

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30

GENE- AND CELL-THERAPY ON THE ACQUISITION AND RELAPSE-LIKE BINGE DRINKING  
IN A MODEL OF ALCOHOLISM: TRANSLATIONAL OPTIONS.

<sup>1</sup>Yedy Israel\*, <sup>1</sup>María Elena Quintanilla\*, <sup>4</sup>Fernando Ezquer, <sup>1,2</sup>Paola Morales, <sup>3</sup>Mario Rivera-  
Meza, <sup>5</sup>Eduardo Karahanian, <sup>4</sup>Marcelo Ezquer, <sup>1</sup>Mario Herrera-Marschitz.

<sup>1</sup>Molecular and Clinical Pharmacology Program, Institute of Biomedical Sciences, <sup>2</sup>Department of  
Neuroscience, Faculty of Medicine, <sup>3</sup>Department of Pharmacological and Toxicological Chemistry,  
Faculty of Chemical Sciences and Pharmacy, University of Chile, Santiago, Chile, <sup>4</sup>Centro de Medicina  
Regenerativa, Facultad de Medicina Clínica Alemana-Universidad del Desarrollo, Santiago, Chile, and  
<sup>5</sup>Institute of Biomedical Sciences, Universidad Autónoma de Chile, Santiago, Chile

\*YI and MEQ contributed equally to this review

**Running Title:** Gene and cell therapy in an alcoholism model.

**\*\*Corresponding author:**

Yedy Israel, Ph.D  
Molecular and Clinical Pharmacology Program  
Institute of Biomedical Sciences, Faculty of Medicine, University of Chile  
Independencia 1027, Independencia  
Santiago, RM 9390453 CHILE  
Phone: +562 2978-6216,  
E-mail address: [yisrael@uchile.cl](mailto:yisrael@uchile.cl)

31

32

33 **ABSTRACT**

34

35 Studies reviewed show that *lentiviral gene therapy* directed either at inhibiting the synthesis of  
36 brain acetaldehyde generated from ethanol or at degrading brain acetaldehyde fully prevent  
37 ethanol intake by rats bred for their high alcohol preference. However, after animals have  
38 chronically consumed alcohol, the above gene therapy did not inhibit alcohol intake, indicating  
39 that in the chronic ethanol intake condition brain acetaldehyde is no longer the compound that  
40 generates the continued alcohol reinforcement. Oxidative stress and neuroinflammation  
41 generated by chronic ethanol intake are strongly associated with the perpetuation of alcohol  
42 consumption and alcohol relapse “binge drinking”. Mesenchymal stem cells, referred to as  
43 “*guardians of inflammation*”, release anti-inflammatory cytokines and antioxidant products. The  
44 intravenous delivery of *human mesenchymal stem cells* or the intranasal administration of  
45 *mesenchymal stem cell-generated exosomes* reverses both (i) alcohol-induced neuro-inflammation  
46 and (ii) oxidative stress, and greatly (iii) inhibits (80 to 90%) chronic alcohol intake and relapse  
47 binge-drinking. The therapeutic effect of mesenchymal stem cells is mediated by increased levels  
48 of the brain GLT-1 glutamate transporter, indicating that glutamate signaling is pivotal for alcohol  
49 relapse. *Human mesenchymal stem cells* and the products released by these cells may have  
50 translational value in the treatment of alcohol-use disorders.

51

52

53

54

55 Gene- and cell-therapy have provided valuable tools to unravel the mechanisms that (i) lead to  
56 alcohol reinforcement; (ii) perpetuate alcohol intake and (iii) are responsible for relapse binge-  
57 drinking. These studies also provide translational leads that will likely translate into human use.  
58 The accomplishments were supported by the existence of a few rat lines/strains bred to prefer  
59 drinking 10% ethanol solutions to drinking water. Three of such lines are available: the UChB in  
60 Chile (1, 2), the P, HAD strains in the Unites States (3) and sP rat line in Italy (4). The voluntary  
61 ethanol self-administration model avoids the need to externally administer ethanol, which often  
62 generates blood alcohol levels that exist only in severe alcoholism. Studies presented in this  
63 review were conducted in the UChB rat line, which was selectively bred as alcohol-preferring  
64 animals for 80 to 90 generations over 70 years. Upon a long-term alcohol (10%) availability, these  
65 animals consume 4-fold more of the ethanol solution than water, ingesting up to 12 g ethanol/Kg  
66 body weight/day (*vide infra*), which translates as one liter of pure alcohol consumed daily for a 70  
67 kg individual. Consistent with scientific standards, these animals are available to investigators in  
68 other countries.

69

#### 70 **Initial reinforcing effects of ethanol: Acetaldehyde and gene transduction.**

71 Most of the early preclinical studies in the alcoholism field addressed the mechanisms that lead to  
72 an initial alcohol intake by rats. Research by several groups have suggested that ethanol itself is  
73 not the initial reinforcing molecule, but rather ethanol is a prodrug (5), where the rewarding effect  
74 would be generated by acetaldehyde, the ethanol metabolite generated in the brain. Due to the  
75 absence of alcohol dehydrogenase in the brain, the generation of acetaldehyde in the brain is  
76 mainly carried out by catalase (6) (Figure 1). Data derived from three different experimental  
77 conditions support the view that acetaldehyde generated by catalase is reinforcing (i) catalase  
78 inhibition by 4-aminotriazole reduces initial ethanol intake (7-9), (ii) administration of the drug

79 penicillamine, which binds acetaldehyde, reduces early voluntary ethanol intake (10, 11) and (iii)  
80 rats self-administer acetaldehyde into a dopaminergic brain area (the posterior ventral tegmental  
81 area, VTA) at concentrations that is three-order of magnitude lower than those needed for ethanol  
82 self-administration (12). A full review of these drug studies can be found in Acquas et al (13).  
83 Since drug specificity is generally questioned, and animals will also self-administer many drugs of  
84 abuse that are not generated endogenously, it was felt that a more specific (non-drug-related)  
85 gene-based inhibition of brain acetaldehyde generation was needed to support the view that  
86 acetaldehyde was the reinforcing molecule generated from ethanol.

87

88 Figure 2 shows that the administration of a lentiviral vector coding for an anti-catalase shRNA into  
89 the brain ventral tegmental area (VTA) completely inhibited the acquisition of voluntary alcohol  
90 intake by rats (14). Since all drugs of abuse increase the release of dopamine in nucleus  
91 accumbens (15), it was expected that the anti-catalase shRNA coding vector would also inhibit  
92 dopamine release induced by the acute administration of ethanol. This was indeed demonstrated  
93 as the anti-catalase shRNA fully prevented the increase in (VTA-mediated) dopamine release  
94 induced by an acute oral ethanol dose (1 g/kg) in nucleus accumbens (14). The release of  
95 dopamine induced by amphetamine was however not affected by anti-catalase shRNA, thus  
96 indicating that its inhibitory effect on dopamine release is specific for ethanol (14).

97

98 Figure 3 shows that VTA transduction of a lentiviral vector coding for aldehyde dehydrogenase 2  
99 (ALDH2), known for its high affinity for oxidizing acetaldehyde into acetate, virtually abolished  
100 ( $p < 0.001$ ) the acquisition of voluntary alcohol intake by rats (16). An additional question was thus  
101 posed: if increasing the degradation of acetaldehyde inhibits alcohol intake; will conversely,  
102 ethanol intake be enhanced by *increasing the generation of acetaldehyde* in the VTA? This was

103 addressed by transducing into the VTA a lentiviral vector coding for liver alcohol dehydrogenase  
104 (ADH), which oxidizes ethanol into acetaldehyde. Figure 4 shows that transduction of liver ADH  
105 into the VTA markedly increased ( $p < 0.001$ ) alcohol intake (to avoid a ceiling effect the ethanol  
106 available to the animals was 5% rather than 10%). Overall, these gene therapy studies fully  
107 support the view that brain-generated acetaldehyde is the reinforcing agent that promotes early  
108 ethanol intake.

109

110 The above studies suggested that inhibiting brain acetaldehyde generation or increasing its  
111 degradation might be a tool to inhibit chronic alcohol consumption once a high ethanol  
112 consumption has been attained. As will be seen, this was (surprisingly) not the case, thus opening  
113 an important new lead of investigation. As seen in Figure 5, following chronic alcohol  
114 consumption for 60 to 80 days, the transduction of the lentiviral vectors coding either for the  
115 shRNA anti-catalase or the ALDH2 enzyme did not reduce chronic alcohol intake. Thus, *chronic*  
116 *alcohol intake no longer depends on brain acetaldehyde generation or its degradation*. This view  
117 was supported by studies by Peana et al (11) who showed that neither 4-aminotriazole (inhibitor  
118 of catalase) nor penicillamine (which binds acetaldehyde), inhibited *chronic* alcohol self-  
119 administration, although these were effective in inhibiting the initial ethanol intake. Thus, the  
120 new question was to define the mechanism(s) that keep *chronic* ethanol intake (*vide infra*).  
121 Noteworthy, these animals do not develop a withdrawal reaction, and thus negative  
122 reinforcement is not the mechanism that keeps chronic ethanol intake unabated (Quintanilla et al  
123 2012).

124

125

126

127 **A gene therapy approach to generate an aversion to alcohol.**

128 Most of ethanol consumed is oxidized in the liver by ADH, generating acetaldehyde, which does  
129 not readily cross the blood brain barrier (18). Acetaldehyde generated does not accumulate nor is  
130 found in high concentrations in the circulation since it is readily converted into acetate by liver  
131 ALDH2, a dehydrogenase with a high-affinity for acetaldehyde (<0.2  $\mu$ M) present in virtually all  
132 tissues (19). However, an important proportion (20-25%) of individuals in East Asia (Japan, Korea,  
133 China) present a dominant negative mutation of the *ALDH2* gene (E487K) which renders the  
134 enzyme virtually inactive, thus allowing increases in blood acetaldehyde to levels which generate  
135 nausea, hypotension and tachycardia. These reactions deter subsequent drinking in individuals  
136 carrying the *ALDH2* gene (E487K), such that Individuals who are heterozygous for the E487K  
137 mutation are 70 to 85% protected against alcoholism (20-21). Such a protection against  
138 alcoholism suggested that inhibiting hepatic ALDH2 synthesis by treatment with a liver directed  
139 anti ALDH2 shRNA or an antisense oligonucleotide would generate an aversion to alcohol in  
140 animals after these have become chronic ethanol drinkers. Figure 6 shows that rats that had  
141 consumed alcohol chronically for 2 months, which were deprived of ethanol for 3 days and were  
142 subsequently allowed a 10% ethanol re-access for only 60 minutes consume binge amounts of 1.0  
143 to 1.2 g ethanol/kg body weight in 60 minutes (Control Adv). Animals that intravenously received  
144 an adenoviral vector coding for an antisense mRNA against the *Aldh2* transcript, which lowered  
145 ALDH2 activity by 90% ( $p < 0.02$ ), consumed 50% less alcohol ( $p < 0.001$ ) than control animals, an  
146 effect that was maintained over one month (22). Thus, animals treated with the anti ALDH2  
147 antisense developed an alcohol-aversion phenotype, akin to that seen in Asians carrying the E487K  
148 mutation. Similar inhibition of alcohol intake was reported for animals that were subcutaneously  
149 implanted a minipump that delivered an anti-*Aldh2* antisense oligonucleotide (23) or in animals  
150 that were administered an adenoviral vector coding for a ribozyme against *Aldh2* mRNA (24).

151 These studies will likely be continued to translational fruition by the (cautious) use of CRISPR/Cas9  
152 or shRNA to reduce only liver ALDH2 synthesis without the use of viral vectors. Several studies in  
153 the gene therapy field have shown that oligonucleotides/genes can be specifically delivered to  
154 hepatocytes if attached to a galactose moiety or mixed with a lactosylated carrier, which are taken  
155 up by hepatocytes via the asialoglycoprotein receptor (25). A complete inhibition of ALDH2 gene  
156 expression in other tissues should be avoided as alcoholics who carry the inactivating *ALDH2*  
157 (E487K) mutation display elevated rates of upper gastrointestinal cancers (26). Present view in the  
158 alcohol-use disorder treatment field is that substantial reductions in alcohol intake which do not  
159 reach (or aim to) a full abstinence have a positive value (27,28).

160

#### 161 **The alcohol induced oxidative stress-neuroinflammation cycle: basis for cell therapy**

162 A number of reports (29, 30) have shown that chronic ethanol intake or its administration lead to  
163 brain (a) neuroinflammation and (b) oxidative stress. Several mechanisms play a role in inducing  
164 these changes (i) ethanol in the gut is oxidized by intestinal bacteria generating acetaldehyde,  
165 which weakens the intestinal tight junctions, allowing the passage of bacterial lipopolysaccharide  
166 (31) that activates the generation of TNF- $\alpha$  by liver macrophages, and further via brain TNF- $\alpha$   
167 receptors (32) induces the synthesis of brain proinflammatory cytokines and the generation of  
168 oxygen radicals via NADPH oxidase. Two additional ethanol-related mechanisms may activate the  
169 generation of brain free radicals; first the monoamine oxidase production of hydrogen peroxide  
170 generated in the oxidation of dopamine released by ethanol in nucleus accumbens (33); secondly,  
171 the generation of free-radicals by CYP2E1, a cytochrome, which is markedly increased in the brain  
172 following chronic ethanol intake (34).

173

174 Figure 7 shows that rats that have consumed 10% ethanol for 11 weeks, reaching an ethanol  
175 intake of 9-10 g ethanol/kg/day, display both a marked increase in hippocampal oxidative stress as  
176 shown by an elevated oxidized glutathione/reduced glutathione (GSSG/GSH) ratio and marked  
177 neuroinflammation as shown by marked increases in the length and thickness of astrocyte process  
178 marked by glial fibrillary acidic protein (GFAP) immunoreactivity (35). Noteworthy, oxidative  
179 stress and neuroinflammation are tightly linked since reactive oxygen species activate the NF-kB  
180 generation of proinflammatory cytokines, including TNF- $\alpha$  which in turn uncouples mitochondria  
181 generating superoxide radicals and further hydrogen peroxide (36),. Thus, oxidative stress and  
182 neuroinflammation self-perpetuate each other in a vicious cycle manner. It has been reported  
183 that a single i.p. dose of lipopolysaccharide administered to rats generates neuroinflammation  
184 lasting over 9 months (32).

185

186 Pro-inflammatory conditions increase voluntary alcohol consumption in rodents and humans (37;  
187 38). Research has indicated that alcohol-induced neuroinflammation remains up-regulated for  
188 long periods even after discontinuation of alcohol consumption, in line with the existence of a  
189 potent mechanism of auto-perpetuation of neuroinflammation. This phenomenon is associated  
190 with a marked increase in the risk of relapse in abstinent patients (39).

191

192 Alcohol relapse in animals fed alcohol chronically is causally associated with the existence of high  
193 levels of extracellular glutamate since the administration of the antibiotic ceftriaxone, which  
194 increases the levels of the astrocyte Na-glutamate transporter-1 (GLT-1) and removes glutamate  
195 from the tripartite synapse extracellular space markedly reduces alcohol relapse (40). Increases in  
196 GLT-1 transporter levels are required to reduce relapse since the glutamate transporter GLT-1 is  
197 inhibited by oxidative stress (41) and by 4-hydroxynonenal, a lipid aldehyde generated in oxidative



198 stress conditions (42). Considering the marked potentiation between oxidative stress and  
199 neuroinflammation indicated above, the increases of both conditions induced by chronic ethanol  
200 intake is expected to reduce GLT-1 activity and to increase extracellular glutamate.  
201

202 Cell therapy based on mesenchymal stem cells (MSCs) is emerging as a preclinical option for  
203 various diseases in which the immune system is over-activated. Mesenchymal stem cells have  
204 been referred to as the “guardians of inflammation” (43). Recent studies in a well-validated animal  
205 model of high-alcohol intake (UChB) showed that the intra-cerebroventricular administration of  
206 activated rat MSCs (44) or human MSCs (45) reduced up to 85% chronic alcohol intake and  
207 relapse-like drinking in high drinker rats. Clearly, the intra-cerebroventricular administration is not  
208 a preferred route for the treatment of a chronic disease such alcoholism. Two new advances have  
209 dealt with this shortcoming. We have recently shown that MSCs extracted from human  
210 liposuction fat and grown in 3D-hanging droplets (spheroids) attain a volume equivalent to that of  
211 human lymphocytes, which if injected intravenous to the rat are able to reach the brain (46). A  
212 single intravenous administration of MSC spherocytes ( $1 \times 10^6$  cells) to rats that had consumed 10%  
213 and 20% ethanol for a total of 12 weeks reduced their alcohol intake by 93% within 48 hours, an  
214 effect (-75% inhibition) that remained for at least 3 weeks. (Figure 8A left). The reduction in  
215 ethanol (solutions) intake induced by the MSC administration was compensated by increases in  
216 water intake (Figure 8B). Animals were further deprived of alcohol for 2-weeks and subsequently  
217 offered ethanol (10 % and 20%) solutions for only 60 minutes. In such a short period, control  
218 animals (that had ingested alcohol for 12 weeks plus 2 weeks of ethanol deprivation and received  
219 only vehicle rather than MSCs) consumed binge amounts of alcohol that led their blood alcohol  
220 concentration (BAC) to 100 mg ethanol/dl. Animals that received the single dose of MSC  
221 spheroids 5 weeks prior to the ethanol re-access reduced their intake by 80% (Figure 8A right),

222 reaching BACs of only 19 mg ethanol/dl (Figure 8C) which are within the levels that in humans  
223 would be considered achieved after social drinking.

224

225 Three major mechanism-related questions were addressed in the above studies (46). Did the  
226 intravenous MSC-spheroid administration: (i) inhibited ethanol-induced neuroinflammation  
227 (ii) reduced brain oxidative stress and (iii) increased the levels of the glutamate transporter GLT-1.

228 (i) Chronic alcohol intake markedly increased the length and thickness of astrocytic  
229 processes as seen by GFAP, indicative of neuro-inflammation. (Figure 8D-center versus  
230 8D-left). Administration of MSCs reversed the neuro-inflammation (Figs 8D center vs  
231 8D right; Figs 8E and 8F). A likely mechanism involved in the MSC-spheroid mediated  
232 inhibition of neuroinflammation is the 3D-activation of anti-inflammatory IL-10 and  
233 TSG-6 cytokine production (46);

234 (ii) Mesenchymal stem cells administration also fully abolished the alcohol- induced  
235 oxidative stress as determined by the normalization of the GSSG/GSH ratio (Figure  
236 8G);

237 (iii) Figure 9 shows that the administration of MSC to ethanol fed animals markedly  
238 increased the GLT-1 levels both in prefrontal cortex and nucleus accumbens (46)  
239 which likely constitutes the mechanism by which chronic ethanol intake and relapse  
240 are inhibited by MSC administration (46)

241

242 The protracted effects observed after the administration of intact human MSCs to a rat is in line  
243 with the low expression of the major histocompatibility complex (MHC) in human MSCs (47),  
244 which avoids a T-lymphocyte-induced recognition and elimination. Thus, the spheroids constitute  
245 a long-acting “pump” which secretes anti-inflammatory factors into the bloodstream. However,

246 the final fate of live MSCs delivered in the circulation is not known, and for a safe translational  
247 delivery we determined if intranasally administered MSC-derived exosomes (small nanovesicles  
248 shed *in vitro* following cytokine activation of MSCs) would also reproduce the effect of live MSC  
249 “pumps”; even if with a shorter effect. In studies reported by Ezquer et al (48) exosomes were  
250 generated from MSCs activated by incubation with proinflammatory TNF- $\alpha$  and IFN $\gamma$ ; obtaining a  
251 conditioned medium that was ultracentrifuged to precipitate the exosomes and washed  
252 thoroughly to remove the proinflammatory agents. Exosomes tagged with PKH26 a fluorescent  
253 dye showed that exosomes administered to rats by intranasal instillation reach the brain within 24  
254 hours (48).

255

256 Figure 10 A shows that following 3 intranasal doses of exosomes administered at weekly intervals  
257 (each dose generated by  $1 \times 10^6$  MSCs) to rats that had chronically consumed ethanol for 12 to 13  
258 weeks, ethanol intake was inhibited by 80 to 85%. The reduction of ethanol (solutions) intake  
259 induced by intranasal MSC-exosomes was compensatory by increases in water intake, thus  
260 maintaining animal’s water homeostasis (Figure 10B). Intranasal exosome administration  
261 inhibited by 50% the ethanol relapse drinking that followed a 2-week ethanol deprivation and a  
262 short period of ethanol re-access (Figure 10C), which prevented “binge drinking” by reducing of  
263 BAC from 110 mg ethanol/dl to 58 mg ethanol/dl (Figure 10D). Exosomes also reversed alcohol  
264 induced neuroinflammation and oxidative stress and markedly increased GLT-1 gene expression in  
265 nucleus accumbens (48).

266

267 Overall, the studies reviewed show that lentiviral gene therapy aimed at inhibiting the generation  
268 of brain acetaldehyde derived from ethanol or at degrading brain acetaldehyde nearly completely  
269 prevented the early ethanol ingestion by rats bred for their high alcohol preference. After alcohol-

270 naive animals have chronically consume large amounts of alcohol for prolonged times, the above  
271 gene therapy approaches are not effective indicating that brain acetaldehyde was no longer the  
272 reinforcing agent supporting chronic alcohol intake. However, *mesenchymal stem cell therapy*  
273 approaches, along with the noninvasive intranasal delivery of activated mesenchymal stem cell  
274 products, were most effective in markedly inhibiting both chronic alcohol intake and relapse  
275 binge-drinking; studies that indicated that the concerted inhibition of brain neuroinflammation  
276 and oxidative stress by mesenchymal stem cells constitutes the most likely mechanism for their  
277 therapeutic action. The latter, likely by counteracting an oxidative stress-impaired GLT-1  
278 glutamate transport ability, both by the strong antioxidant action of mesenchymal stem cells and  
279 by activating GLT-1 gene expression. The noninvasive intranasal delivery of active exosomes  
280 released by human mesenchymal stem cells may have translational value in the treatment of  
281 alcohol-use disorders.

282

283 **Acknowledgements.** Studies presented were supported by NIAAA R01AA 10630, ICM-P99-03,  
284 Fondecyt #1080447, #1095021; #1130012, #1150589, #1170712 and #118042 (Chile).

285

286 **Authors Contributions.** YI and MEQ drafted the review and all authors contributed to improving  
287 the text and approved the final manuscript.

288

289 **Authors' Disclosure.** Authors have no conflicts of interest.

290

291

292

293

294 **REFERENCES**

295

296 1. **Mardones J**, Segovia-Riquelme N. Thirty-two years of selection of rats by ethanol preference:  
297 UChA and UChB strains. *Neurobehav Toxicol Teratol* 1983; **5**: 171-178.

298

299 2. **Quintanilla ME**, Israel Y, Sapag A, Tampier L. The UChA and UChB rat lines: metabolic and genetic  
300 differences influencing alcohol intake. *Addict Biol* 2006; **11**: 310–323.

301

302

303 3. **Bell RL**, Rodd ZA, Lumeng L, Murphy JM, McBride WJ. The alcohol-preferring P rat and animal models  
304 of excessive alcohol drinking. *Addict Biol* 2006; **11** :270-88.

305

306 4. **Colombo G**, Lobina C, Carai MA, Gessa GL. Phenotypic characterization of genetically selected  
307 Sardinian alcohol preferring rats (sp) and non-preferring (snp) rats. *Addict Biol* 2006; **11**: 324-  
308 338.

309

310 5. **Quertemont E**, Tambour S Is Ethanol a Pro-drug. The role of acetaldehyde in the central effects  
311 of ethanol. *Trends Pharmacol* 2004; **25** 130-134.

312

313 6. **Zimatkin SM**, Pronko SP, Vasiliou V, Gonzalez FJ, Deitrich RA. Enzymatic mechanisms of ethanol  
314 oxidation in the brain. *Alcohol Clin Exp Res* 2006; **30**: 1500-1505.

315

316 7. **Aragon CM**, Amit Z. The effect of 3-amino-1,2,4-triazole on voluntary ethanol consumption:  
317 evidence for brain catalase involvement in the mechanism of action. *Neuropharmacology* 1992a;  
318 **31**: 709-712.

319

- 320 8. **Rotzinger S**, Smith BR, Amit Z. Catalase inhibition attenuates the acquisition of ethanol and  
321 saccharin-quinine consumption in laboratory rats. *Behav Pharmacol* 1994; **5**: 203-209.
- 322
- 323 9. **Tampier L**, Quintanilla ME, Mardones J. Effects of aminotriazole on ethanol, water, and food  
324 intake and on brain catalase in UChA and UChB rats. *Alcohol* 1995; **12**: 341-344.
- 325
- 326 10. **Orrico A**, Hipólito L, Sánchez-Catalán MJ, Martí-Prats L, Zornoza T, Granero L, Polache A. Efficacy  
327 of D-penicillamine, a sequestering acetaldehyde agent, in the prevention of alcohol relapse-like  
328 drinking in rats. *Psychopharmacology (Berl)* 2013; **228**: 563-575.
- 329
- 330 11. **Peana AT**, Porcheddu V, Bennardini F, Carta A, Rosas M, Acquas E. Role of ethanol-derived  
331 acetaldehyde in operant oral self-administration of ethanol in rats. *Psychopharmacology (Berl)*  
332 2015. **232**: 4269-4276.
- 333
- 334 12. **Rodd ZA**, Bell RL, Zhang Y, Murphy JM, Goldstein A, Zaffaroni A, Li TK, McBride WJ. Regional  
335 heterogeneity for the intracranial self-administration of ethanol and acetaldehyde within the  
336 ventral tegmental area of alcohol-preferring (P) rats: involvement of dopamine and serotonin.  
337 *Neuropsychopharmacology* 2005; **30**: 330-338.
- 338
- 339 13. **Acquas E**, Salamone JD, Correa M (eds). Ethanol, its active metabolites and their mechanisms  
340 of actions: Neurophysiological and Behavioral Effects. *Front Behav Neurosci* 2018; May 17; **12**:  
341 95. doi: 10.3389/fnbeh.2018.00095. Laussane: Frontiers Media doi 10.3389/978-2-88945-516-  
342 4.
- 343 14. **Karahanian E**, Quintanilla ME, Tampier L, Rivera-Meza M, Bustamante D, Gonzalez-Lira V,  
344 Morales P, Herrera-Marschitz M, Israel Y. Ethanol as a prodrug: brain metabolism of ethanol  
345 mediates its reinforcing effects. *Alcohol Clin Exp Res* 2011; **35**: 606-612.
- 346

- 347 15. **Di Chiara G**, Bassareo V. Reward system and addiction: what dopamine does and doesn't do.  
348 *Curr Opin Pharmacol* 2007; **1**:69-76.
- 349  
350 16. **Karahanian E**, Rivera-Meza M, Tampier L, Quintanilla ME, Herrera-Marschitz M, Israel Y. Long-  
351 term inhibition of ethanol intake by the administration of an aldehyde dehydrogenase-2  
352 (ALDH2)-coding lentiviral vector into the ventral tegmental area of rats. *Addict Biol* 2015; **20**:  
353 336-344.
- 354  
355 17. **Quintanilla ME**, Tampier L, Karahanian E, Rivera-Meza M, Herrera-Marschitz M, \*Israel Y.  
356 Reward and relapse: Complete gene-induced dissociation in an animal model of alcoholism.  
357 *Alcohol Clin Exp Res* 2012. **36**: 517-522.
- 358  
359 18. **Tabakoff B**, Anderson RA, Ritzmann RF. Brain acetaldehyde after ethanol administration.  
360 *Biochem Pharmacol* 1976; **25**: 1305-1309.
- 361  
362 19. **Klyosov AA**. Kinetics and specificity of human liver aldehyde dehydrogenases toward aliphatic,  
363 aromatic, and fused polycyclic aldehydes. *Biochemistry* 1996; **35**: 4457-4467.
- 364  
365 20. **Chen CC**, Lu RB, Chen YC, Wang MF, Chang YC, Li TK, Yin SJ. Interaction between the functional  
366 polymorphisms of the alcohol-metabolizing genes in protection against alcoholism. *Am J Hum*  
367 *Genet* 1999; **65**: 795-807.
- 368  
369 21. **Thomasson HR**, Edenberg HJ, Crabb DW, Mai XL, Jerome RE, Li TK, Wang SP, Lin YT, Lu RB, Tin SJ.  
370 Alcohol and acetaldehyde dehydrogenase genotypes and alcoholism in Chile man. *Am J Hum*  
371 *Genet* 1991; **48**: 677-681.
- 372

- 373 22. **Ocaranza P**, Quintanilla ME, Tampier L, Karahanian E, Sapag A, Israel Y. Gene therapy reduces  
374 ethanol intake in an animal model of alcohol dependence. *Alcohol Clin Exp Res* 2008; **32**:52-57.  
375
- 376 23. **Garver E**, Tu Gc, Cao QN, Aini M, Zhou F, Israel Y. Eliciting the low-activity aldehyde  
377 dehydrogenase Asian phenotype by an antisense mechanism results in an aversion to ethanol. *J*  
378 *Exp Med* 2001; **194**: 571-580.  
379
- 380 24. **Sapag A**, Irrazábal T, Lobos-González L, Muñoz-Brauning CR, Quintanilla ME, Tampier L. Hairpin  
381 Ribozyme Genes Curtail Alcohol Drinking: from Rational Design to in vivo Effects in the Rat. *Mol*  
382 *Ther Nucleic Acids* 2016; 7: e335.  
383
- 384 25. **Thapa B**, Kumar P, Zeng H, Narain R. Asialoglycoprotein Receptor-Mediated Gene Delivery to  
385 Hepatocytes Using Galactosylated Polymers. *Biomacromolecules* 2015; **16**: 3008-3020.  
386
- 387 26. **Liu K**, Song G, Zhu X, Yang X, Shen Y, Wang W, Shi G, Li Q, Duan Y, Zhao Y, Feng G. Association  
388 between ALDH2 Glu487Lys polymorphism and the risk of esophageal cancer. *Medicine*  
389 *(Baltimore)* 2017; **96**:e6111. doi: 10.1097/MD.00000000000006111.  
390
- 391 27. **Israel Y**, Hollander O, Sanchez-Craig M, Booker S, Miller V, Gingrich R, Rankin JG. Screening for  
392 problem drinking and counseling by the primary care physician-nurse team. *Alcohol Clin Exp Res*  
393 1996; **20**:1443-1450.  
394
- 395 28. **Knox J**, Wall M, Witkiewitz K, Kranzler HR, Falk D, Litten R, Mann K, O'Malley SS, Scodes J, Anton R,  
396 Hasin DS; Alcohol Clinical Trials (ACTIVE) Workgroup. Reduction in Nonabstinent WHO Drinking Risk  
397 Levels and Change in Risk for Liver Disease and Positive AUDIT-C Scores: Prospective 3-Year Follow-  
398 Up Results in the U.S. General Population. *Alcohol Clin Exp Res* 2018; **42**(11):2256-2265. doi:  
399 10.1111/acer.13884. Epub 2018 Oct 3.



400  
401 29. **Crews FT**, Vetreno RP. Mechanisms of neuroimmune gene induction in alcoholism.  
402 *Psychopharmacology* 2016; **233**:1543–1557.

403  
404 30. **Montesinos J**, Alfonso-Loeches S, Guerri C. Impact of the Innate Immune Response in the Actions of  
405 Ethanol on the Central Nervous System. *Alcohol Clin Exp Res* 2016; **40**:2260-2270.

406  
407 31. **Ferrier L**, Berard F, Debrauwer L, Chabo C, Langella P, Bueno L, et al. Impairment of the intestinal  
408 barrier by ethanol involves enteric microflora and mast cell activation in rodents. *Am J Pathol* 2006;  
409 **168**: 1148–1154.

410  
411 32. **Qin L**, Wu X, Block ML, Liu Y, Breese GR, Hong JS, Knapp DJ, Crews FT. Systemic LPS causes chronic  
412 neuroinflammation and progressive neurodegeneration. *Glia* 2007; **55**: 453-462.

413  
414 33. **Cunha-Oliveira T**, Rego AC, Oliveira CR. Oxidative stress and drugs of abuse: an update. *Org Chem*  
415 2013; **10**: 1–14.

416  
417 34. **Das SK**, Vasudevan D. Alcohol-induced oxidative stress. *Life Sci* 2007; **81**: 177–187.

418  
419 35. **Ezquer F**, Quintanilla ME, Morales P, Ezquer M Lespay-Rebolledo C, Herrera-Marschitz, Israel Y.  
420 Activates Mesenchymal stem cell administration inhibits alcohol drinking and supresses relapse-like  
421 drinking in high-alcohol drinker rats. *Addiction Biol* 2017; **18**, doi:10.1111/adb.12572.

422  
423 36. **Kastl L**, Sauer SW, Beissbarth R, BeckerMS, Süß D, Krammer PH, et al. (2014) TNF- $\alpha$  mediates  
424 mitochondrial uncoupling and enhances ROS dependent cell migration via NF-kB activation in liver  
425 cells. *FEBS Lett* 588:175–183.

426

- 427 37. **Blednov YA**, Benavidez JM, Geil C, Perra S, Morikawa H, Harris RA. Activation of inflammatory  
428 signaling by lipopolysaccharide produces a prolonged increase of voluntary alcohol intake in mice.  
429 *Brain Behav Immun* 2011; Suppl **1**: S92-S105. doi: 10.1016/j.bbi.2011.01.008.  
430
- 431 38. **McCarthy GM**, Warden AS, Bridges CR, Blednov YA, Harris RA. Chronic ethanol consumption: role of  
432 TLR3/TRIF-dependent signaling. *Addict Biol* 2018; **23**: 889-903. doi: 10.1111/adb.12539.  
433
- 434 39. **Leclercq S**, de Timary P, Delzenne NM, Stärkel P. The link between inflammation, bugs, the intestine  
435 and the brain in alcohol dependence. *Transl Psychiatry* 2017; **7**: e1048. doi: 10.1038/tp.2017.15. Review.  
436
- 437 40. **Sari, Y.**, Toalston, J. E., Rao, P. S. S. & Bell, R. L. Effects of ceftriaxone on ethanol, nicotine or sucrose  
438 intake by alcohol-preferring (P) rats and its association with GLT-1 expression. *Neuroscience* 2016; **326**,  
439 117-125.  
440
- 441 41. **Trotti D**, Danbolt NC, Volterra A. Glutamate transporters are oxidant-vulnerable: a molecular link  
442 between oxidative and excitotoxic neurodegeneration? *Trends Pharmacol Sci* 1998; **9**: 328-334.  
443
- 444 42. **Lauderback CM**, Hackett JM, Huang FF, Keller JN, Szveda LI, Markesbery WR, Butterfield DA. The  
445 glial glutamate transporter, GLT-1, is oxidatively modified by 4-hydroxy-2-nonenal in the Alzheimer's  
446 disease brain: the role of Abeta1-42. *J Neurochem* 2001; **78**(2): 413-6.  
447
- 448 43. **Prockop DJ**, Oh JY. Mesenchymal stem/stromal cells (MSCs): role as guardians of inflammation. *Mol*  
449 *Ther* 2012; **20**:14–20.  
450

451 44. **Israel Y**, Ezquer F, Quintanilla ME, Morales P, Ezquer M, Herrera-Marschitz M. Intracerebral Stem  
452 cell administration inhibits relapse-like alcohol drinking in rats *Alcohol Alcohol* 2016; **52**:1-4.  
453

454 45. **Ezquer F**, Moarles P, Quintanilla ME, Santapau D, Lespay-rebolledo C, Herrera-Marschitz M, Israel Y.  
455 Activated mesenchymal stem cell administration inhibits chronic alcohol drinking and suppresses  
456 relapse-like drinking in high-alcohol drinker rats. *Addiction Biol.* (2017) doi:10.1111/adb.12572.  
457

458 46. **Ezquer F**, Morales P, Quintanilla ME, Santapau D, Lespay-Rebolledo C, Ezquer M, Herrera-Marschitz  
459 M, Israel Y. Intravenous administration of anti-inflammatory mesenchymal stem cell spheroids reduces  
460 chronic alcohol intake and abolishes binge-drinking. *Sci Rep* 2018a; **8**(1): 4325. doi: 10.1038/s41598-018-  
461 22750-7.  
462

463 47. **De Miguel MP**, Fuentes-Julián S, Blázquez-Martínez A, Pascual CY, Aller MA, Arias J, Arnalich-  
464 Montiel F. Immunosuppressive properties of mesenchymal stem cells: advances and applications. *Curr*  
465 *Mol Med* 2012; **12**:574-91.  
466

467 48. **Ezquer F**, Quintanilla ME, Morales P, Santapau D, Ezquer M, Kogan MJ, *et L*. Intranasal delivery of  
468 mesenchymal stem cell-derived exosomes reduces oxidative stress and markedly inhibits ethanol  
469 consumption and post-deprivation relapse drinking. *Addict Biol* 2018b; Sep 21. doi: 10.1111/adb.12675.  
470  
471  
472  
473  
474  
475

476

477

478

479 **LEGENDS TO FIGURES**

480

481 **Figure 1. Ethanol is metabolized into acetaldehyde in brain mainly by catalase and to a minor**  
482 **extent by cytochrome P450 (CYP2E1).** The enzyme aldehyde dehydrogenase (ALDH), found in the  
483 cell's mitochondria degrades acetaldehyde to acetate (re-drawn from Zimatkin et al, ref 6)

484

485 **Figure 2. A single dose of an anticatalase-lentiviral vector injected into the brain ventral**  
486 **tegmental area (VTA) of ethanol naïve rats led to a marked and long-lasting inhibition of their**  
487 **voluntary ethanol intake.** Naïve female UChB rats anesthetized with a mixture of air and  
488 isoflurane were stereotaxically injected into the left VTA with either a single dose of 1 µl of an  
489 anticatalase lentiviral vector ( $8 \times 10^4$  viral particles) encoding a shRNA against catalase  
490 (anticatalase-Lenti) or an empty lentiviral vector and after four days of water intake the animals  
491 were allowed continuous (24 hours/day) free-choice access to ethanol solution (10% v/v) or water  
492 for sixty days. Ethanol naïve rats injected with a single dose of anticatalase-Lenti displayed a  
493 marked ( $p < 0.001$ ) inhibition of the initial voluntary alcohol intake for the two months tested,  
494 compared to control empty lentiviral vector-treated rats. Figure redrawn. Complete  
495 methodologies and statistical analysis are found in Karahanian et al ref 14.

496

497 **Figure 3. A single dose of a lentiviral vector coding for aldehyde dehydrogenase-2 (ALDH2-Lenti)**  
498 **injected into the brain VTA of ethanol naïve rats led to a marked and long-lasting inhibition of**  
499 **ethanol intake.** Ethanol naïve female rats anesthetized with a mixture of air and isoflurane were

500 stereotaxically injected into the left VTA with either a single dose of 1  $\mu$ l of a lentiviral vector  
501 encoding for the rat ALDH2 ( $8 \times 10^4$  viral particles) (ALDH-Lenti) or an empty lentiviral vector  
502 (control-Lenti) and after four days of water intake the animals were allowed continuous (24  
503 hours/day) free-choice access to ethanol solution (10% v/v) or water for forty five days. Ethanol  
504 naïve rats, which had been injected with a single dose of ALDH2-Lenti into the VTA displayed a  
505 significantly ( $p < 0.001$ ) inhibition of the initiation of their voluntary alcohol intake, during the  
506 forty-five days tested, compared to control empty lentivirus-treated rats (control-Lenti). Figure  
507 redrawn Complete methodologies and statistical analysis are found in Karahanian et al ref 14.

508

509 **Figure 4. A single dose of a lentiviral vector encoding for liver alcohol dehydrogenase (ADH-**  
510 **Lenti) injected into the brain VTA of ethanol naïve rats increases the voluntary ethanol intake in**  
511 **rats.** Naive female UChB rats anesthetized with a mixture of air and isoflurane were injected into  
512 the left VTA either a single dose of 1  $\mu$ l of a lentiviral vector ( $8 \times 10^4$  viral particles) encoding for  
513 ADH (ALDH-Lenti) or an empty Lentiviral vector (control-Lenti). After four days the animals were  
514 allowed continuous (24 hours/day) free-choice access to ethanol solution (5% v/v) or water for  
515 thirty days. Ethanol naïve rats, which had been injected with a single dose of lentiviral vector  
516 encoding alcohol dehydrogenase (Lenti-ADH) displayed a significantly ( $p < 0.001$ ) higher voluntary  
517 alcohol intake, compared to control empty lentivirus-treated rats. Figure redrawn. A complete  
518 statistical analysis is found in Karahanian et al ref 14.

519

520 **Figure 5. A single dose of an shRNA anticalase-coding lentiviral vector or a lentiviral vector**  
521 **encoding for ALDH2 injected into the brain VTA of rats that had been drinking ethanol for two or**  
522 **three months did not reduce the chronic ethanol intake.** Rats that had been under continuously

523 (24-hours) free choice access between ethanol solution (10%) or water, for sixty or eighty days were  
524 anesthetized with a mixture of air and isoflurane and stereotaxically injected into the left VTA (B-  
525 5.6, L-0.5, V-7.4) with either **(A)** a single dose of 1  $\mu$ l of an anticatalase lentiviral vector ( $8 \times 10^4$   
526 viral particles) encoding for a shRNA against catalase (anticatalase-Lenti) or an empty lentivirus (n =  
527 10 per group) or **(B)** a single dose of 1  $\mu$ l of a lentiviral vector encoding for the rat ALDH2 ( $8 \times 10^4$   
528 viral particles) (ALDH-Lenti) or an empty lentivirus (control-Lenti). Thereafter, the animals were  
529 transferred to their home cage and allowed 24 hours of continuous access to ethanol solution  
530 (10%) and water, for eighteen or eleven days. Results showed that neither the anticatalase-Lenti  
531 **(A)** nor the ALDH-Lenti **(B)** administration into the VTA of chronic ethanol drinking rats inhibited  
532 their voluntary alcohol intake versus that of the respective control groups (Redrawn from  
533 Quintanilla et al ref 17 and Tampier et al ref 9).

534

535 **Figure 6. A single dose of an adenoviral vector coding for an anti-Aldh2 antisense (AdV-AS)**  
536 **injected into the tail vein of rats that had been drinking ethanol for two months reduced ethanol**  
537 **intake by 50%.** Rats were allowed unrestricted access to 10% ethanol and water for 60 days, time  
538 at which their voluntary ethanol consumption was 7 to 8 g ethanol/kg /day. Subsequently, access  
539 to ethanol was discontinued and animals received a single intravenous injection of anti-Aldh2  
540 antisense (AdV-AS) adenovirus or AdV-control ( $1 \times 10^{12}$  vp / kg). After a 3-day ethanol-withdrawal  
541 period animals were allowed access to 10% (v / v) ethanol for only 1 hour each day, while water  
542 was continuously available. Results show that animals that received AdV-AS consumed 50% less  
543 ethanol than control animals ( $p < 0.001$ ). Figure redrawn. Complete methodology and statistical  
544 analysis are found in Ocaranza et al ref 22.

545

546 **Figure 7. Chronic ethanol consumption induced marked oxidative stress, determined by the ratio**  
547 **of hippocampal oxidized/reduced glutathione), and neuroinflammation determined by astrocyte**  
548 **immunofluorescence. (A)** Rats under free-choice intake of ethanol (10% v/v) and water for 11  
549 weeks reached an ethanol intake of 9-11 g ethanol /kg body weight/day; **(B)** Chronic ethanol  
550 intake induced an increased ( $p<0.001$ ) hippocampus GSSG/GSH ratio compared with that in rats  
551 consuming only water and **(C)** Micrographs of glial fibrillary acidic protein (GFAP, green marker)  
552 counterstained with DAPI (blue, nuclear marker show that these chronic ethanol intake induced  
553 increased ( $p<0.01$ ) immunoreactivity of GFAP in hippocampal astrocytes compared with rats  
554 consuming only water. Figure redrawn. A complete statistical analysis is found in Ezquer et al ref  
555 45.

556

557 **Figure 8. Intravenous administration of mesenchymal stem cells 3D-cultured (MSC)-spheroids**  
558 **inhibits chronic ethanol intake and relapse-like binge drinking in the ethanol post-deprivation**  
559 **condition (ADE), and normalizes astrocyte (GFAP) processes and oxidative stress (GSSG/GSH**  
560 **ratio) (A left)** A single dose of  $1 \times 10^6$  MSC-spheroids injected into the tail vein of rats markedly  
561 inhibited ( $p<0.001$ ) voluntary ethanol intake of animals that had consumed ethanol for 12 weeks  
562 versus rats treated with vehicle. **(A right)** MSC-spheroids reduced ( $p<0.01$ ) the relapse-like  
563 drinking ethanol intake (black bar) of the same animals, after an ethanol deprivation and a 60-min  
564 ethanol re-uptake period versus vehicle treated rats (white bar). **(B)** A single dose of MSC-  
565 spheroids that had resulted in a marked inhibition of ethanol intake (showed in Figure 8A-left),  
566 induced, in the same animals, a significant ( $p<0.001$ ) increase in water intake versus vehicle  
567 treated rats. **(C)** The MSC-spheroids reduction of ethanol intake during the 60-min ethanol re-  
568 access **(showed in A right)** resulted in significant ( $p<0.001$ ) lower blood alcohol levels versus that  
569 those of vehicle treated rats. **(D)** Confocal microscopy microphotographs of GFAP immunoreactivity

570 evaluated in hippocampal astrocytes of animals intravenously injected with  $1 \times 10^6$  MSC-spheroid  
571 or vehicle, after the 60-minute ethanol re-access. Chronic ethanol drinking rats treated with  
572 vehicle displayed marked increases in the length and thickness of astrocyte processes (**E, gray bar**)  
573 versus rats drinking only water (**E, white bar**). Intravenous administration of a single dose of  $1 \times$   
574  $10^6$  MSC-spheroids, to chronic ethanol drinking rats normalized the length (**E, black bar**) and  
575 thickness (**F, black bar**) of astrocytic process. (**F**) Chronic ethanol drinking rats treated with vehicle  
576 displayed an increased the GSSG /GSH ratio (grey bar) versus ethanol naïve rats drinking only  
577 water (white bar). Administration of MSC-spheroids to chronic ethanol drinking rats normalized  
578 the GSSG/GSH ratio (black bar). A complete statistical analysis are found in Ezquer et al ref 46.

579

580 **Figure 9. Intravenous administration of MSC-spheroids increases brain glutamate transporter**  
581 **GLT-1 levels.** GLT-1 level in prefrontal cortex and nucleus accumbens of rats that had consumed  
582 ethanol for 12 weeks and were intravenously injected with a single dose of  $1 \times 10^6$  MSC-spheroids  
583 or vehicle. GLT-1 levels were evaluated by Western blot analysis six weeks after MSC-spheroid or  
584 vehicle administration. After the MSC-spheroid administration the animals remained for four  
585 weeks in the ethanol and water free-choice condition, followed by a 2-week ethanol deprivation  
586 period and a 60-minute ethanol re-access. Animals consuming only water were used as untreated  
587 controls. Data are presented as percentage ratios of GLT-1/ $\beta$ -actin, relative to control levels. A  
588 complete statistical analysis and methodologies are found in Ezquer et al ref 46.

589

590 **Figure 10. Intranasal administration of MSC-derived exosomes inhibits chronic ethanol intake**  
591 **and relapse-like ethanol intake in the ethanol post-deprivation and re-access condition. (A)**  
592 Voluntary ethanol intake of animals that had consumed ethanol for 13 weeks a received three

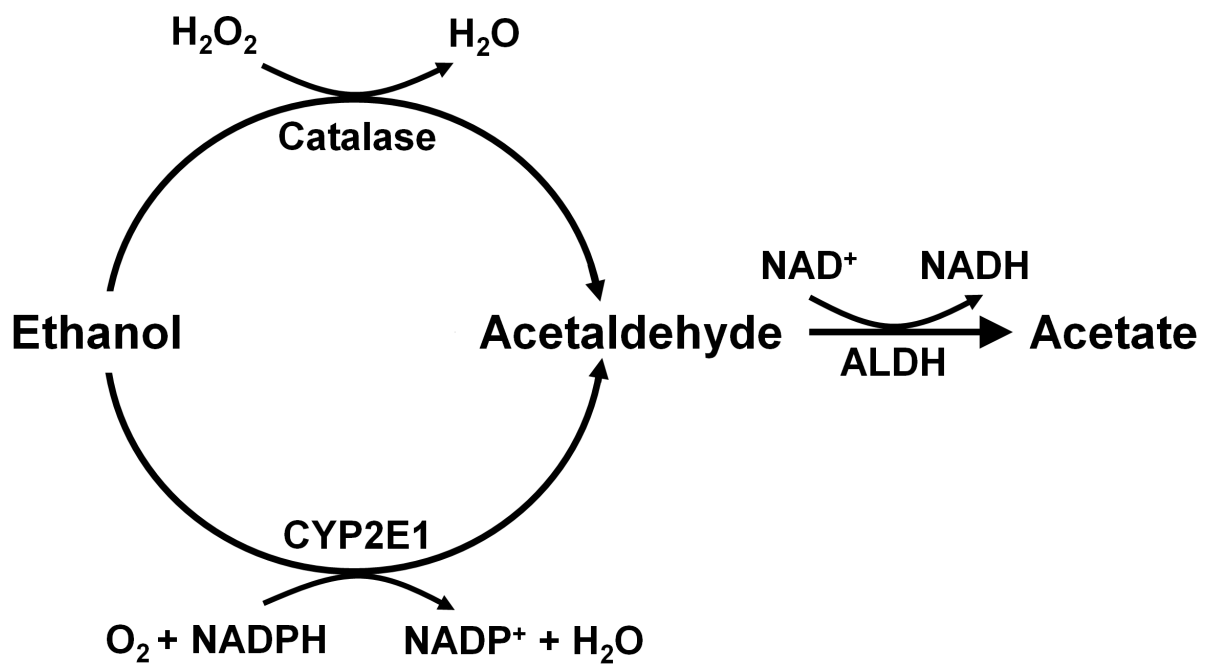


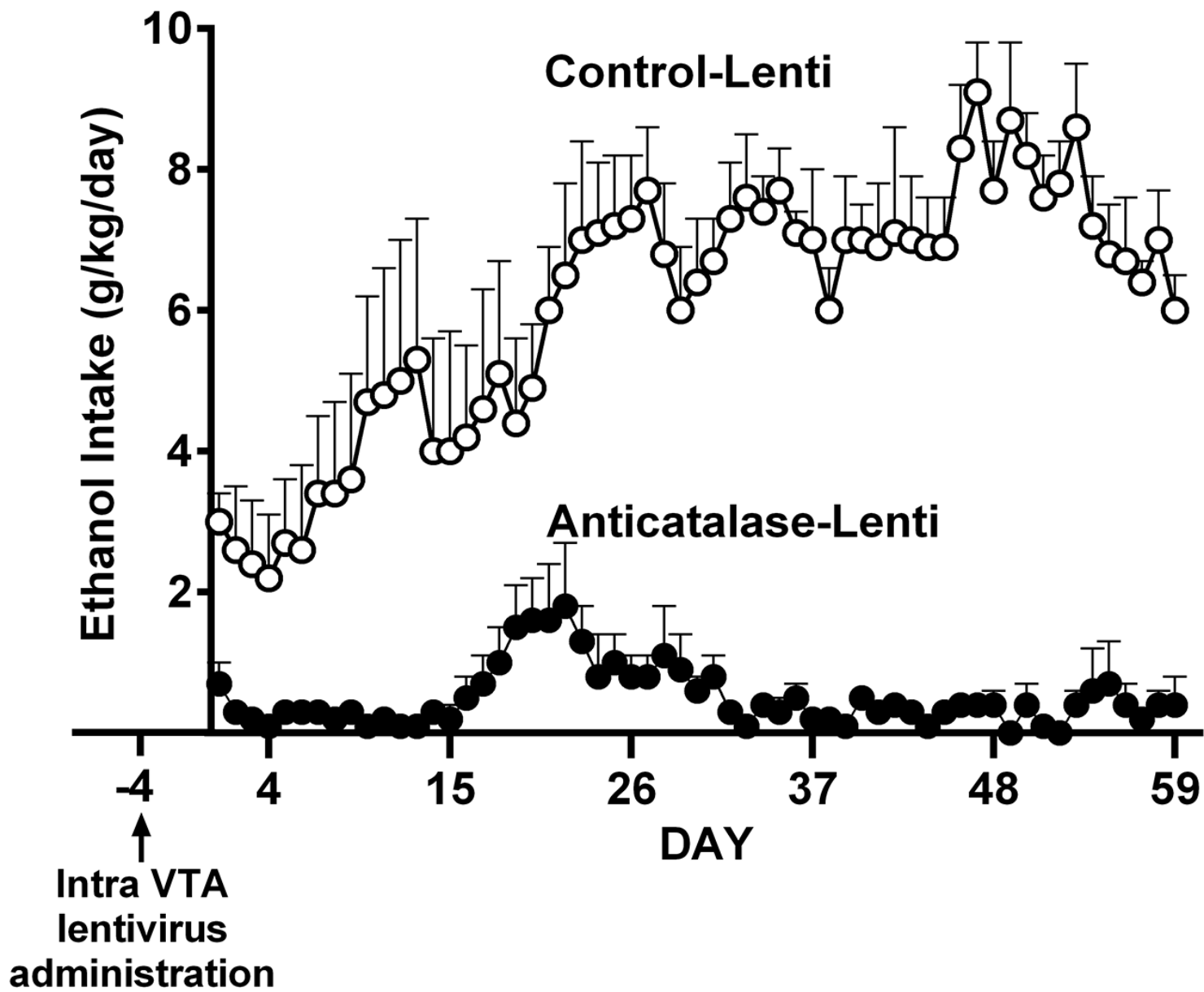
593 intranasal doses of exosomes derived from activated human MSCs ( $1.5 \times 10^9$  exosomes derived  
594 from  $1 \times 10^6$  MSC each dose) or vehicle. Ethanol intake is expressed as g of ethanol consumed/kg  
595 body weight/day. **(B)** Voluntary water intake of animals shown above in (A). Data are expressed as  
596 ml of water consumed /kg body weight/day. **(C)** Seventeen days after the administration of the  
597 first intranasal dose of exosomes or vehicle, a 14-day withdrawal period was imposed. During the  
598 withdrawal period, animal received two intranasal doses of exosomes following the weekly  
599 administration schedule. Relapse drinking after the deprivation period was determined by  
600 allowing animals a 60-minute ethanol re-access. Ethanol intake during the 60-minute re-access is  
601 expressed as g of ethanol consumed/kg body weight/60 minutes. **(D)** Blood ethanol level from tail  
602 vein samples were determined immediately after the 60-minute relapse-like ethanol consumption  
603 A complete statistical analysis and methodologies are found in Ezquer et al ref 48.

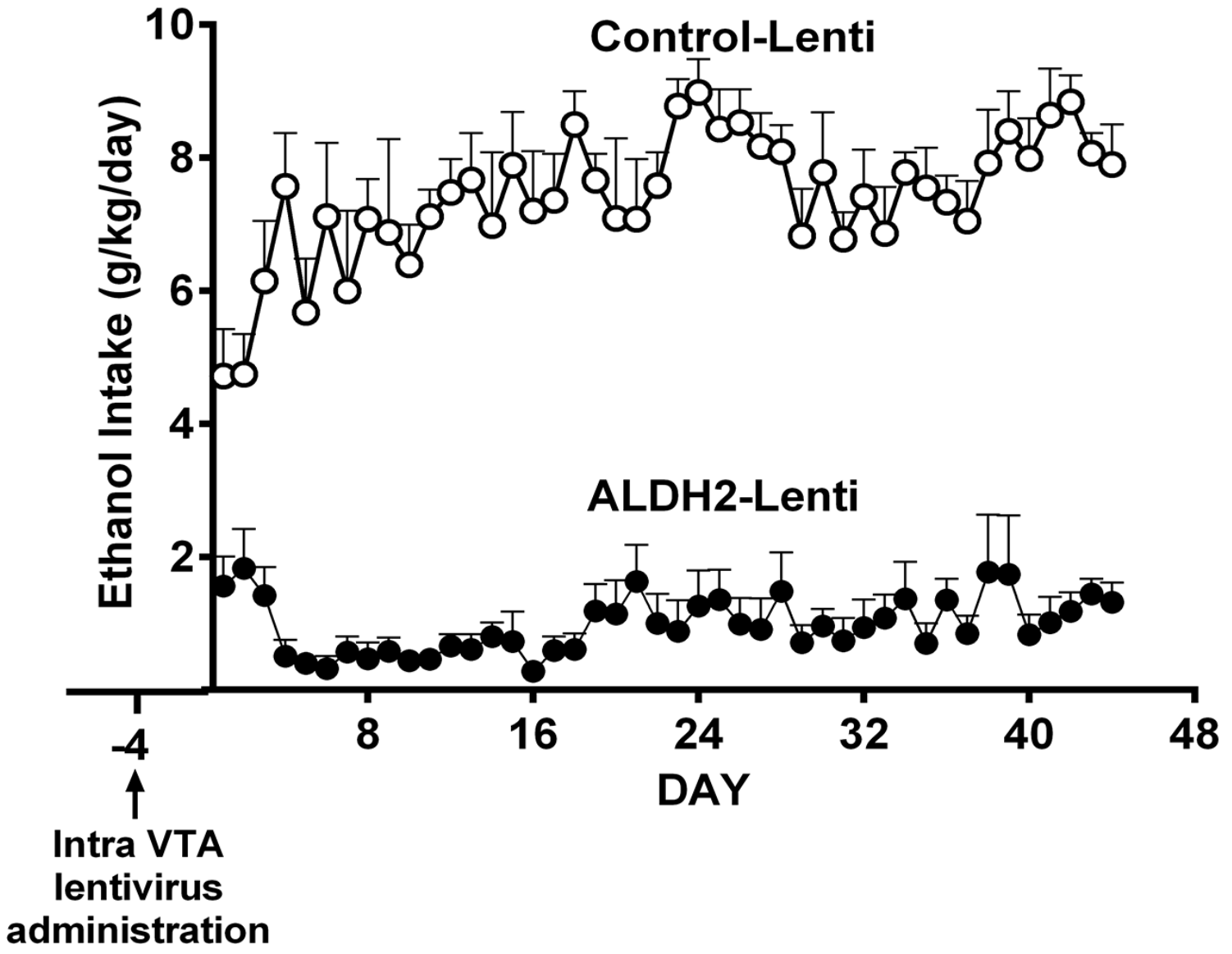
604

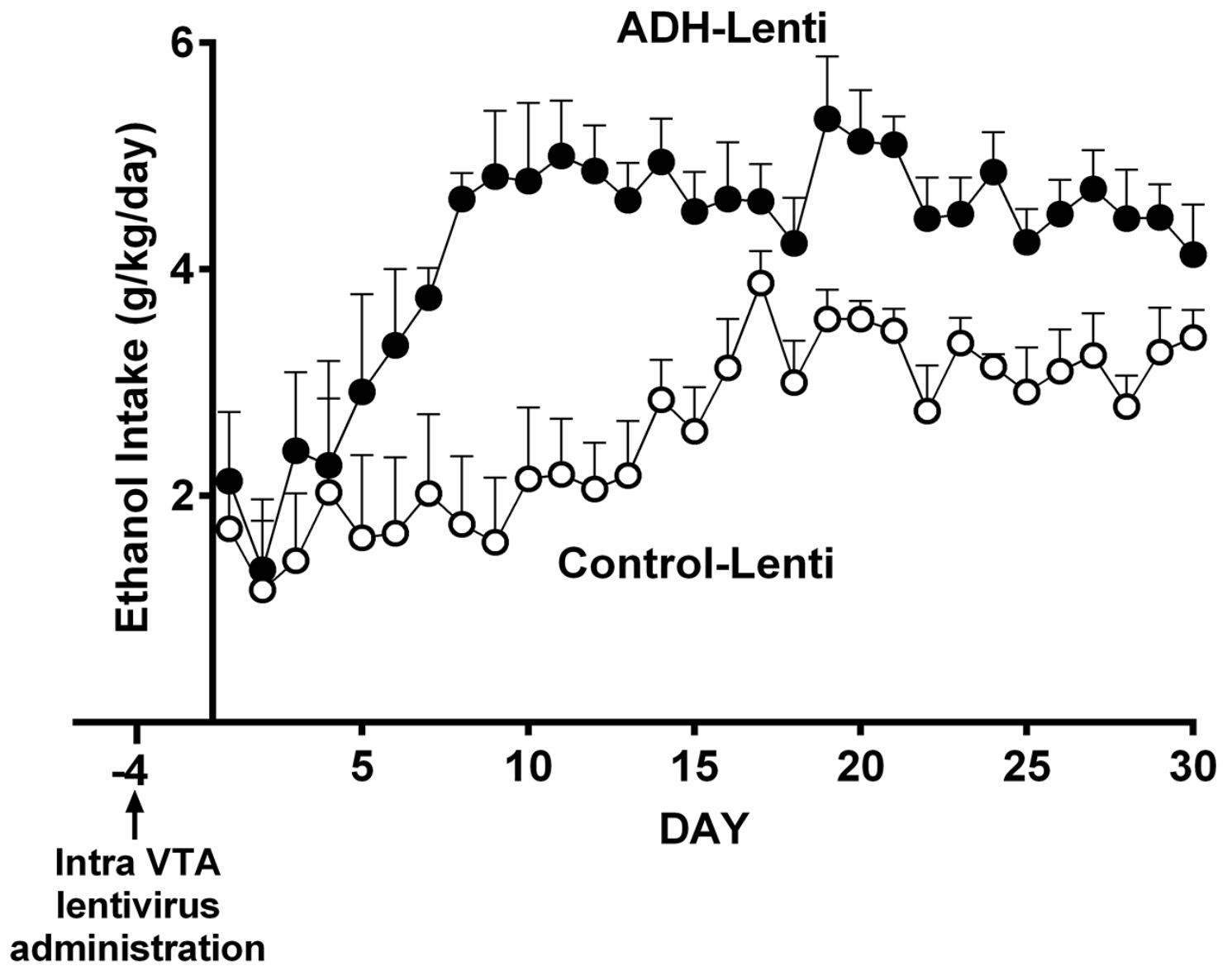
605

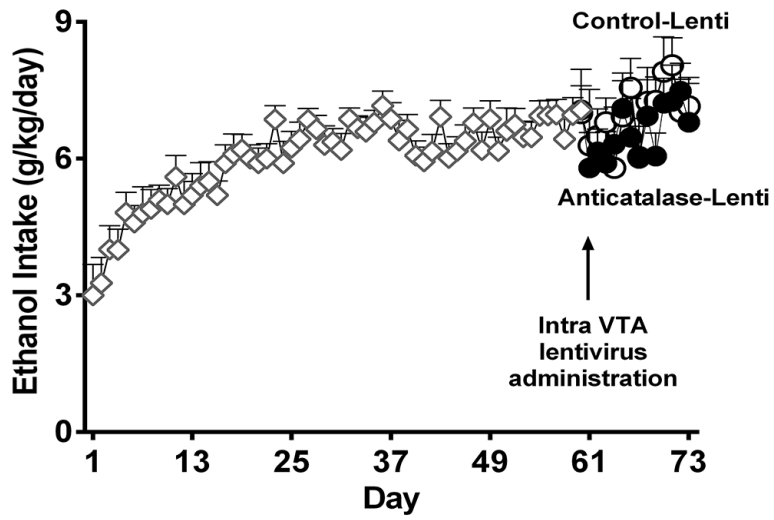
606









**A****B**