322 segments, which
 723 are intervening stretches of the road that located among one or several culverts, consist of
 724 roadbed, cutbank and fillslope (fine spatial decision units). These segments operationally belong
 725 to 21 road branches, e.g., roads connecting several cutting blocks of variable lengths (coarse
 726 spatial decision units). The road segments are different in their repair regimes, traffic levels,
 727 drainage systems, geometric attributes, e.g., length, curvature geometry, construction time and
 728 road gradient. Table 3 presents a brief description of the case study.

729 **Table 3.** General information of the study area

Aspect	Entire road network
Soil textures	Clay-loam-sand and silty-clay-loam
Stand structures	Mixed stands (e.g., beech-oak, hornbeam, <i>etc.</i>)
Logging history	Semi-mechanized- animal logging
Drainage systems	Crowned, out-slope and in-sloped ditches
Road surface material	A range of mixed river gravel ballast with crushed sandstone
Elevation A.S.L. (m)	150 - 2,200
Precipitations (mm)	645 - 671
Natural hillslopes (%)	24.00
Culverts spacing (m)	~ 150 - 400
Road lifespan (yr)	≥ 5 - 10
Traffic levels (truck volume per day)	10 - 50
Road gradient (%)	3 - 10
Lane width (m)	3.50
Shoulder width (m)	1.00

Maintenance regime (yr)	3 - 4
Designed road width (m)	5.50
Average distance to stream (m)	80 0– 2,500
Cutslope height (m)	2.00

730

731 The region lies geographically between 36° 25'N and 36° 35'N latitudes and 51° 36'E and 51°
732 46'E longitudes in northern Iran, has variable topography with an average hillslope gradient of
733 35%, significant amount of precipitation (on averaged about 1,308 mm yr⁻¹ with very large
734 inter-annual variability) with an average humidity of 82%. The area of concern for this study
735 included 400 km². Over 85% of the study area is covered with dense broadleaved forests, largely
736 managed for timber production. Road mass failures and the potential for landslides within the
737 study area are known to be low. In addition to commercial utilization, other forest management
738 objectives are conservation, rehabilitation, rural development, research and education.
739 Harvesting operations are carried out using single-tree selection system in the context of close-
740 to-natural silviculture, which necessitates a high density of the road network. There are 246
741 harvest units ranging from 50 to 90 ha sometimes up to 120 ha in size. The current road networks
742 serviced more than 1,000 m³ of roundwood to multitude forest companies on a yearly basis by
743 short log trucks and dump trucks.

744 The cost of road rehabilitation depends on the length of segment, the distance to a nearby quarry
745 or pit, and the physical condition of road pavement. For the case study, we assumed US\$1,742
746 per km for maintenance, and US\$5,225 per km for upgrading. The cost data are calibrated
747 according to current standard guidelines for gravel-surfaced roads in mountainous forests of Iran.
748 The basic annual discount rate is assumed to be 4%. There is also no exact value on the budget
749 constraints for road repair treatments, we, thereby, assume a US\$1.2E+5 as the required budget
750 targets for each planning period. It should be noted that this level of budget was estimated

751 exogenously from a road repair operation project executed for the last ten years. A complete
752 description of input data used in this study is presented in Appendix 1.

753 **4. Computational experiments**

754 *4.1. Group-MCDA*

755 Given 35 decision attributes along with 21 road branches and five experts, a total of 4,025
756 pairwise comparisons were carried out. This level of analysis was impossible via ordinary
757 decision-making tools, such as Expert Choice software. We, therefore, developed a spreadsheet
758 calculator within the Microsoft Excel to consider such a large number of analyses. Table 4 shows
759 the relative priority weights (i.e., fuzziness numerical values) for each of the attribute subject to
760 dependency relationships in each branch at each level of the decision hierarchy. The
761 inconsistency ratio was less than 0.1 and all pairwise comparisons were accepted as consistent.
762 Some influences can be useful for further studies. For example, among the assumed criteria for
763 the first level, ‘terrestrial impact’ was the most important criterion (0.448); followed by ‘erosion
764 and sediment risk’ (0.405), whereas direct impact to ‘aquatic habitats’ was considered less
765 important (0.147) with respect to the overall goal. The highest relative weight (0.161) was
766 related to the subcriterion ‘landslide susceptibility’ among all the subcriteria descended from the
767 criterion the ‘terrestrial impact’ at the upper level. The subcriterion ‘road design characteristics’
768 had the highest relative weight (0.154) among all subcriteria-related to the criterion ‘erosion &
769 sediment risk’. The factor ‘types of tree species’ was the most important (0.369) within assumed
770 factors describing the subcriterion ‘vegetative cover’. The factor ‘quality of pavement’ had the
771 highest relative weight (0.159) corresponds to ‘road design characteristics’ at the subcriterion
772 level. The subcriterion ‘traveledway conditions’ (e.g., width, vehicle way, *etc.*) had the highest
773 relative weight (0.557) with respect to the criterion ‘aquatic impact’. Likewise, ‘cross-drain

774 conditions and status of ‘road surface aggregate’ were considered evenly as the most important
 775 factors (0.211) describing ‘traveledaway conditions’ at the third level. Relative priority weights
 776 for other components of the decision-hierarchy are specified in Table 4.

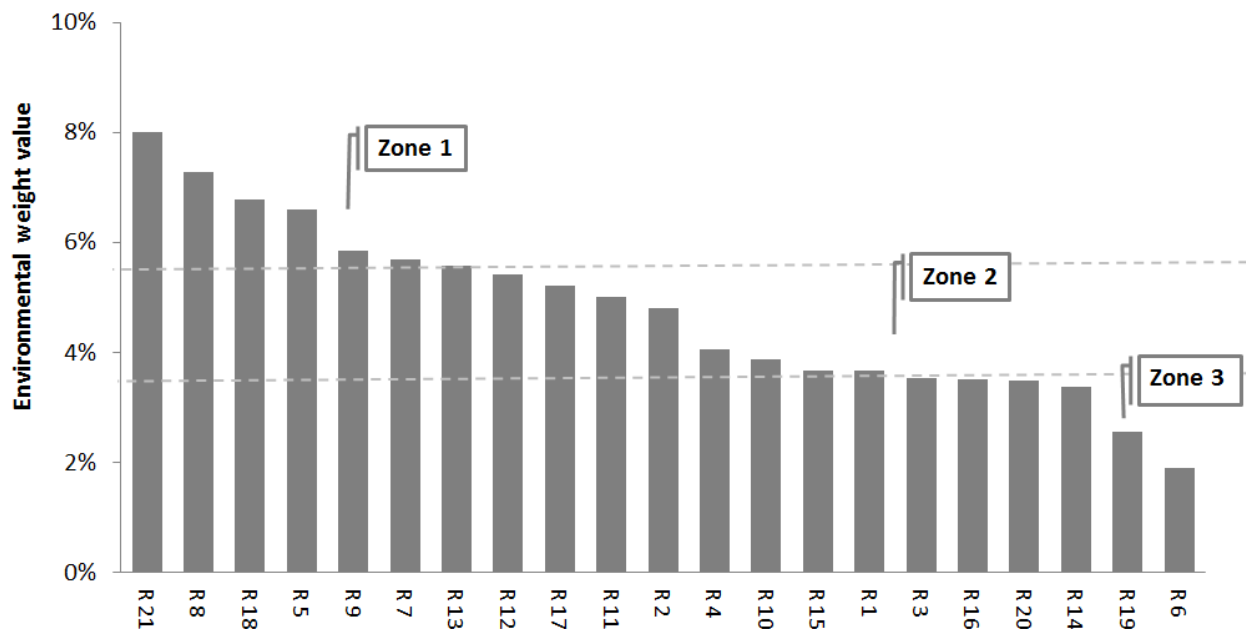
777 **Table 4.** Deriving relative priority weights for the hierarchical decision model

Decision attributes			Relative priority weight
Criteria (level 1)	Subcriteria(SC) (level 2)	Factors(F) (level 3)	
T errestrial impact (0.448)	T-SC1. soil depth		0.148
	T-SC2. drainage system		0.153
	T-SC3. geologic factors (rock type)		0.141
	T-SC4. road lifespan		0.137
	T-SC5. landslide susceptibility		0.161
	T-SC6. elevation A.S.L.		0.118
	T-SC7. natural spring		0.138
E rosion & S ediment risk (0.405)	E-SC1. soil texture		0.154
	E-SC2. V egetative cover (0.125)	V-F.a. types of tree species	0.369
		V-F.b. tree density	0.331
		V-F.c. canopy cover	0.300
	E-SC3. road lifespan		0.149
	E-SC4. logging history		0.150
	E-SC5. slope steepness		0.144
	E-SC6. elevation A.S.L.		0.121
	E-SC7. Road D esign characteristics (0.155)	D-F.a. road gradient	0.153
		D-F.b. quality of pavement	0.159
		D-F.c. right-of-way width	0.114
		D-F.d. biotechnical practices	0.140
		D-F.e. culverts spacing	0.148
D-F.f. steepness of cut/fill		0.143	
D-F.g. rock hardness		0.140	
A quatic impact (0.147)	A-SC1. T raveledway conditions (0.557)	T.a. status of road surface aggregate	0.211
		T.b. quality of subgrade	0.193
		T.c. traffic flows	0.180
		T.d. apron drainage	0.203
		T.e. cross-drain conditions	0.211
A-SC2. hillslope towards the river		0.222	
A-SC3. road distance to stream		0.222	

778 The first or sometimes the second letter of a phrase is labeled as a directive sign to divide the decision
 779 element(s) from the existing level to another.

780 Figure 6 indicates the normalized global priority weights and/or the environmental coefficients
781 in percentage resulted from combining relative priority weights for the attributes associated with
782 all road branches. The environmental coefficient varied from 0.02 (branch with low impact) to
783 0.08 (branch with high impact), depending on the extent of the global weight. A higher
784 weighting value for a road branch indicates a greater priority or potential risk of causing
785 environmental damage, thereby a higher demand of that branch to be rehabilitated. This figure
786 implies that all road branches can be narrowed down to three priority zones. Roads with a high
787 need for rehabilitation treatment (zone 1: 33% of all branches), which represent a higher risk of
788 environmental impacts, and should therefore be considered as the highest priority compared to
789 those presenting the lowest level of risk(zone 3:24% of all branches). Between these extremes,
790 there are branches with a moderate level of risk (zone 2:43% of all branches), which indicate that
791 the rehabilitation treatments for these branches can vary, either at an inferior standard or at a
792 superior standard. As can be seen the outcomes of the MCDA guided decisions, which part of the
793 road network needs to be repaired, but it is impossible to determine the regime and schedule of
794 repair treatments during the planning horizon.

795 [Figure 6]. Vector of normalized environmental weight values for all studied road branches



796
 797 Table 5 shows the result of weights validation by the entropy approach. Each item in this list has
 798 a higher weight value among all the items in its own cluster. As can be seen, six of the eight
 799 attributes were distinguished from the pool of decision attributes (refers to Table 4) in the two
 800 approaches. This demonstrates that these attributes have the lowest entropy value and therefore
 801 greater discriminatory power within its own cluster. They can be considered as significant site-
 802 specific factors for the preliminary evaluation of road networks subjected to repair treatments.
 803 This subset of decision attributes is, henceforth, called the *risk-assessment attributes* for
 804 consistency.

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 809

810 **Table 5.** Risk-assessment attributes used for the visual assessment of the road network

MCDA model		Entropy verification model	
Decision element	AHP's relative priority weight	Decision element	Entropy's objective weight
Traveledway conditions	0.557	Traveledway conditions	0.076
Landslide susceptibility	0.161	Landslide susceptibility	0.064
Types of tree species	0.369	Types of tree species	0.050
Quality of pavement layers	0.159	Quality of pavement layers	0.050
Status of road surface aggregate	0.211	Status of road surface aggregate	0.050
Terrestrial impact	0.448	Terrestrial impact	0.028
Cross-drain conditions	0.211	Quality of subgrade layers	0.051
Road design characteristics	0.155	Drainage system	0.024
		Biotechnical practices	0.055

811 • An identical set of decision elements is marked in dark font using multi-criteria decision analysis
 812 (MCDA), and entropy models. Disparate elements are shown in standard font
 813

814 *4.2. Optimization model*

815 The tradeoffs curve then quantifies the selection of best repair treatments for each segment
 816 according to the magnitude of environmental impacts. Figure 7 illustrates the usefulness of the
 817 optimization model in decision analysis in which the available budget target is limited to
 818 \$1.2E+5 with a discount rate of 4%.

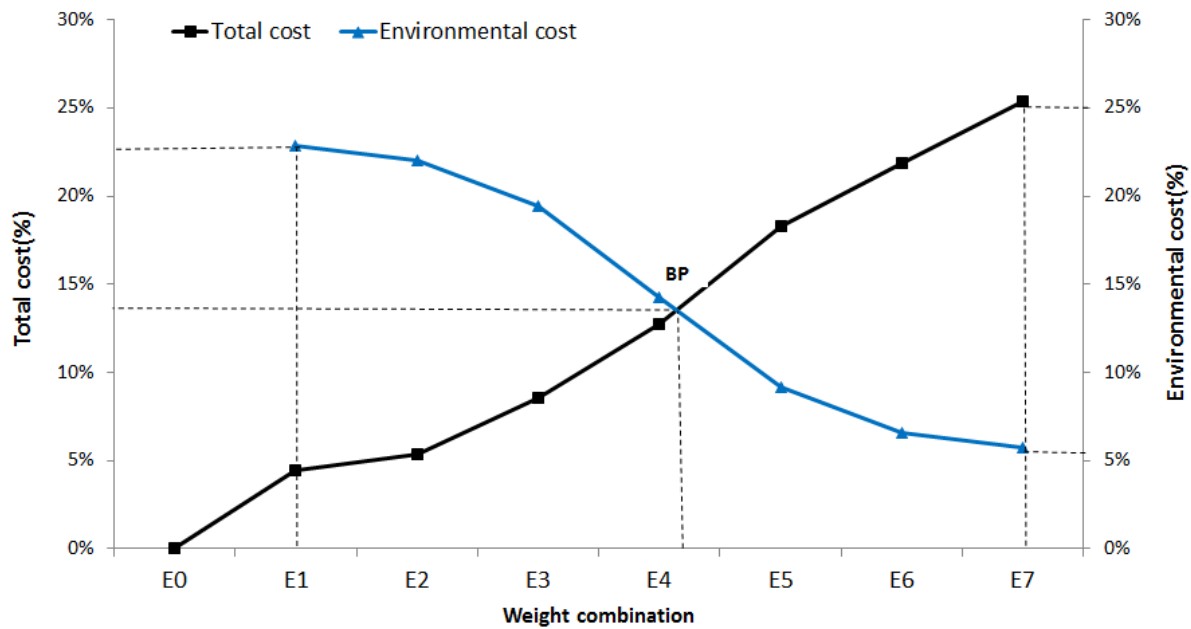
819 Since there is no costing value to account for environmental impacts, rather we used the
 820 percentage of changes in total cost due to the involving the effect of environmental impacts in
 821 the selection of repair treatment. The percentage was calculated based on actual costs excluding
 822 the cost of environmental impacts. This assumption was reasonable so that the total costs would
 823 increase with involving constraints related to environmental impacts. The base level of weight
 824 extreme (E_0) used actual costs excluding the cost of environmental impacts, representing the
 825 status quo management, and the least-cost scenario for repair treatments in the studied area.
 826 Since this scenario has not given any priority weights to repair treatments, it resulted in the
 827 lowest cost of US\$6.7E+6 for the entire network. At this level, maintaining the current state of

828 the road is not preferred, because the model allocates a large proportion of maintenance
829 treatments with a few km upgrading treatments (21% of the total length) to the road network.
830 The total cost has an increasing trend with involving weights for the environmental impact. By
831 examining the tradeoffs curve, before the fourth extreme (E_4), we can see a strong downward
832 trend in environmental impacts with a slow and steady upward trend in the total cost. Road
833 segments with a higher weight value, i.e., segments must be rehabilitated instantly, lend
834 themselves to upgrading, while segments with a lower weight value can be treated with
835 maintenance treatments. Because the higher total costs are always compensate by selecting a
836 large proportion of the expensive treatment, i.e., road upgrading, which will reduce the total cost.
837 At the other extreme (E_7), spending of US\$4.9E+7 for road repair treatments drops the total cost
838 of environmental impacts to the minimum level of US\$4.3E+6. This suggests that, in this worst
839 case, the model becomes progressively less desirable by aggregating upgrading treatments and
840 forces them to be practiced in shorter periods (i.e., periods six and seven) without projecting
841 them onto other periods. The fourth extreme (E_4) seems to provide the most efficient level, since
842 total cost curve is crossed by the environmental curve. This point is termed a breakpoint (BP),
843 and identifies a solution allowing significant reduction in environmental impacts with a very
844 small increase in total cost. This level of the weighting shows strong payoff in the total cost,
845 which allows a considerable decrease in the weighting values for the negative environmental
846 impact. After this level, there are very few additional benefits from reducing environmental
847 impacts, as reducing environmental costs has resulted in in an associated cost increase of
848 approximately 25% compared to the status quo management.

849

850

851 **[Figure 7].** Tradeoff curve for the total repair cost versus environmental costs corresponds to various
 852 weightings extreme



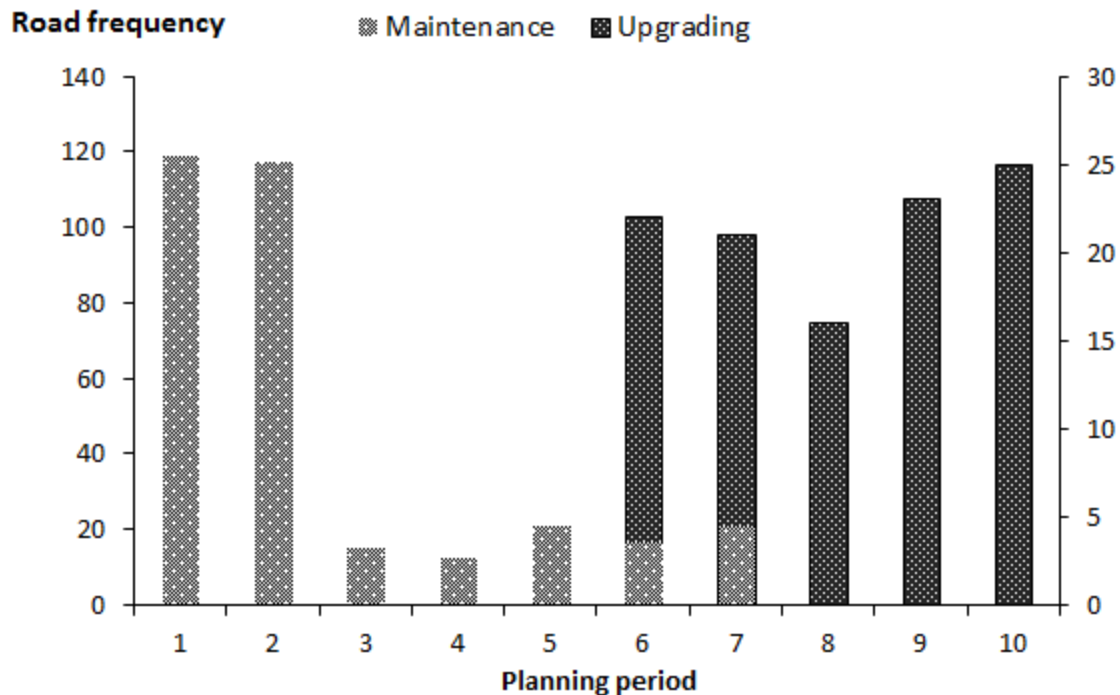
853
 854 More specifically, at the extremes E_1 through E_3 , the model assigns all or most of the road
 855 segments an appropriate treatment in order to take advantage of the additional benefit of the
 856 allocation of rehabilitation treatments by reducing environmental costs in a range of 23% to
 857 14%, respectively. At the next extreme (E_4), as the likelihood that upgrading and maintenance
 858 treatments are in appropriate balance whereas after this extreme (E_6 through E_7) the model is
 859 willing to pay a higher cost, ranges from 18% to 25% over the base cost, using more upgrade
 860 than maintenance treatments, to reduce the total cost.

861 Figure 8 illustrates an example of allocation of repair schedules for the existing network
 862 generated by the proposed decision approach, in which the scale on both vertical axes has shown
 863 to clarify details. Maintenance treatments were dominant at the beginning of period, while they
 864 gradually superseded with upgrading treatments started from the middle of the period towards
 865 the end of period. These results can be translated into a uniform distribution of available budget

866 while attaining lower costing values on the one hand, and avoid the congestion of repair
 867 treatments in a particular period on the other hand.

868

869 **[Figure 8].** Distribution of the available budget for maintenance and upgrading treatments in which
 870 weighting level for the repair treatments sets to ($E_4 = 0.5, 0.5$)



871

872 Table 7 shows the total cost of rehabilitation and the associated road lengths for maintenance and
 873 upgrading treatments subject to different levels of budgetary constraints over a range of $\pm 5\%$ of
 874 the base value. Two combinations of weights were analyzed, among others: i) status quo
 875 management scenario to which no priority weights was assigned to the selection of repair
 876 treatments (E_0), and ii) optimal management scenario to which a priority of 0.5 was considered
 877 for both maintenance and upgrading (E_4), as the cost-effective extreme over the tradeoff curves.
 878 Total road length in the study area is 289 km. Since the status quo management scenario has no
 879 associated environmental cost, it results in the lowest repair costs with the minimum length of
 880 roads to be repaired.

881 **Table 7.** Actual rehabilitation costs and road length compare to base-case (status quo management)

Scenario	Cost component	Budget level (US\$)				
		110,000	115,000	120,000	125,000	130,000
Status quo management (E_0)	Maintenance cost	3,752,942	3,737,559	3,722,181	3,706,801	3,691,423
	Upgrading costs	3,451,900	3,204,033	2,956,161	2,708,292	2,460,420
	Total operation cost	7,204,842	6,941,592	6,678,342	6,415,092	6,151,843
	Total length of maintenance	208.70	217.43	228.22	235.50	245.82
	Total length of upgrading	80.20	71.47	60.68	53.40	43.08
Optimal management (E_4)	Maintenance cost	8,267,967	7,616,700	6,905,963	6,478,354	5,971,802
	Upgrading costs	4,513,186	4,165,049	3,922,975	3,430,396	3,037,389
	Total operation cost	12,781,153	11,781,748	10,828,938	9,908,750	9,009,191
	Total length of maintenance	177.74	184.66	193.89	200.77	210.04
	Total length of upgrading	111.26	104.34	95.11	88.23	78.96

882 • Maximum solution time was bided to 3,600 seconds with a default value for optimality gap (i.e.,
883 10^{-5}), the budget target assumes to be US\$1.2E+5 in which the weighting factor for the
884 optimization model sets to ($E_4 = 0.5$). All costs and lengths of treatments are presented in US\$
885 and km, respectively

886 The total repair costs do not vary much (10% at most) among different levels of budget
887 constraints. The lowest costing value is obtained when the available resource has been set to US\$
888 130,000 for the entire network. The same results were observed for the optimal management
889 scenario. This means that the lower costing value is possible (by about 17%), if the available
890 budget is increased by just over 10% of the budget target. Since the solution of the optimal
891 management scenario has penalty for the environmental cost, it resulted in a cost value of 38%
892 higher, on average, compared to the status quo management scenario. By looking at road lengths
893 it can, however, be deducted that 79% of the road segments are lent themselves to maintenance
894 with the allocation of 21% to upgrading when the status quo management scenario is
895 implemented. These proportions increased to 67% and 33%, respectively, for maintenance and
896 upgrading, using an optimal management scenario.

897 Figure 9 shows the layout of repair treatments for the status quo management scenario (E_0) and
898 the optimal management scenario (E_4) generated by the integrated approach. The status quo
899 management scenario (i.e., an alternative without considering environmental impact) shows

900 enormous isolation repair treatments across the network, which mainly targeted shorter segments
901 to reduce the total cost of operations. By comparing this solution, it can easily observe that, the
902 solution obtained by the optimization management scenario has more aggregations or continuity
903 of treatments (i.e., less swapping of repair actions on additional segments and targeting segment
904 with longer lengths) compared to the solution of the status quo management scenario.

905 **5. Discussion**

906 The annual and periodic costs of operational road rehabilitation often constitute a large
907 proportion of the forest management cost, particularly in mountainous regions involving highly
908 variable and steep terrain where higher operating costs are coupled with growing harmful
909 environment impacts. The decision-making approach presented here demonstrates a generic
910 framework. It is accompanied by a more comprehensive decision-making model that might yield
911 opportunities to increase efficiency of forest road management with less environmental impact.

912 We used expert knowledge through multi-criteria decision analysis to determine potential
913 environmental risks associated with the road network, and to prioritize road segments that might
914 require special treatments. This analysis also provides weights for harmful environmental
915 impacts combined with the cost of rehabilitation treatments used to support existing operational
916 road decisions on a rolling horizontal way.

917 The expert opinions analysis asserted that a large part of the existing roads (76%: 33% of roads
918 in zone 1 with 43% of roads in zone 2) must be prioritized to receive rehabilitation treatments in
919 the earliest possible time. An implication of this observation is that the road under study has
920 greater potential to cause negative environmental impacts and must therefore be rehabilitated as
921 intended for logistic purposes. The risk-assessment attributes are a generic checklist, potentially
922 could include the full suite of road experts to assess existing road conditions and determine

923 perceptions about which road attributes are most important in deciding the frequency of repair
924 treatments before monetary investments.

925 Without considering numerical analysis, it can be stated that risk-assessment attributes asserted
926 three broad classes of decision variables to address roads transportation for a variety of potential
927 and actual environmental risks. These include: i) attributes-related to road design standards (e.g.,
928 traveledway conditions, quality of paved layers, condition of aggregate, quality of subgrade
929 layers, and the condition of drainage systems), ii) external factors contributed to the risk of
930 landslide and the impact of these factors on the existing road network, and iii) botanical
931 attributes (e.g., types of tree species and biotechnological practices).

932 Regular maintenance of road design standards in a condition suitable for travel can reduce the
933 risk of soil erosion and sediment yield (Luce and Black, 2001). Moreover, unsuitable materials
934 used for the armoring road surfaces, i.e., pavement and subgrade layers, and unprotected
935 drainage systems can aggravate the challenges mentioned above. According to the result of
936 MCDA, botanical attributes are considered as important safeguards to reduce negative impacts
937 caused by forest roads. This can be interpreted to mean that vegetated cutbanks and fillslopes can
938 reduce the intensity of surface flows and trap sediment yields, especially during intense or
939 prolonged precipitation in uplands and middle mountains. However, the controlling effect of
940 ground cover can vary depending on the types of tree species, density and the canopy cover
941 (Lenka et al. 2017). Luo et al. (2020) found that surface runoff and sediment generation were
942 significantly correlated with the different types of vegetation. Mixed hardwood and shrub forests
943 were the best types of surface runoff control, due to their high surface coverage compared to
944 evergreens, especially during periods of heavy precipitation.

945 It is noteworthy that the attributes discussed above (i.e., risk-assessment attributes) are
946 technically relevant to each other. Considering these along with other observations from field
947 inventories allows road technicians to properly evaluate environmental impacts caused by the
948 road system, to identify road sections with a higher level of risk, and discerns minor deficiencies
949 before turning to serious problems. It also helps to suggest possible preventions, and therefore to
950 minimize rehabilitation costs induced by the allocation of segments that are too early or too late
951 in their developments.

952 Weaver and Hagans (2007) pointed out that preliminary evaluations of the existing road are
953 highly required before taking management policies. The approach demonstrates here can be
954 easily generalized, and applied to different road scenarios in other regions. Although model
955 tailoring is necessary for some site-specific attributes with different levels of risk, depending on
956 the physical conditions of site and the road users. The road managers of these forests are going to
957 implement this decision-making approach for their annual analyzes during the next planning
958 period.

959 The quality of solutions (criteria weightings) generated by the MCDA model is addressed by the
960 entropy-based metrics. The potential implication for this method clearly resides in its impartially
961 in deriving relative priorities for a set of criteria by excluding opinions of decision makers in the
962 resulting analysis. The entropy analysis confirmed a 70% similarity in the output, in which six of
963 the eight elements found by this analysis were similar to those already introduced by the MCDA
964 model (See Table 6). A few attributes, however, were not similar in the two approaches (non-
965 bold elements; See Table 6); this does not mean that these attributes are not relevant. It only
966 means that considering these attributes makes the system unstable (causing a higher level of
967 uncertainty within its cluster) and, therefore, the entropy method explicitly ignores them.

968 The connection of subjective modeling approach and the numerical model was the critical step,
969 and certainly a unique contribution of this article. As noted earlier, a number of tactics have been
970 proposed for linking these two models in various forest planning problems. We addressed this
971 linkage using the idea of a shared database (i.e., the use of environmental coefficients, as the
972 final output of the MCDA model), providing an effective method for conducting integrated
973 planning analyses. The choice of obtaining weights for the environmental impacts is highly
974 dependent on professional judgements and generally there is no formal procedure for deriving
975 these weights. A similar idea was presented by Seely et al. (2004), who inferred that the use of a
976 shared database is an effective mechanism to dynamically dissolve a series of complementary
977 models involved in large-scale forest management practices.

978 Regular maintenance is one of the keys to reducing environmental impacts. An increase in the
979 objective function contains environmental constraints (See Table 8) is consistent with previously
980 reported results. Rackley and Chung (2007) reported that integrating environmental impacts into
981 the road transport model reduced sediment delivery up to 39% at the expense of 10% increases
982 in costs compared to the baseline scenario. As further amplification of the proposed approach,
983 we can indicate a reasonable distribution of rehabilitation treatments across the planning horizon.
984 This is manifested not only by observing a very reasonable shape of the tradeoffs curve, due to
985 selecting an appropriate treatment for each road segment (See Figures 8), but also by distributing
986 the treatments in relation to the status quo management scenario (See Figures 9).

987 In Iran, a general opinion is that maintenance should be intended to treat all or most of the roads
988 at a minimum standard and cost to keep the road system serviceable. This will ensure that road
989 design standards remain in an appropriate condition to meet all possible functions of the forest.
990 This is, however, far from the reality, due to the continued deterioration in the expected safety

991 and increased the risk of harmful environmental impacts caused by heavy traffic. The fact is that
992 maintenance is an important and necessary treatment that can occur frequently in response to a
993 low level of environmental risk related to the road system. Nonetheless, maintenance treatments
994 on their own cannot sufficiently prevent road deterioration or minimize negative impact (Luce
995 and Black, 1999) as upgrade treatments can do. Therefore, an effective plan must be tailored to
996 compromise the frequency and cost of various repair treatments, extend the interval of costly
997 treatments, and thereby minimize the overall operation cost over the planning horizon. In the
998 current study, the input cost values for the periodic upgrade were found to be three times higher
999 than those for routine maintenances. Therefore, we intentionally implemented a frequency of
1000 three-year upgrade cycle in the model formulation with a delay right after the last maintenance
1001 cycle. This enforces routine maintenance treatments to be happened once for each segment
1002 during the planning horizon, provided that the segment has not received any treatment. It should
1003 be noted that we also tested an intensity of two-year upgrade cycle. There have been large
1004 changes in the layout and location of repair treatments, particularly for road upgrading,
1005 suggesting that a three-year frequency was the best alternative. Besides, local road managers
1006 enlighten us on the fact that the frequency of two-year implies a short cycle for road upgrades,
1007 this strategy was therefore discarded.

1008 The higher weighting value and/or being larger the extent of negative environmental impact
1009 indicates weak offset, which guides the optimization model becomes rather greedy in the choice
1010 and allocation of repair treatments. It is therefore strongly recommend that a road decision-
1011 maker never choose higher weighting values for the repair treatments, i.e., the right-hand side of
1012 the tradeoffs curve. This is coupled with an increase in total costs by assigning segments a high
1013 proportion of expensive treatments in order to compensate the higher environmental cost.

1014 Therefore, a compromise of these treatments would be necessary to simultaneously reduce the
1015 total cost and the associated environmental damage. Our results indicate that the fourth extreme
1016 (E_4) of the tradeoff curves provided the most effective scenario looking at adverse
1017 environmental impacts. At this specific level, the total cost curve crosses the environmental cost
1018 curve. In addition, the repair treatments are distributed evenly across all segments throughout the
1019 planning period, reflecting a significant reduction in environmental impacts with a very small
1020 increase in total cost.

1021 This finding is consistent with the fact that budgetary resources are often restricted at the
1022 beginning of a forest management plan, due to substantial reductions in wood sale, and also
1023 avoids investing in costly operations such as road construction or associated rehabilitation
1024 practices. Over time, the accessibility of unutilized timberlands increases. This would give
1025 planners the advantage of allocating available resources in such a way as they invest in costly
1026 treatment when the plan is fully established or there is less additional risk to the total cost.

1027 The lack of available resource often impedes timely interventions on the road network. As
1028 indicated in the results of the optimization model, total costs were affected by the change in the
1029 amount of available budget. This result indicates that the total cost can be reduced by around
1030 17%, only if the target budget is increased by 10% compared to the basic budget.

1031 In some respects, our integrated decision approach is similar to that of Reynolds et al. (2003),
1032 who proposed EMDS for environmental evaluation and planning of road repair treatments on
1033 several spatial scales. The EMDS used the knowledge-based hierarchical structure to combine
1034 spatial-based data of multiple scales using fuzzy rules to determine the degree of uncertainty in
1035 the input data. However, this model does not provide plans in a rolling horizontal manner as the
1036 current development can do. Unlike EMDS, which requires a qualified manager to work with,

1037 the approach demonstrates here is simple, transparent, and could be used by road management
1038 agencies to analyze transportation roads for the environmental risks combined with operational
1039 costs.

1040 Beyond the desirable characteristic of this development, there are shortcomings. First, the
1041 development of questionnaires has found to be exceptionally challenging. The preprocessing step
1042 of the MCDA is, nonetheless, tedious, and tends to quickly grow complex as a number of
1043 decision attributes increases (i.e., it took almost a year to complete 4,025 pairwise comparisons).
1044 Similar criticisms have already been made by Ananda and Herath (2008) and Korosuo et al.
1045 (2011) encountered a problem, related to a large number of comparisons, in which the decision-
1046 maker simply lost his/her commitment to the task along the way. Second, the objective function
1047 does not include any spatial decisions on harvesting stands, and then the optimal solution might
1048 have a bias. In the optimization model, we enforce maintenance treatments to occur once in any
1049 road segment during the planning horizon. However, in practice, if the road connects harvesting
1050 cutblock, it is likely that this part of the road requires more frequent treatment than usual.

1051 As previously mentioned, in these forests, the logistics decisions have been planned
1052 independently of harvesting decisions so far. Besides, due to the importance of financial
1053 considerations as the major driver of road repair decisions at the operational level, and more
1054 importantly, of recent forest management policy, careful selections of repair treatments were
1055 more of concern, for the present work, rather relating road repair decisions with traditional
1056 harvest decisions. We do believe this coincidence must be addressed in the future decision
1057 framework, if the model is used as a full package for an operational planning. This issue remains
1058 as an interesting scope for improvement.

1059 It is important to note that, however, the entire decision approach is not only designed to
1060 generate the best optimal solution. Rather, a model of this type should be considered as one of
1061 many possibilities to support spatially road operational decisions, in which the manager's goal is
1062 to select an optimal level of repair schedule and repair regime required, considering monetary
1063 and non-monetary terms, thereby providing valuable insight to road managers.

1064 **Conclusions**

1065 This study addresses an important element of forest management that corresponds to the
1066 operational plans for repair treatments of the existing road network. First, it demonstrates a cost-
1067 effective approach to support spatial decisions in order to obtain environmental information and
1068 establish road rehabilitation plans in a subjective manner of group-decision making. The
1069 approach then incorporates this information into traditional road scheduling models to assign
1070 competing repair treatments on a rolling horizontal way. Solutions generated by the MCDA
1071 model are feasibly compromised between multiple criteria; however, this model cannot
1072 necessarily guarantee an optimal plan due to the nature of the technique. Although linking
1073 subjective analysis to an advanced optimization model, thanks to a shared database, has found a
1074 promising approach for the spatial decision support involved in operational road decisions. An
1075 indication to this effect is that current development resulted in a plan that was economically
1076 feasible and technically acceptable to the local road administration. The environmentally
1077 considered scenarios were able to increase total costs by about 38% at the expense of a 10%
1078 budget reduction compared to the status quo management scenario. The result demonstrates the
1079 gaining potential using the combined approach of around 17% only if the available budget
1080 increases a little more than 10% of the budget target.

1081 The utility of the approach developed is however not tailored to a specific region. It can be
1082 applied anywhere as a generic planning tool to analyze highly complex road repair scenarios.
1083 There is still room for improvement in this process to strengthen our ability to do landscape level
1084 management both on problem definition and solution strategy.

1085 Pairwise comparisons are important drivers of successful implementation of the MCDA model,
1086 and these increased linearly as a number of model elements, raising concerns about responder
1087 fatigue. The development of a consolidated decision model, such as a fuzzy-based analytical
1088 network process, could hold promise for addressing overwhelming pairwise comparisons with
1089 the current approach, while continuing to demonstrate meaningful solutions. Future extension of
1090 the current development should aim to integrating the spatial constraints of harvesting and
1091 logistics decisions. Such an integration model would embrace a whole class of decision variables
1092 synergistically to address these conflicting objectives for tactical planning in forest resource
1093 management. Given that decision variables expand, sophisticated solution strategies would also
1094 be expected to cope with complexity of the problem and quality of the solution.

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1196 **Figure captions**

1197 **Figure 1.**

1198 **Figure 2.**

1199 **Figure 3.**

1200 **Figure 4.**

1201 **Figure 5.**

1202 **Figure 6.**

1203 **Figure 7.**

1204 **Figure 8.**

1205 **Figure 9.** An illustration of the timing of routine maintenance (period 1 and 2) and periodic upgrading
1206 (period 6 and 7) treatments; status quo management (left) and optimization model (right)

